

SECONDO

Version 3.1 Programmer's Guide

Version 9, July 14, 2011

Ralf Hartmut Güting, Victor Teixeira de Almeida, Dirk Ansorge,
Thomas Behr, Christian Düntgen, Simone Jandt, Markus Spiekermann



Faculty for Mathematics and Computer Science

Database Systems for New Applications

58084 Hagen, Germany

Table of Contents

| | | |
|--------|--|----|
| 1 | Algebra Module Implementation | 1 |
| 1.1 | The PointRectangleAlgebra | 1 |
| 1.2 | Implementing Types | 3 |
| 1.2.1 | Nested List Representation/Conversion | 4 |
| 1.2.2 | Persistent Storage and Related Generic Functions | 5 |
| 1.2.3 | Type Checking | 9 |
| 1.2.4 | Type Description | 9 |
| 1.3 | Implementing Operators | 10 |
| 1.3.1 | Type Mapping Functions | 11 |
| 1.3.2 | Selection Functions | 11 |
| 1.3.3 | Generic Type Mapping and Selection Functions | 12 |
| 1.3.4 | Value Mapping Functions | 12 |
| 1.3.5 | Operator Descriptions | 13 |
| 1.3.6 | Operator Syntax and Examples | 14 |
| 1.3.7 | Linking the PointRectangleAlgebra to SECONDO | 15 |
| 1.4 | Handling Streams | 16 |
| 2 | The Relational Algebra and Tuple Streams | 23 |
| 2.1 | The Relational Algebra Implementation. | 23 |
| 2.2 | Operators. | 26 |
| 2.3 | Type Mapping Functions and APPEND. | 26 |
| 2.4 | Value Mapping Functions. | 31 |
| 3 | DbArray - An Abstraction to Manage Data of Widely Varying Size | 36 |
| 3.1 | Overview. | 36 |
| 3.2 | Example | 36 |
| 3.3 | Accessing Flobs Directly | 39 |
| 3.4 | Interaction with the Relational Algebra | 40 |
| 3.5 | Important Hint. | 41 |
| 4 | Kind DATA: Attribute Types for Relations | 42 |
| 4.1 | Serialization | 46 |
| 4.2 | Supporting the ImExAlgebra | 48 |
| 4.3 | The Golden Rules for Implementing Attribute Types | 48 |
| 5 | Query Processing and Overview on Function Usage | 50 |
| 5.1 | Type Constructors. | 50 |
| 5.1.1 | The Constructor's Name | 50 |
| 5.1.2 | Property Function | 50 |
| 5.1.3 | Out Function | 50 |
| 5.1.4 | In Function | 51 |
| 5.1.5 | SaveToList and RestoreFromList | 51 |
| 5.1.6 | Create Function | 51 |
| 5.1.7 | Delete Function | 51 |
| 5.1.8 | Close Function | 51 |
| 5.1.9 | Open Function | 51 |
| 5.1.10 | Save Function | 51 |
| 5.1.11 | Clone Function | 52 |
| 5.1.12 | SizeOf Function | 52 |

| | | |
|--------|--|----|
| 5.1.13 | Cast Function | 52 |
| 5.1.14 | TypeCheck Function | 52 |
| 5.2 | Additional Functions for Attribute Data Types | 52 |
| 5.2.1 | Standard Constructor | 52 |
| 5.2.2 | Non-Standard Constructor | 53 |
| 5.2.3 | Compare | 53 |
| 5.2.4 | Adjacent | 53 |
| 5.2.5 | HashValue | 53 |
| 5.2.6 | CopyFrom | 53 |
| 5.2.7 | NumOfFLOBs | 53 |
| 5.2.8 | GetFLOB | 53 |
| 5.2.9 | Print | 54 |
| 5.2.10 | BasicType | 54 |
| 5.2.11 | checkType | 54 |
| 5.2.12 | IsDefined | 54 |
| 5.2.13 | SetDefined | 54 |
| 5.3 | Operators | 54 |
| 5.3.1 | Name | 54 |
| 5.3.2 | Specification | 55 |
| 5.3.3 | Type Mapping | 55 |
| 5.3.4 | Value Mapping Function | 55 |
| 5.3.5 | Number of Value Mappings | 55 |
| 5.3.6 | Value Mappings | 55 |
| 5.3.7 | Selection Function | 55 |
| 5.3.8 | Syntax Definition | 55 |
| 5.3.9 | Example | 56 |
| 5.4 | Example Commands and their Evaluation in Secondo | 56 |
| 5.4.1 | list algebra <AlgebraName> | 56 |
| 5.4.2 | query [const int value 3] + [const value 5.0] | 56 |
| 5.4.3 | let ten2 = ten | 58 |
| 5.4.4 | query ten feed head[1] consume | 58 |
| 6 | SMI - The Storage Management Interface | 60 |
| 6.1 | Retrieving and Updating Records | 60 |
| 6.2 | The SMI Environment | 61 |
| 7 | Extending the Optimizer | 64 |
| 7.1 | How the Optimizer Works | 64 |
| 7.1.1 | Overview | 64 |
| 7.1.2 | Optimization Algorithm | 65 |
| 7.2 | Programming Extensions | 67 |
| 7.2.1 | Writing a Display Predicate for a Type Constructor | 68 |
| 7.2.2 | Defining Operator Syntax for SQL | 69 |
| 7.2.3 | Defining Operator Syntax for SECONDO | 70 |
| 7.2.4 | Defining Type Mapping for SECONDO Operators | 72 |
| 7.2.5 | Defining Physical Index Types | 74 |
| 7.2.6 | Defining Logical Index Types | 74 |
| 7.2.7 | Writing Optimization Rules | 75 |
| 7.2.8 | Writing Cost Functions | 84 |

- 8 Integrating New Types into User Interfaces 90
 - 8.1 Introduction. 90
 - 8.2 Extending the Javagui. 90
 - 8.2.1 Writing a New Viewer 91
 - 8.2.2 Extending the HoeseViewer 98
 - 8.3 Writing New Display Functions for SecondoTTY and SecondoTTYCS. 104
 - 8.3.1 Display Functions for Simple Types 104
 - 8.3.2 Display Functions for Composite Types 105
 - 8.3.3 Register Display Functions 106
- 9 Query Progress Estimation 107
 - 9.1 Overview. 107
 - 9.2 Selectivity Estimation. 108
 - 9.3 Estimation of Tuple and Attribute Sizes. 109
 - 9.4 Pipelining 109
 - 9.5 Infrastructure for Progress Implementation 110
 - 9.5.1 New Messages and Storage Management 110
 - 9.5.2 Data Structures 111
 - 9.5.3 Interface to Request Progress Information From a Predecessor 113
 - 9.5.4 Interface to Access Optimizer Selectivity Estimate and Predicate Cost 113
 - 9.6 Some Example Operators 114
 - 9.6.1 Rename 114
 - 9.6.2 Project 115
 - 9.6.3 Filter 120
 - 9.7 Registering Progress Operators. 124
 - 9.8 Testing Progress Implementations 124
 - 9.8.1 Tracing 125
 - 9.8.2 Looking at Protocol Files 127
 - 9.9 Implementation Techniques for Blocking Operators 128
- References 131
- A The Source for the InquiryViewer 132
- B The Source for Dsplmovingpoint 142
- C The Source for Algebra Module PointRectangle 148

1 Algebra Module Implementation

In `SECONDO`, data types and operations are provided by algebra modules. Such modules are initialized in `SECONDO` by the algebra manager which makes their data types and operations available in the query processor. Figure 2 in Section 1 of the `SECONDO` User Manual gives a good impression of `SECONDO`'s structure and how algebras are linked into the system. Generally in this Programmers' Guide we assume that you are somewhat familiar with `SECONDO`'s concepts and use from the User Manual.

Naturally, an algebra implementor first has to write the C++ code providing the data types and operations. Any such algebra must provide a set of algebra support functions, e.g. `In-` and `Out-` functions, which convert the "internal" data type representation to the nested list representation used as an "external" representation in `SECONDO`. Finally, when the implementation is done, the algebra has to be registered with the system.

In this chapter, two examples are given about how algebras are implemented and embedded in `SECONDO`. First, the simple `PointRectangleAlgebra` is described providing two new data types and two operations. Second, an algebra which provides operators for handling streams of `int` values is presented.

The C++ code of the `SECONDO` system has been continuously developed since 2002. For some of its programming interfaces there exist older and more recent versions. The newer interfaces are usually safer and more comfortable to use. On the other hand, a lot of code in the system has been written using the older interfaces. For this reason, in the sequel we will sometimes explain old as well as recent versions so that you are able to understand older code, but also to program with the current versions. Note that older as well as newer interfaces work so that you can choose which one to use.

The code is a result from a prior implementation in `Modula`, thus it is not purely object oriented and will have a mind of its own. From today's point of view and the experiences made one would prefer another design of some interfaces in order to reduce code redundance, improve encapsulation and to avoid type casts. However, this will need much programming time with the effort of having the same functionality but a better readability, maintainability and flexibility of the code. We are aware of the problems and limitations of the status quo but we try to give our best to explain a safe and effective usage of it.

1.1 The PointRectangleAlgebra

The `PointRectangleAlgebra` is a simple algebra which was implemented just for the purpose of having a small example algebra for `SECONDO`. It offers data types to represent a point and a rectangle in the 2D-plane, and operations *inside* and *intersects*. The first checks whether either a point or a rectangle lies in another rectangle. The second checks whether two rectangles intersect each other. Formally, it has the following specification:

kinds SIMPLE

type constructors

→ SIMPLE *xpoint*, *xrectangle*

operators

xpoint × *xrectangle* → *bool* inside
xrectangle × *xrectangle* → *bool* inside
xrectangle × *xrectangle* → *bool* intersects

The types are named *xpoint* and *xrectangle* in order to avoid name conflicts with the more complex types *point* and *rectangle* as implemented in the `SpatialAlgebra` module. Moreover, the type *bool* is not implemented in this algebra module, it belongs to the so called `StandardAlgebra`.

The implementation of the module `PointRectangleAlgebra` is part of the `SECONDO` distribution and is located in the directory `Algebras/PointRectangle`. All algebras must be located below directory `Algebras`. However, not all algebras, which are present there, have to be necessarily embedded in the system.

The file `PointRectangleAlgebra.cpp` can be viewed pretty printed by using `PDView` [Güt95], a tool for formatting programs and documentation which is included into `SECONDO`. We strongly recommend to study this example carefully before starting to implement an algebra by your own. In the following, only important and interesting parts of the code are shown and explained. The complete code is provided in Appendix C.

The Typical Structure of an Algebra Implementation File

Every new algebra must be a subclass of the class `Algebra`. An algebra is a collection of type constructors and operators. For each new data type a C++-class together with some additional support functions must be provided. For each operator basically a type mapping and a value mapping function (explained later) must be provided. Then a type will be represented by class `TypeConstructor` and an operator by class `Operator`. The constructors of these C++ classes take the support functions as arguments. Finally, instances of `TypeConstructor` and `Operator` must be passed to the new algebra manager.

In the following we will give a sketch of the overall structure of an algebra's implementation file. First, we need some `include` directives in order to import class declarations of various `SECONDO` modules.

```
#include "Algebra.h"  
#include "..."
```

Next, references to instances of the `NestedList` and the `QueryProcessor` classes are declared here. They are instantiated by the processing framework of `SECONDO`.

```
extern NestedList* nl;  
extern QueryProcessor* qp;
```

Now, the classes for the new data types `xpoint` and `xrectangle` are defined, followed by the implementation of its operations. To avoid name conflicts, you should embed the algebra implementation into its own namespace:

```
namespace prt {  
  
class XPoint{ ... };  
...  
class XRectangle { ...};  
...  
}
```

The algebra itself is implemented by declaring a class derived from class `Algebra`. Passing Operators and types to the algebra manager is done in the constructor of this class.

```
class PointRectangleAlgebra : public Algebra  
{  
public:  
    PointRectangleAlgebra() : Algebra()  
    {  
        // code for passing type constructors and operators  
        // to the algebra manager  
        AddOperator( intersectsInfo(), intersectFun, RectRectBool );  
    }  
    ~PointRectangleAlgebra() {};  
};  
} // end of namespace prt
```

Finally, the implementation file must be equipped with an initialization function which must be named by the pattern `Initialize<algebra name>`.

```
extern "C"  
Algebra*  
InitializePointRectangleAlgebra( NestedList* nlRef, QueryProcessor* qpRef )  
{  
    // The C++ scope-operator :: must be used to qualify the full name  
    return new prt::PointRectangleAlgebra;  
}
```

The implementation of this function will be always similar to the one above. For several implementation dependent reasons it is needed by the algebra manager. The unused arguments `nlRef` and `qpRef` are obsolete.

1.2 Implementing Types

As explained above, each `SECONDO` type is represented by a C++ class. This class needs to provide some support functions which will be used by the query processing framework. These functions will be discussed in the following subsections.

1.2.1 Nested List Representation/Conversion

Every `SECONDO` type needs to define a nested list representation for its values. This representation is used as an external interface, e.g. to send the value to a user interface or to store it in a file. An element of a nested list may be either a nested list (this is why they are called “nested” lists) or an atom. Atoms of a nested list can be only simple values of type integer, boolean, string, text or symbol (mathematical symbols or identifiers). An algebra module must provide for each type functions which take a nested list and create an instance of the class representing the type and vice versa. These functions are called `In-` and `Out-` functions.

An `XPoint` value has two coordinates, represented by two integer values `x` and `y`. The nested list representation can be chosen freely, here we choose

`(x y)`

which means that every *xpoint* value can be represented as a list of two integer atoms. Inside `SECONDO`, nested lists are represented by the C++-type `ListExpr`. Textual nested lists are translated by a parser into this internal representation. Operations on type `ListExpr` are provided by a central instance of class `NestedList` which is referenced by the pointer variable `nl`.

```
ListExpr
XPoint::Out( ListExpr typeInfo, Word value )
{
    XPoint* point = static_cast<XPoint*>( value.addr );
    return nl->TwoElemList( nl->IntAtom(point->GetX()),
                          nl->IntAtom(point->GetY()) );

    // Code example for the alternate list programming interface:
    // return NList(point->GetX(), point->GetY()).listExpr();
}
```

Nested lists are used in `XPoint::Out` as follows: In the first line, argument’s `attr` member, which has the generic type `Word`, must be converted by a type cast into a pointer of type `XPoint`. The type `Word` has a member `addr` which is of type `void*` and thus can be a pointer of any type. Then a new list with two elements is constructed with `TwoElemList`, which is a function of class `NestedList`. As arguments two `ListExpr` values which contain simple `int` values, so called atoms, are passed and inserted into the list. They are constructed using `IntAtom`, again a function of class `NestedList`. The `int` values are derived from the `XPoint` value using `GetX-` and `GetY-` functions of class `XPoint`. The use of the `NestedList` data structure is straightforward. Just have a look at file `include/NestedList.h`.

Advise: More recently, an alternate nested list programming interface which simply wraps the `NestedList` calls and has a less noisy syntax has been implemented in `include/NList.h`. The example algebra makes also use of it for the implementation of class `XRectangle`. For your own implementations we recommend to use this newer interface.

Many objects types (at least all attribute types) may have a special state called “undefined”, that can be used to signal an error state or `NULL` value. In order to have expressive and readable nested list value expressions, the nested list representing an object in that state should be a single symbol atom

with the value `nl->SymbolAtom(Symbol::UNDEFINED())`, which is available after including the file `Symbols.h..`

```

Word
XPoint::In( const ListExpr typeInfo, const ListExpr instance,
            const int errorPos, ListExpr& errorInfo, bool& correct )
{
    Word w = SetWord(Address(0));
    if ( nl->ListLength( instance ) == 2 )
    {
        ListExpr First = nl->First(instance);
        ListExpr Second = nl->Second(instance);

        if ( nl->IsAtom(First) && nl->AtomType(First) == IntType
            && nl->IsAtom(Second) && nl->AtomType(Second) == IntType )
        {
            correct = true;
            w.addr = new XPoint(nl->IntValue(First), nl->IntValue(Second));
            return w;
        }
    }
    correct = false;
    cmsg.inFunError("Expecting a list of two integer atoms!");
    return w;
}

```

`XPoint::In` creates a new `XPoint` instance. Both `int` values are extracted from the nested list and passed to the `XPoint` type constructor. Finally, the newly created `XPoint` instance is returned. Note, that in the `In`-function it is necessary to check carefully and completely whether the passed list has the correct structure. Such lists can be written by users directly (using a text editor) and may have other structures than expected. Accessing a not existing element of a list will cause process abortion raised by assertions inside the implementation of class `NestedList`.

The parameters `errorPos` and `errorInfo` can be ignored in simple cases like this one. In principle they can be useful to provide detailed error information for types which can have big lists as representations, e.g. type *rel* which represents a relation in module `RelationAlgebra`. Success or failure must be indicated by setting parameter `correct` to its appropriate value. In case of failure an error message is sent to the user by using the function `cmsg.inFunError`.

1.2.2 Persistent Storage and Related Generic Functions

A `SECONDO` object belongs to a database and needs to be stored persistently. Hence it needs a representation on disk, in records of the underlying storage manager. The database maintains a catalog file and each object is assigned to one so-called root record. These records have variable size but data types which can grow up to sizes bigger than a few pages should organize a disk representation of their own. To do so they have to maintain one or more files of records by itself. To keep all together they need to store stable pointers to those files (file ids) in the root record. Additionally, some summarizing or meta data may be stored there also. An example for such a type is again the type *rel* which maintains two additional record files.

To be used in query processing, an object must be *opened*. That is, in addition to the disk representation a main memory representation (a class instance of the class representing the object's type) must be created that makes it possible to access the value. Hence an object has two states:

- *closed*: the data is completely stored on disk and cannot be accessed, since no memory representation is available.
- *opened*: a memory representation - an instance of the class representing this type - is available.

There are six generic functions for each type constructor that allow one to create and delete a value (or *SECONDO* object) of the type, open and close it, save it and clone it. These operations return a value in a particular state and are also applicable to a value in some state. The state diagram in Figure 1 shows how operations manipulate object states.

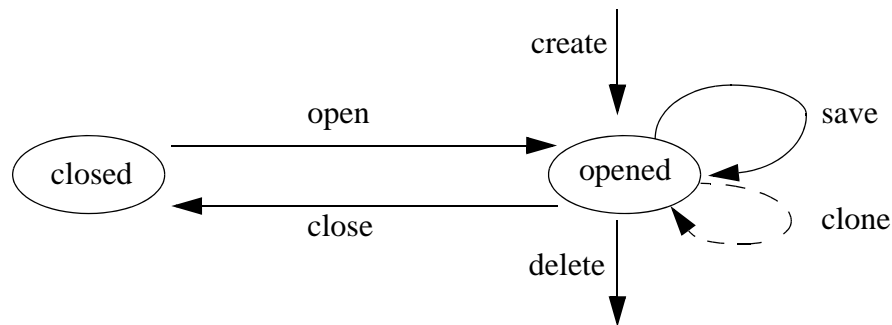


Figure 1: Generic functions and object states

The operations have the following meaning:

`create`: create an empty value in open state, i.e. create the memory part and if needed also extra files.

`delete`: delete the value, i.e. remove extra files and delete the memory part (class instance).

`open`: given a root record, create the memory part. Afterwards the member variables of the corresponding class must have the same values as they had when `save` has been called the last time.

`close`: release the memory part. Typically the destructor of the representing class has to close open files if any are used and still open.

`save`: propagate changes from the memory part to the root record, i.e. store all necessary member variables which represent the current state of the class instance.

`clone`: make a deep copy of the object, i.e. create another class instance (copy of memory part) and if needed create extra files and copy the contents of the files assigned to the original object.

To make life easier for the implementor of a simple data type like `int` or `xpoint`, there exists a default mechanism for persistent storage. Here the text form of the nested list representation for the

type is stored in a record on disk. Hence to store an object, its `Out` function is called; the resulting list is converted to text form and written to the record. To open an object, the text representation is read from the record and converted to a nested list for which then the `In` function is called to create the value.

This means that for a type constructor the functions `create`, `delete`, `close`, and `clone` *must* be implemented. The remaining two functions `open` and `save` *may* be implemented. If they are not implemented, the default persistent storage mechanism is used.

To make things yet a bit more complex, there is a variant of the default mechanism. Observe that the `In` function needs to perform a complete check of the argument list to see whether it has a correct structure and whether the value described by it is correct. For example, for the *region* data type in the spatial algebra, expensive tests need to be made. To avoid this, it is possible to define an alternative nested list structure just to be used internally for storage. In this case, the data structure (e.g. for a *region*) can be directly transformed into an appropriate list structure describing the elements of this data structure, and it is easy to recreate the data structure from this list representation.

To use such an alternative list structure, the implementor of a data type needs to provide two functions called `SaveToList` and `RestoreFromList`.

Hence for persistent storage, there are three alternatives:

- If `open` and `save` are implemented, a user defined persistent implementation is used.
- Otherwise, if `SaveToList` and `RestoreFromList` are implemented, then the default mechanism is used but with user defined list structure which may guarantee some properties the normal list structure expected in the `In`-function may not have.
- Otherwise, the default mechanism is used with the external list representation, using `In`- and `Out`-functions.

The module `PointRectangleAlgebra` uses the default mechanism for the type *xpoint* and (for demonstration) an implementation of `open` and `save` for the type *xrectangle*. The implementations for the other object state transitions of *xpoint* are discussed below.

```
Word
XPoint::Create( const ListExpr typeInfo )
{
    return (SetWord( new XPoint( 0, 0 ) ));
}
```

This function creates a new `XPoint` instance. The query processor calls it if the result of an operation is of type *xpoint*.

```
void
XPoint::Delete( const ListExpr typeInfo, Word& w )
{
    delete (XPoint*)w.addr;
    w.addr = 0;
}
```

The delete-function is called for intermediate results in a query tree, after the query has been processed. `XPoint::Delete` does not need to remove any disk parts of the object since it is just a simple type which does not have disk parts. For such types delete and close often do the same.

Here the expression `(XPoint*)w.addr` does a C++ type cast, telling the C++ runtime environment that there is a pointer of type `XPoint`. Thus delete will call the destructor function of class `XPoint`. It is only sure to do such type casts if you know that `w.addr` really holds a pointer of this type, which is true here, since the create function above does so. But in general type casts are a source of pointer errors. For that reason another syntax, as shown below, is recommended since it is more noticeable. Moreover, after deleting a pointer it is always safe to set it to null.

```
void
XPoint::Close( const ListExpr typeInfo, Word& w )
{
    delete static_cast<XPoint *>( w.addr; )
    w.addr = 0;
}
```

The close-function is called for all database objects which are involved in a query, since they were all opened before.

```
Word
XPoint::Clone( const ListExpr typeInfo, const Word& w )
{
    XPoint* p = static_cast<XPoint *>( w.addr; )
    return SetWord( new XPoint(*p);
}
```

For example, the clone-function is used by the `let` command which inserts the result of a query into the currently open database. Here `XPoint::Clone` calls the copy constructor `XPoint(const XPoint&)` to create a new instance which is a copy of the one pointed to by `p`. For a more complex data type which has also a disk part, code doing a deep copy must be provided here also.

Auxiliary Functions

There are two more functions which are important in the context of persistent storage: a function which returns the size of the type's representing class and a function which reconstructs an object which was loaded from disk to memory.

The `SizeOfObj`-function must be implemented to provide information about the object's size.

```
int
XPoint::SizeOfObj()
{
    return sizeof(XPoint);
}
```

When types should act as attributes of relations (refer to Section 4) a so called `cast`-function is needed for the proper reconstruction of persistent C++ objects. This function would look as follows:

```
void* XPoint::Cast( void* addr ) {  
    return (new (addr) XPoint);  
}
```

But it is not implemented in the example algebra, since the type is not intended to be used as an attribute type in relations which will be. This special syntax of the C++ new operator tells the C++ runtime environment that there is an object of type `XPoint` at address `addr`. The memory pointed to by `addr` must be unchanged by this operation.

Note: This can only be guaranteed if the standard constructor is an empty function. Moreover, this function should be the only one which calls the standard constructor.

1.2.3 Type Checking

When entering a type expression into a user interface, the query processor first does a type checking. This means that the structure of the argument passed to each type constructor is checked. If it is not correct, the input is not accepted. Actually, this checking is done by so-called type checking functions implemented in the algebra. At this point we have to specify the type checking function for the `XPoint` constructor. Since it has no arguments, this is trivial.

```
bool  
XPoint::CheckType( ListExpr type, ListExpr& errorInfo )  
{  
    return XPoint::checkType(type);  
}
```

In contrast to this example, writing type checking functions may get much more difficult for other types such as relations in the `RelationAlgebra`. There for example you have to check if the type of every attribute is a member of kind `DATA`. The file `Symbols.h` also contains many other useful symbols, like kind names (e.g. `Kind::SIMPLE()`, `Kind::REL()`).

1.2.4 Type Description

At the user interface, the command `list type constructors` lists all type constructors of all currently linked algebra modules. The information listed is provided by each algebra module itself. To be more precise, it is generated by the *property*-function of each type. Basically, this function returns a nested list which explains the type. The basic interface for this looks as follows. In the `PointRectangleAlgebra` it is used for `XPoint`.

```
ListExpr
XPoint::Property()
{
    return (nl->TwoElemList(
        nl->FiveElemList(nl->StringAtom("Signature"),
            nl->StringAtom("Example Type List"),
            nl->StringAtom("List Rep"),
            nl->StringAtom("Example List"),
            nl->StringAtom("Remarks")),
        nl->FiveElemList(nl->StringAtom("-> DATA"),
            nl->StringAtom("xpoint"),
            nl->StringAtom("<x> <y>"),
            nl->StringAtom("(-3 15)"),
            nl->StringAtom("x- and y-coordinates must be "
                "of type int.))));
}
```

Basically a list has to be returned with two sublists where the first contains labels and the second entries for these labels.

Alternatively, a more compact interface for this purpose is demonstrated below for type `XRectangle`. Using this interface it can be implemented by defining a subtype of class `ConstructorInfo`, e.g.

```
struct xrectangleInfo : ConstructorInfo {
    xrectangleInfo() : ConstructorInfo() {
        name          = XRectangle::BasicType();
        signature     = "-> " + Kind::SIMPLE();
        typeExample   = XRectangle::BasicType();
        listRep       = "(<xleft> <xright> <ybottom> <ytop>)" ;
        valueExample  = "(4 12 8 2)";
        remarks       = "all coordinates must be of type int.";
    }
};
```

Here again the symbols `XRECTANGLE` and `SIMPLE` are string constants defined in file `include/Symbols.h`.

1.3 Implementing Operators

An operator implementation needs to provide the following:

1. A type mapping function, which checks, whether its argument types are correct or not. If the arguments are correct the result type (not value) will be returned.
2. A selection function to decide for overloaded operators which of several value mapping functions should be used.
3. A value mapping function, which calculates the result value of an operation.
4. An operator description, to be used by the `list operators` command.

5. A syntax specification.
6. An example query using this operator, for automatic testing.

1.3.1 Type Mapping Functions

Before the query processor builds an operator tree, the query is analyzed and annotated. During this phase a list containing the arguments' type expressions is passed to the operator's type mapping function. A type mapping function checks, whether its argument types are correct or not.

If not, the result type is a list expression consisting of the symbol `Symbol::TYPEERROR()` which will also cause subsequent type mappings to fail. Thus the query will be not accepted in this case, since it contains a type mismatch. Generally it is a good idea not only to return `Symbol::TYPEERROR()`, but also to explain what kind of error occurred.

```
ListExpr insideTypeMap( ListExpr args )
{
    NList type(args);
    const string errMsg = "Expecting two rectangles "
                          "or a point and a rectangle";

    // first alternative: xpoint x xrectangle -> bool
    if ( type == NList(XPoint::BasicType(), XRectangle::BasicType()) ) {
        return NList(CcBool::BasicType()).listExpr();
    }

    // second alternative: xrectangle x xrectangle -> bool
    if ( type == NList(XRectangle::BasicType(), XRectangle::BasicType()) ) {
        return NList(CcBool::BasicType()).listExpr();
    }

    return NList::typeError(errMsg);
}
```

1.3.2 Selection Functions

Operators may be overloaded. Selection functions are used to select one of several evaluation functions for an overloaded operator, based on the types of the arguments. Below the selection function for operator **inside** is shown.

```
int insideSelect( ListExpr args )
{
    NList type(args);
    if ( type.first().isSymbol( XRectangle::BasicType() ) )
        return 1;
    else
        return 0;
}
```

Here the alternate list programming interface defined in `include/NList.h` is used. We will see below that an array of value mapping functions is constructed; the returned index 0 or 1 selects the appropriate function from that array.

1.3.3 Generic Type Mapping and Selection Functions

Many operators have very simple type mappings in the sense that their arguments and result types are atomic. The examples above also fall into this category. To liberate the user from the burden of implementing such type mappings, there are some generic functions called `SimpleMap` and `SimpleSelect` which can handle those simple cases.¹ Examples can be found in the `StandardAlgebra`. For example, the comparison operator “=” is overloaded many times but its type mappings and selection functions can be simply implemented by the following code lines:

```
const string maps_comp[6][3] =
{
  {CcInt::BasicType(),    CcInt::BasicType(),    CcBool::BasicType()},
  {CcInt::BasicType(),    CcReal::BasicType(),    CcBool::BasicType()},
  {CcReal::BasicType(),   CcInt::BasicType(),    CcBool::BasicType()},
  {CcReal::BasicType(),   CcReal::BasicType(),   CcBool::BasicType()},
  {CcBool::BasicType(),   CcBool::BasicType(),   CcBool::BasicType()},
  {CcString::BasicType(), CcString::BasicType(), CcBool::BasicType()}
};

ListExpr
CcMathTypeMapBool( ListExpr args )
{
  return SimpleMaps<6,3>(maps_comp, args);
}

int
CcMathSelectCompare( ListExpr args )
{
  return SimpleSelect<6,3>(maps_comp, args);
}
```

For non overloaded functions it is even more simple:

```
ListExpr
IntReal( ListExpr args )
{
  const string mapping[] = {CcInt::BasicType(), CcReal::BasicType()};
  return SimpleMap(mapping, 2, args);
}
```

One only needs to define an array of mappings as shown above and to pass the array and its dimensions to the generic functions.

1.3.4 Value Mapping Functions

For any operation and each allowed combination of argument types a value mapping function must be defined. Inside of these functions usually suitable class member functions of the passed arguments are called to compute the result. The resulting value then is written directly to an object provided by the query processor. Below we discuss the code for the value mapping of operator **inside** in the case of $xpoint \times xrectangle \rightarrow bool$.

1. Those functions are part of namespace mappings.


```
int
insideFun_PR ( Word* args, Word& result,
              int message, Word& local, Supplier s)
{
  XPoint* p = static_cast<XPoint*>( args[0].addr );
  XRectangle* r = static_cast<XRectangle*>( args[1].addr );

  result = qp->ResultStorage(s); //query processor has provided
                                //a CcBool instance to take the result

  CcBool* b = static_cast<CcBool*>( result.addr );

  bool res = ( p->GetX() >= r->GetXLeft() && p->GetX() <= r->GetXRight()
              && p->GetY() >= r->GetYBottom() && p->GetY() <= r->GetYTop() );

  b->Set(true, res); //the first argument says the boolean
                   //value is defined, the second is the
                   //real boolean value)

  return 0;
}
```

The parameters `message` and `local` can be ignored here. They are only needed for operators which process streams and are explained in Section 1.4. Pointers to the arguments of the operator are stored in the `args` array and need to be type casted to pointer variables of their respective type¹. The result of this operation is a boolean value represented by the C++ class `CcBool`. Apart from a `bool` member variable which holds the value it has another `bool` member which tells whether a value for it is defined or not. Many types offer the alternative value `undefined` which allows to return values of the correct type in critical cases, e.g. division by zero.

In total there are two value mapping functions collected in an array of pointers to functions.

```
ValueMapping insideFuns[] = { insideFun_PR, insideFun_RR, 0 };
```

The implementor has to take care, that (i) this array is null terminated, (ii) the value mapping alternatives are on their correct positions with respect to the operator's selection function.

1.3.5 Operator Descriptions

For use in the `list operators` command, each operator needs to supply a description. This is similar to the description of type constructors explained above. We show them for the operators `intersect` and `inside`. The latter one illustrates also the description of an overloaded operator.

1. At all casting is a dangerous technique and should be avoided wherever it is possible. It is dangerous because there is no way to check if the argument of the cast has the right data type at runtime. For example if the type mapping function has a logical programming error and maps to another type than expected in the value mapping function two things can happen: 1) `Secondo` crashes with a segmentation fault; this is the good situation since you will have a direct hint to the source of the problem. 2) No segmentation fault happens, but the memory the pointer points to is interpreted not correctly. Then you will have corrupted yet more or less senseless data. Unfortunately the `SECONDO` framework offers currently no way to avoid such programming errors.

```

struct intersectsInfo : OperatorInfo {

    intersectsInfo() : OperatorInfo()
    {
        name          = "intersects";
        signature      = XRectangle::BasicType() + " x " + XRectangle::BasicType()
            + " -> " + CcBool::BasicType();
        syntax        = "_ intersects _";
        meaning       = "Intersection predicate for two xrectangles.";
    }

};

struct insideInfo : OperatorInfo {

    insideInfo() : OperatorInfo()
    {
        name          = ; "inside"
        signature      = XPoint::BasicType() + " x " + XRectangle::BasicType()
            + " -> " + CcBool::BasicType();
        // since this is an overloaded operator we append
        // an alternative signature here
        appendSignature( XRectangle::BasicType() + " x "
            + XRectangle::BasicType()
            + " -> " + CcBool::BasicType() );
        syntax        = "_ inside _";
        meaning       = "Inside predicate.";
    }

};

```

1.3.6 Operator Syntax and Examples

Every algebra must provide syntax and example specifications. They are defined in the following files:

1. The `.spec`-file, which provides important information for the parser about the algebra's operators.
2. The `.example`-file, which provides query examples for the implemented operations.

The `.spec` file for the `PointRectangleAlgebra` looks like this:

```

operator intersects alias INTERSECTS pattern _ infixop _
operator inside alias INSIDE pattern _ infixop _

```

As you can see, both available operators are listed. By convention all operators are written in lower case. The structure of such a specification is:

```

operator <name> alias <ALIAS> pattern <pattern>

```

The `ALIAS` is the name of the token for the operator needed for the lexical analysis. This is needed for operators which use a mathematical symbol, e.g. `+`. All other operators can simply use the capitalized version of their name. Then, the `pattern` for the operator is given. The underscore symbol `"_"` denotes the places of arguments and `infixop` shows the position of the operator. Therefore, the

operator `intersects` would be used as `a intersects b` in a query. Other examples for operator specifications can be found in the complete `spec-file` which is the concatenation of all algebra `.spec-files`. This file is located in the `Algebras` directory.

Note, that operator names may be used in more than one algebra. It is necessary that the syntax specification of operators with the same name is identical. Otherwise, an error will be reported during the compilation process.

The `.example` file is located in the directory of the respective algebra and parsed during the startup of `SECONDO`. If examples are missing, you will notice error messages. This guarantees, that all examples have a correct syntax. Moreover, the examples need to specify a database on which they can be processed together with expected results. This is done at the beginning of the file:

```
Database : prttest
Restore  : NO
```

The `Restore` flag indicates whether the database should be restored each time anew before the following example queries are executed. For every operator records like the following must be defined.

```
Operator : inside
Number   : 1
Signature: xpoint x xrectangle -> bool
Example  : query p1 inside r1
Result   : TRUE
```

In case of an overloaded operator the field `Number` must contain subsequent numbers. The other fields should be self explanatory.

Example queries are executed when the command `Selftest <example-file>` is invoked in the `secondo/bin` directory; the example file is (after a `make`) available in a subdirectory `tmp`. The command `Selftest` (without any parameter) executes all example queries of all activated algebras in `SECONDO`. This can be used as a quick regression test to avoid side effects after implementation changes.

1.3.7 Linking the `PointRectangleAlgebra` to `SECONDO`

To link the algebra to the `SECONDO` system, it has to be registered with the algebra manager and to be plugged into the build process. Therefore it has to be entered in a configuration file and a `makefile`.

The first thing to do is to create a new directory in the `Algebras` directory of the `SECONDO` system. By convention the new directory name should be the same as the algebra name omitting the suffix “Algebra” (`PointRectangle` in this case). Afterwards the algebra file has to be copied to the new directory.

Then you need a `makefile` for the algebra directory including information about which files have to be compiled during the compilation process of the system. To create the `makefile` the easiest way is to copy a `makefile` from another algebra module and adapt it to the new algebra. In most cases you need to do nothing since there are default rules for creating `.o` files from `.cpp` files.

Next, the file `makefile.algebras` (located in the `SECONDO` main directory) has to be changed. This file contains two entries for every algebra. The first defines the directory name and the second the name of the algebra module like in the example below :

```
...
ALGEBRA_DIRS += PointRectangle
ALGEBRAS      += PointRectangleAlgebra

ALGEBRA_DIRS += BTree
ALGEBRAS      += BTreeAlgebra
...
```

If an algebra implementation depends on non-standard libraries, that should be linked, this must be declared here, just like with the third line of the registration of the `PictureAlgebra`, that depends on the `jpeg` library `libjpeg`:

```
ALGEBRA_DIRS += Picture
ALGEBRAS      += PictureAlgebra
ALGEBRA_DEPS += jpeg
```

The last step is to register the algebra in the algebra manager. To do this it has to be added to the list of algebras in `AlgebraList.i.cfg` in the `Algebras/Management` directory. Here, the algebra must be entered together with its name implied by the name of the initialize-function and a unique algebra number (just choose an unused number).

```
...
ALGEBRA_INCLUDE(1,StandardAlgebra)
ALGEBRA_INCLUDE(2,FunctionAlgebra)
ALGEBRA_INCLUDE(3,RelationAlgebra)
ALGEBRA_INCLUDE(4,PointRectangleAlgebra)
ALGEBRA_INCLUDE(5,StreamExampleAlgebra)
...
```

Now, all configuration is done for the `PointRectangleAlgebra`. Execute the `make` file in the `SECONDO` main directory to link the new algebra. After the process has finished, start `SECONDO` and type `list algebra PointRectangleAlgebra` to see its operators and type constructors.

1.4 Handling Streams

The `StreamExampleAlgebra` is a small example demonstrating how to implement operators which process streams of objects. For data types which may have very big representations like a relation with millions of tuples, streaming is necessary to provide efficient implementations (otherwise big intermediate results must be materialized) of operations. Hence this example helps to understand much more sophisticated algebras using streams such as the `RelationAlgebra`.

In this example algebra, operators for the construction of streams of `int` values are provided together with some operators which have such streams as arguments. In contrast to the `PointRectangleAlgebra` this algebra doesn't have any constructors, since a new stream is constructed using an operator, not a constructor. Although the keyword `stream` is used in type mappings like a type constructor

there is no algebra module which provides such a type. Instead it is interpreted by the query processor and has effects for the construction and evaluation of the query tree. The algebra provides the following operators:

- **intstream:** $\text{int} \times \text{int} \rightarrow \text{stream}(\text{int})$
Creates a stream of integers containing all integers from the first up to the second argument. If the second argument is smaller than the first, the stream will be empty.
- **count:** $\text{stream}(\text{int}) \rightarrow \text{int}$
Returns the number of elements in an integer stream.
- **printintstream:** $\text{stream}(\text{int}) \rightarrow \text{stream}(\text{int})$
Prints out all elements of the stream. Returns the argument stream unchanged.
- **filter:** $\text{stream}(\text{int}) \times (\text{int} \rightarrow \text{bool}) \rightarrow \text{stream}(\text{int})$
Filters the elements of an integer stream by a predicate.

Again, we strongly recommend to have a close look at the implementation of the algebra. Here, we only describe the new concepts and the exciting parts of the new algebra.

Type Mapping Functions

The first thing to do is to implement the type mapping functions for the four operators. As an example for this the mapping for **intstream** will serve:

```
ListExpr intstreamType( ListExpr args ) {
    string err = "int x int expected";
    if(!nl->HasLength(args,2)){
        return listutils::typeError(err);
    }
    if(!listutils::isSymbol(nl->First(args)) ||
        !listutils::isSymbol(nl->Second(args))){
        return listutils::typeError(err);
    }
    return nl->TwoElemList(nl->SymbolAtom(Stream<CcInt>::BasicType()),
                          nl->SymbolAtom(CcInt::BasicType()));
}
```

Note that a stream is treated like an atom in the nested list representation. The static function `Stream<CcInt>::BasicType()` of template class `Stream<T>` returns the type list for a stream of T -objects, which is `(stream typeexpr(T))`. The function will use the static `BasicType()` function to create the type expression for the stream elements. If you want to create the type list manually, you should use the string constant `Symbol::STREAM()`, which is available from `Symbols.h`. Nothing more of this piece of code should be new. The type mapping for other operators is quite similar.

Value Mapping Functions

To be able to understand how the value mapping functions work, we have to take a closer look at stream operators in general. Stream operators manipulate streams of objects. They may consume one or more input streams, produce an output stream, or both. For a given stream operator α , let us call

the operator receiving its output stream its successor and the operators from which it receives its input streams its predecessors. Now, stream operators work as follows: Operator α is sent a `REQUEST` message from its successor to receive a stream object. Operator α in turn sends a `REQUEST` to its predecessors. The predecessors either provide an object (sending back a `YIELD` message) or don't have objects any more (sending back a `CANCEL` message). Figure 2 shows the protocol dealing with streams.

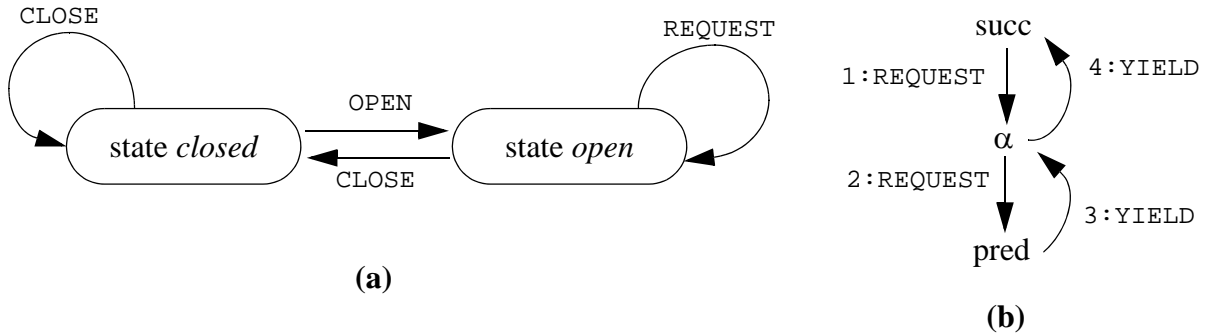


Figure 2: (a) State Diagram for Streams, (b) Execution trace

The first message sent to an operator producing a stream must be `OPEN`. This converts the stream from the state `closed` to the state `open`. Afterwards, either `REQUEST` or `CLOSE` messages can be sent to the stream. Sending `REQUEST` means, that the successor would like to receive an object. The response can be a `YIELD` message (giving the object to the successor, remaining in state `open`) or a `CANCEL` message (no further objects are available, switching to state `closed`). The successor may send a `CLOSE` message, which means that it does not wish to receive any further objects even though they may be available. This also transforms the stream into state `closed`.

When trying to simulate stream operators by algebra functions, it can be observed that a function need not relinquish control (terminate) when it sends a message to a predecessor. This can be treated pretty much like calling a parameter function. However, the function needs to terminate when it sends a `YIELD` or `CANCEL` message to the successor. This makes it necessary to write the function in such a way that it has some local memory and that each time when it is called, it just delivers one object to the successor.

In the following example for a value mapping function for `intstream`, the possible messages are encoded by the special symbols:

`OPEN`, `REQUEST`, `CLOSE`, `YIELD`, and `CANCEL`

The messages are passed as parameter and in the case of an request message `YIELD` and `CANCEL` are used as return value. The parameter `local` is used to store local variables that must be maintained between calls to the stream. More information about stream operators can be found in [GFB+97].

```
int
intstreamFun
  (Word* args, Word& result, int message, Word& local, Supplier s)
```

```
{
  struct Range { // an auxiliary record type
    int current;
    int last;

    Range(CcInt* i1, CcInt* i2) {
      if (i1->IsDefined() && i2->IsDefined())
      {
        current = i1->GetIntval();
        last = i2->GetIntval();
      }
      else
      {
        current = 1;
        last = 0;
      }
    }
  };
  Range* range = 0;
  CcInt* i1 = 0;
  CcInt* i2 = 0;
  CcInt* elem = 0;
```

```
switch( message )
{
  case OPEN: // initialize the local storage

    i1 = ((CcInt*)args[0].addr);
    i2 = ((CcInt*)args[1].addr);
    range = new Range(i1, i2);
    local.addr = range;
    return 0; // no special return message

  case REQUEST: // return the next stream element

    if(local.addr)
    {
      range = ((Range*) local.addr)
    }
    else
    {
      return CANCEL;
    }
    if ( range->current <= range->last )
    {
      elem = new CcInt(true, range->current++);
      result.addr = elem;
      return YIELD;
    }
    else
    {
      result.addr = 0;
      return CANCEL;
    }

  case CLOSE: // free the local storage
    if( local.addr)
    {
      range = ((Range*) local.addr);
      delete range;
    }
    return 0;
}
/* should never happen */
return -1;
}
```

As we can see, three different messages, namely OPEN, REQUEST and CLOSE, are handled in the function. In the section for OPEN the argument values are extracted and a value for range is set and stored in the local variable. In the REQUEST section range is read and a new int value is computed if the current value for range is smaller than the last stream value. In this case, YIELD is returned. Otherwise the result is CANCEL. Finally, in the CLOSE section, the stream is closed.

The value mapping function for operator count shows how a stream is consumed:


```
...
pq->Request(args[0].addr, elem);
while ( qp->Received(args[0].addr) )
{
    count++;
    ((Attribute*)elem.addr)->DeleteIfAllowed(); //consume stream object
    qp->Request(args[0].addr, elem);
}
...
```

Note, that for any operator that produces a stream, its arguments are not evaluated automatically. To get the argument value, the value mapping function needs to use `qp->Request` to ask the query processor for evaluation explicitly. A call of `Received` returns `TRUE`, if the previous call of `Request` responded with a `YIELD` message. If it responded with `CANCEL`, the result is `FALSE`. Together with streaming a mechanism for reference counting is needed to avoid unnecessary in memory copies of objects and memory leaks. The `DeleteIfAllowed` function deletes a stream element if it is not used anywhere else. Section 2 will explain more details about this.

In `filterFun` a parameter function is used to let through only selected stream objects:

```
Word elem, funresult;
ArgVectorPointer funargs;

...

case REQUEST:

    // Get the argument vector for the parameter function.
    funargs = qp->Argument(args[1].addr);

    // Loop over stream elements until the function yields true.
    qp->Request(args[0].addr, elem);
    while ( qp->Received(args[0].addr) )
    {
        // Supply the argument for the parameter function.
        (*funargs)[0] = elem;

        // Instruct the parameter function to be evaluated.
        qp->Request(args[1].addr, funresult);
        CcBool* b = static_cast<CcBool*>( funresult.addr );
        bool funRes = b->IsDefined() && b->GetBoolval();

        if ( funRes ) // Element passes the filter condition
        {
            result = elem;
            return YIELD;
        }
        else // Element is rejected by the filter condition
        {
            // consume the stream object (allow deletion)
            static_cast<Attribute*>(elem.addr)->DeleteIfAllowed();

            // Get next stream element
            qp->Request(args[0].addr, elem);
        }
    }
}
```

```
}  
  
// End of Stream reached  
result = SetWord(Address(0));  
return CANCEL;
```

2 The Relational Algebra and Tuple Streams

The Relational Algebra implements relations formed by a collection of tuples, which in turn contain a collection of attributes. It also provides basic operators to interoperate between relations and tuple streams, thus tuple streams can be created from a relation using the **feed** operator, while a relation can be created by applying the **consume** operator to a tuple stream. In this chapter, you will learn how to implement operators dealing with tuple streams and contained tuples and attributes.

At this point, you should be familiar with the SECONDO Relational Algebra as a user, which means that you know how to create and query relations.

You should also know about creating algebras in detail, i.e., creating type constructors, operators, etc. because more sophisticated concepts about type constructors and operators will be handled in this section. You should know, which functions a SECONDO data type may inherit from class `Attribute` and which of these you are required to overwrite.

It is also important that you understand the concepts in the `StreamExampleAlgebra`, namely data streams and parameter functions, which are used in almost all operators in the Relational Algebra.

2.1 The Relational Algebra Implementation

The Relational Algebra provides two type constructors: *rel* and *tuple*. The structural part of the relational model can be described by the following signature:

kinds IDENT, DATA, TUPLE, REL

type constructors

→ DATA

(IDENT × DATA)⁺ → TUPLE

TUPLE → REL

example typeconstructors

int, real, string, bool

tuple

rel

Therefore a tuple is a list of one or more pairs $\langle identifier, type \rangle$. A relation is built from such a tuple type.

In the Relational Algebra, relations are files (`SmiRecordFile` class) containing variable length records (`SmiRecord` class), each record storing one tuple. For more detail on files and records, see Section 5.

Another important structure that is used by the Relational Algebra is the Database Array (`DBArray` class). DBArrays are used to implement complex attribute types, such as *region* for example.

To explain its structure, we need first to explain the concept of FLOBs, which stands for **F**aked **L**arge **O**bjects. There is a tradeoff between storing large objects inline with tuples and in a separate record. Not always an instance of a large object uses a large amount of storage. As an example,

imagine that the *region* type constructor has a large object to store its array of segments. Imagine then that we have in the system a relation that stores rectangles as regions. Rectangles need to store only four segments and then, the storage needed for rectangles is not large. Therefore, it is preferable to store the rectangles inline with the tuple. To solve this problem, the concept of a FLOB was created. It is an abstraction of a large object (LOB) that decides where to store the objects. If the size of the large object is smaller than a specified threshold, then the “large” object is stored inline with the tuple, and otherwise it is stored in a separate record.

Every relation that contains at least one attribute with FLOBs has a separate variable length record file to store the large FLOBs. It is important to note that large FLOBs are only read from disk when needed.

Database arrays are constructed on top of FLOBs. The difference between FLOBs and DBArrays is that a FLOB is a sequence of bytes (in disk or in memory) without structure, and a DBArray is a structured array implemented as a C++ template. For more detailed information about FLOBs and DBArrays, see Section 3.

For efficient retrieval of FLOBs, a FLOB cache is used. The size of the FLOB cache can be set in the `SecondoConfig.ini` configuration file. The FLOB cache is also important for better memory utilization, since there is a limit of memory utilization per operator.

We are now able to present the tuple representation (Figure 3):

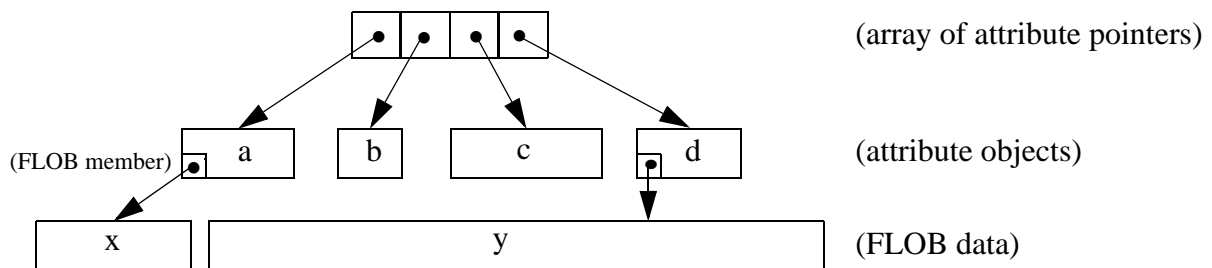


Figure 3: Tuple Representation in Memory

A tuple contains an array of pointers to attributes (class `Attribute` and its subclasses). It may be the result of the `In`-function for example, where every attribute is created separately. When a tuple needs to be written to disk (see Figure 4), the “root blocks“ of all attributes (a, b, c, d) are copied to form a contiguous block in memory. “Small“ FLOBs (“x“ in this case) are stored also contiguously after the attributes in a memory block called tuple-extension. Then, the complete block is moved to a “Record File“ on disk. Large FLOBs are kept separately; they are written into a separate “FLOB File“ on disk. When a tuple is read from disk, its compacted root record is brought into memory and the structure described by Figure 3 is reconstructed. Data from the FLOB File is only loaded, when explicitly accessed.

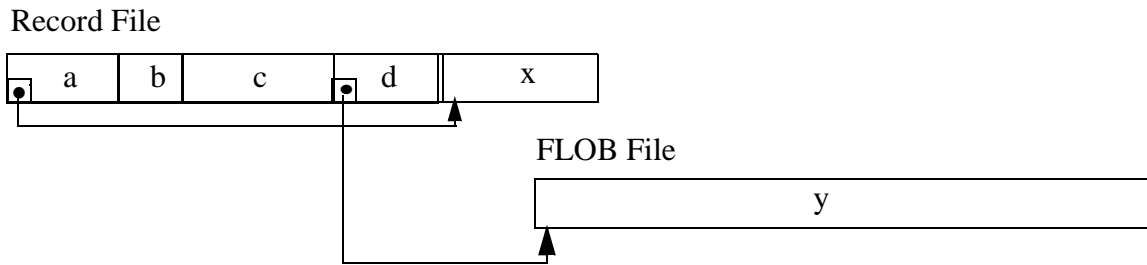


Figure 4: Tuple Representation on Disk

Finally, to avoid copying tuples and attributes when it is not necessary, references are passed and reference counters are kept. The object data is only deleted when its reference counter is decreased to 0. The following functions are used in order to provide this functionality:

Attribute

For attributes, reference counters are maintained automatically:

Copy

This function is used to create a new reference to an attribute, incrementing the reference counter of the attribute. Since we use one `uint16_t` to store this reference counter, it is possible to overflow this value. In this case a clone of the attribute is done using the next function. Remember, that due to this fact, you cannot be sure, that changes to the object data of one reference will affect all “copies“ at once!

Clone

This (virtual abstract) function does a forced clone of the attribute. Each clone has its own object data, and reference counter, starting with reference counter `refs = 1`.

DeleteIfAllowed

This function decreases the reference counter and, if it becomes 0, deletes the attribute.

Tuple

For each tuple, it is within the responsibility of the programmer to maintain the correct state of the reference counters. You must keep track of every single pointer to a tuple you maintain. When creating a new tuple, the reference counter is initialized with 1.

IncReference

This function increments the reference counter and must be used when a copy of a tuple pointer has been created, and this pointer is kept by some operation. If you call `IncReference`, you are obliged to call `DeleteIfAllowed` when releasing the reference.

DeleteIfAllowed

This function decreases the reference counter of a tuple and deletes it if the counter becomes 0. You must call this function, if you give up any reference to a tuple (and the tuple is not used any more). If you hand over the tuple reference, e.g. as the result of a value mapping function, do not call `DeleteIfAllowed`, unless you created further references by yourself.

CopyAttribute

This function is very important and copies an attribute from one tuple to another. It calls the `Copy` function of the attribute.

In the next sections the implementation of some operators is explained in detail. If you look for the implementation files you should know that the Relational Algebra is divided into two algebra modules called `RelationAlgebra` and `ExtRelationAlgebra`. The first one implements the *rel* and *tuple* type constructors and basic operators like **feed**, **project**, and **consume** whereas the second one implements some more special operations. There is even a third module, by historic reasons it is called `OldRelationAlgebra`. It provides the type constructors *mrel* and *mtuple*, which implement relations which stay in memory. We will not explain the `OldRelationAlgebra` any further here.

2.2 Operators

The most important operators in the relational algebra are described below:

- **feed**: produces a stream of tuples from a relation.
- **consume**: the contrary of **feed**, i.e., produces a relation from a stream of tuples.
- **rename**: changes only the type, not the value of a stream by appending the characters supplied as argument to each attribute name.
- **filter**: receives a stream of tuples and passes along only tuples for which the parameter function evaluates to `true`.
- **attr**: retrieves an attribute value from a tuple. This operator is called, if the dot “.”/“..” notation is used inside some operators, like **filter** for example.
- **project**: implements the relational projection operation on streams.
- **product**: implements the relational cartesian product operation on streams.
- **count**: counts the number of tuples in a stream or in a relation.

It is important to note that most of the operators of the relational algebra run on streams of tuples instead of directly on relations. Exceptions are the **feed** operator, that produces the streams, and the **count** operator, that is allowed to count the number of tuples also directly on relations.

2.3 Type Mapping Functions and APPEND

Up to now, in the algebra implementation tasks, the type mapping functions of all operators were very simple. A type mapping function takes a nested list as an argument. Its contents are type

descriptions of an operator's input parameters. A nested list describing the output type of the operator is returned. The **feed** operator's type mapping function, for example, can be described as

$$((\text{rel } x)) \rightarrow (\text{stream } x)$$

where x is a tuple type. This means that it receives a relation of tuples of type x as an argument and returns a stream of the same tuple type x .

In the relational algebra we have some type mapping functions that are quite complex and use the special keyword `APPEND` in the result type.¹ We will show the need and the effects of the `APPEND` keyword using the **attr** and **project** type mapping functions as examples.

Attr Type Mapping Function

The **attr** operator takes a tuple and retrieves an attribute value from it. The type mapping function should be:

$$((\text{tuple } ((x_1 \ t_1) \dots (x_n \ t_n))) \ x_i) \rightarrow t_i$$

where x_i is an attribute name, and t_i is its data type, both indexed by i . The type mapping function of the **attr** operator is:

```
ListExpr AttrTypeMap(ListExpr args){
  if(nl->ListLength(args)!=2){
    return listutils::typeError("two arguments expected");
  }
  ListExpr first = nl->First(args);
  if(!Tuple::checkType(first)){
    return listutils::typeError("First arguments must be tuple(...)");
  }
  ListExpr second = nl->Second(args);
  if(!listutils::isSymbol(second)){
    return listutils::typeError("Second arguments must "
      "be an attribute name");
  }

  string name = nl->SymbolValue(second);
  ListExpr attrtype;
  int j = listutils::findAttribute(nl->Second(first),name,attrtype);
  if(j==0){
    ErrorReporter::ReportError("Attr name " + name +
      " not found in attribute list");
    return nl->TypeError();
  }
  return nl->ThreeElemList(nl->SymbolAtom(Symbol::APPEND()),
    nl->OneElemList(nl->IntAtom(j)),
    attrtype);
}
```

The variable `first` contains the list `(tuple ((x1 t1)...(xn tn)))` and the `second` contains the attribute name `xi` that we are interested in retrieving. The function `findAttribute` receives a list of

1. The keyword `APPEND` is available as a string by using `Symbol::APPEND()` from file `Symbols.h`.

pairs of the form $((x_1 \ t_1) \dots (x_n \ t_n))$, an attribute name and a data type that will be filled as a result. It then determines, whether the attribute name occurs as one of the attributes in this list. If so, the index in the list (beginning from 1) is returned and the corresponding data type is put in the attribute data type argument. Otherwise 0 is returned.

In this way, the value mapping function can get a tuple and an attribute name as arguments. But, in this level it does not know about the tuple type, and therefore it would be impossible to determine the attribute index. Moreover, it would be inefficient to compute the index once for every tuple processed in a stream. Since we calculated the index in the type mapping function, it would be nice that another argument should be added and used in the value mapping function. This will be done using the `APPEND` keyword. The technique used is that the type mapping function returns not just the mere result type, but a list of the form

```
(APPEND (<newarg1> ... <newargn>) <resulttype>)
```

`APPEND` tells the query processor to add the elements of the following list $(\langle \text{newarg1} \rangle \dots \langle \text{newargn} \rangle)$ to the argument list of the operator as if they had been written in the query. Using this approach, we can pass the attribute index as another argument to the value mapping function of the `attr` operator as it is shown below.

```
((tuple ((x1 t1)...(xn tn))) xi)    -> (APPEND (i) ti)
```

This resulting type mapping function will pass the tuple t , the attribute name x_i and the attribute index i to the value mapping function as arguments, and set the attribute type t_i to be the result type of the operator.

The main difference is that instead of returning only the attribute type, the type mapping function returns a three element list containing first the keyword `APPEND`, then the attribute index, i.e. what we want to be appended, and finally the attribute type, which will be the result type of the operator. The value mapping function¹ is then:

```
int
Attr(Word* args, Word& result, int message, Word& local, Supplier s)
{
    Tuple* tupleptr;
    int index;

    tupleptr = (Tuple*)args[0].addr;
    index = ((CcInt*)args[2].addr)->GetIntval();
    result = SetWord(tupleptr->GetAttribute(index - 1));
    return 0;
}
```

It takes the arguments from the array `args`. The first argument in position 0 is the tuple, the second (position 1) is the attribute name which is not used in the value mapping (but was used in the Type Mapping, as described before), and the third in position 2 is the attribute index passed with the

1. The details on Value Mapping Functions for tuple streams are explained in the following section. Here, we only want to demonstrate how to use the `APPENDED` argument.

APPEND command. The function then returns the attribute value at this position pointed to by the attribute index.

Project Type Mapping Function

Following the same idea presented above for the **attr** operator, the **project** operator also uses the APPEND command. The **project** operator's type mapping function acts like the description below.

$$\begin{aligned} & ((\text{stream } (\text{tuple } ((x_1 T_1) \dots (x_n T_n)))) (a_{i_1} \dots a_{i_k})) \rightarrow \\ & \quad (\text{APPEND} \\ & \quad \quad (k (i_1 \dots i_k)) \\ & \quad \quad (\text{stream } (\text{tuple } ((a_{i_1} T_{i_1}) \dots (a_{i_k} T_{i_k})))))) \end{aligned}$$

which means that it receives a stream of tuples with tuple description $((x_1 T_1) \dots (x_n T_n))$ and a set of attribute names $(a_{i_1} \dots a_{i_k})$. The type mapping function will return not only the result type, which is a stream of tuples containing only the attributes in the argument set $(a_{i_1} \dots a_{i_k})$, i.e., a stream of tuples with description $((a_{i_1} T_{i_1}) \dots (a_{i_k} T_{i_k}))$. It uses the APPEND command to append a set of attribute indexes $(i_1 \dots i_k)$, and the number of attributes k contained in the set. The **project** operator's type mapping function is shown below:

```
ListExpr ProjectTypeMap(ListExpr args)
{
  bool firstcall = true;
  int noAttrs=0, j=0;

  // initialize local ListExpr variables
  ListExpr first=nl->TheEmptyList();
  ListExpr second=first, first2=first,
    attrtype=first, newAttrList=first;
  ListExpr lastNewAttrList=first, lastNumberList=first,
    numberList=first, outlist=first;
  string attrname="", argstr="";

  if(nl->ListLength(args)!=2){
    ErrorReporter::ReportError("tuplestream x arglist expected");
    return nl->TypeError();
  }
  first = nl->First(args);

  if(!listutils::isTupleStream(first)){
    ErrorReporter::ReportError("first argument has to be a tuple stream");
    return nl->TypeError();
  }

  second = nl->Second(args);

  if(nl->ListLength(second)<=0){
    ErrorReporter::ReportError("non empty attribute name list"
      " expected as second argument");
    return nl->TypeError();
  }
}
```

```
noAttrs = nl->ListLength(second);
set<string> attrNames;
while (!(nl->IsEmpty(second)))
{
  first2 = nl->First(second);
  second = nl->Rest(second);
  if (nl->AtomType(first2) == SymbolType)
  {
    attrname = nl->SymbolValue(first2);
  }
  else
  {
    ErrorReporter::ReportError(
      "Attributename in the list is not of symbol type.");
    return nl->SymbolAtom(Symbol::TYPEERROR());
  }
  if(attrNames.find(attrname)!=attrNames.end()){
    ErrorReporter::ReportError("names within the projection "
      "list are not unique");
    return nl->TypeError();
  } else {
    attrNames.insert(attrname);
  }
}

j = listutils::findAttribute(nl->Second(nl->Second(first)),
  attrname, attrtype);

if (j)
{
  if (firstcall)
  {
    firstcall = false;
    newAttrList =
      nl->OneElemList(nl->TwoElemList(first2, attrtype));
    lastNewAttrList = newAttrList;
    numberList = nl->OneElemList(nl->IntAtom(j));
    lastNumberList = numberList;
  }
  else
  {
    lastNewAttrList =
      nl->Append(lastNewAttrList,
        nl->TwoElemList(first2, attrtype));
    lastNumberList =
      nl->Append(lastNumberList, nl->IntAtom(j));
  }
}
else
{
  ErrorReporter::ReportError(
    "Operator project: Attributename '" + attrname +
    "' is not a known attributename in the tuple stream.");
  return nl->SymbolAtom(Symbol::TYPEERROR());
}
}
outlist =
  nl->ThreeElemList(
```

```

nl->SymbolAtom(Symbol::APPEND()),
nl->TwoElemList(
    nl->IntAtom(noAttrs),
    numberList),
nl->TwoElemList(
    nl->SymbolAtom(Symbol::STREAM()),
    nl->TwoElemList(
        nl->SymbolAtom(Tuple::BasicType()),
        newAttrList)));
return outlist;
}

```

This function starts setting the `first` and `second` variables with the lists of the tuple representation and the set of attribute names desired in the projection, respectively. The number of resulting attributes is taken from the length of the attribute names list, and a variable `first2` is used to iterate inside the set of attribute names. The `findAttribute` function is used again to retrieve the attribute index given an attribute name and a list of these attributes indexes called `numberList` is constructed. A list containing the pairs `<attribute name, attribute type>` is also constructed using the `newAttrList` variable. The return of the **project** operator's type mapping function is a list of three elements, the first containing the `APPEND` command, the second containing the information that will be appended as arguments passed to the value mapping function, i.e., the set of attributes indexes and the size of this set, and the third containing the result type of the **project** operator, which is a stream of tuples containing the pairs in the `newAttrList` variable.

This example shows that we can pass more than one argument with the `APPEND` command. In this case, we pass the number of attributes and the list containing the attribute indexes.

The example also shows, how error messages can be sent to the use. While in the **attr** value mapping `listutils::typeError(string errmsg)` was used to create the `typeerror` symbol and send the error message, here we split this command in two, first calling `ErrorReporter::ReportError(string errmsg)` to report the problem and then calling `Symbol::TYPEERROR()` to create the `typeerror` keyword.

2.4 Value Mapping Functions

In this section, we will take a closer look into the **feed**, **project** and **consume** operators' value mapping functions. The **feed** operator's value mapping function is a good example of the stream algebra concepts, whereas with the **consume** operator we can show how tuple deletion works. Finally, with the **project** operator we show how attributes are copied.

Feed Operator Value Mapping Function

Let us now take a closer look on the value mapping function of the **feed** operator, for a review of the stream algebra concepts:

```

int
Feed(Word* args, Word& result, int message, Word& local, Supplier s)

```

```
{
  GenericRelation* r;
  GenericRelationIterator* rit;

  switch (message)
  {
    case OPEN :
      r = (GenericRelation*)args[0].addr;
      rit = r->MakeScan();

      local = SetWord(rit);
      return 0;

    case REQUEST :
      if(local.addr)
      {
        rit = (GenericRelationIterator*)local.addr;
      }
      else
      {
        return CANCEL;
      }
      Tuple *t;
      if ((t = rit->GetNextTuple()) != 0)
      {
        result = SetWord(t);
        return YIELD;
      }
      else
      {
        return CANCEL;
      }

    case CLOSE :
      if(local.addr)
      {
        rit = (GenericRelationIterator*)local.addr;
        delete rit;
      }
      return 0;
  }
  return 0;
}
```

When the message is an `OPEN`, the **feed** operator retrieves the argument relation into `r`, initializes the iterator `rit`, and stores this iterator in a special variable called `local`. This `local` variable is used to keep the state of the operator, i.e., it is a way to simulate the storage of local variables as static. Then, in the `REQUEST` message, the **feed** operator gets the iterator back from the `local` variable, retrieves the next tuple from it, and puts it into the `result` variable. If there are no more tuples available in the iterator, then `CANCEL` is returned, otherwise `YIELD` is returned. This `result` variable together with the return of the function (`YIELD` or `CANCEL`) will be used by the operator which sent the `REQUEST` message to the **feed** operator. Finally, in the `CLOSE` message, the iterator is closed and `0` is returned, which means success.

Bear in mind, that the **feed** operator receives the tuples from the `GenericRelation` object (with its reference counter = 1). Therefore, and because it only passes on the reference to each tuple, but does not maintain any further reference on it, we do not need to copy each tuple or call `IncReference()` for it. But, whoever requests a tuple from a tuple stream operator (like our **feed**) and receives it, takes over the responsible for finally calling `DeleteIfAllowed()` on it. Usually, this responsibility is passed on from stream operator to stream operator until a “tuple sink“ operator, like **consume** or **count**, is reached.

Consume Operator Value Mapping Function

Let us now take a closer look into the **consume** operator’s value mapping function:

```
int Consume(Word* args, Word& result, int message,
            Word& local, Supplier s){
    Word actual;

    GenericRelation* rel = (GenericRelation*)((qp->ResultStorage(s)).addr);
    if(rel->GetNoTuples() > 0){
        rel->Clear();
    }

    Stream<Tuple> stream(args[0]);
    stream.open;

    Tuple* tup;
    while( (tup = stream.request()) != 0){
        rel->AppendTuple(tup);
        tup->DeleteIfAllowed();
    }
    stream.close();

    result.setAddr(rel);

    return 0;
}
```

As mentioned before, the **consume** operator receives tuples from a stream and builds a relation containing these tuples. Actually, creating the relation is not a task of the **consume** operator, but of the query processor. The query processor previously creates storage at the query tree construction time for the type constructor’s result type returned in the type mapping function. The function `ResultStorage` of the query processor returns a pointer (as a `Word`) to this created object.

There are special cases where the **consume** operator can be called inside a loop – in the **loopjoin** operator for example – and the storage is created only once by the query processor. Therefore, it is necessary to empty the relation retrieved from the query processor before proceeding.

The function then sends the stream messages `OPEN` and `REQUEST`, to retrieve the first tuple from the stream argument. In this example, we use a more convenient way to handle the stream processing: Instead of directly dealing with the query processor using its `Open(...)`, `Request(...)`, `Received(...)` and `Close(...)` functions, here we employ the `Stream<T>` class. The stream class

is described in file `stream.h` and allows us to abstract from query processor calls. All we need to do is calling the classes constructor for a stream of `Tuple` class elements, passing the argument `args[0]` as parameter. Then, we can use the stream object's `request()` method to collect one stream tuple after another. When the stream finally gets exhausted, `request()` returns 0 and we just need to call `close()` on the stream object to close the tuple stream. When a tuple is received, the **consume** operator appends the tuple to the result relation, tries to delete it, and asks for the next one from the stream object. After closing the stream the operator returns 0, meaning success. Note that the operator does not directly delete tuple, but calls the function `DeleteIfAllowed`.

This is, because some other operators may still hold references on certain tuples. These tuples will be finally deleted from memory, when their reference counters have been decreased to 1 and `DeleteIfAllowed` is called once more for them. If you keep references on tuples within an operator, make sure you call `IncReference()` exactly once for each copy, and release the reference when you do not need then any more by calling `DeleteIfAllowed()` once per refence, again.

The value mapping reflects the “tuple sink” nature of the consume operator: It will consume the complete tuple stream in order to calculate its result.

Project Operator Value Mapping Function

Let us now take a closer look on the value mapping function of the **project** operator, in order to show how attributes are copied.

```
int
Project(Word* args, Word& result, int message,
        Word& local, Supplier s)
{
    switch (message)
    {
        case OPEN :
        {
            ListExpr resultType = GetTupleResultType( s );
            TupleType *tupleType = new TupleType(nl->Second(resultType));
            local.addr = tupleType;
            qp->Open(args[0].addr);
            return 0;
        }
        case REQUEST :
        {
            Word elem1, elem2;
            int noOfAttrs, index;
            Supplier son;

            qp->Request(args[0].addr, elem1);
            if (qp->Received(args[0].addr))
            {
                TupleType *tupleType = (TupleType *)local.addr;
                Tuple *t = new Tuple( tupleType );

                noOfAttrs = ((CcInt*)args[2].addr)->GetIntval();
                assert( t->GetNoAttributes() == noOfAttrs );
            }
        }
    }
}
```

```
for( int i = 0; i < noOfAttrs; i++)
{
    son = qp->GetSupplier(args[3].addr, i);
    qp->Request(son, elem2);
    index = ((CcInt*)elem2.addr)->GetIntval();
    t->CopyAttribute(index-1, (Tuple*)elem1.addr, i);
}
((Tuple*)elem1.addr)->DeleteIfAllowed();
result = SetWord(t);
return YIELD;
}
else return CANCEL;
}
case CLOSE :
{
    ((TupleType *)local.addr)->DeleteIfAllowed();
    qp->Close(args[0].addr);
    return 0;
}
}
return 0;
}
```

The operator starts only by retrieving the result tuple type from the query processor, in the OPEN message. For every tuple it receives in the REQUEST message, a new resulting tuple is created and every attribute is copied with the help of `CopyAttribute`, and finally the tuple is (possibly) deleted with `DeleteIfAllowed`. Finally, in the CLOSE message, the argument stream is closed.

3 DbArray - An Abstraction to Manage Data of Widely Varying Size

3.1 Overview

The `DbArray` class provides an abstract mechanism supporting instances of data types of varying size. It implements an array, whose slot size is fixed, however, the number of slots may grow dynamically. `DbArray` is implemented as a template class and provides the following interface:

| Creation/Removal | Access | Restructure | Other |
|-----------------------|----------|-------------|-------------|
| <code>DbArray</code> | Append | resize | Size |
| <code>~DbArray</code> | Get | clean | GetFlobSize |
| Destroy | Put | Sort | GetUsedSize |
| | Find | Restrict | GetElemSize |
| | copyFrom | TrimToSize | GetCapacity |
| | copyTo | | |

The class is derived from the class `Flob` with the purpose of hiding the complexity of managing large objects. A detailed description about the interface can be found in the file `DbArray.h`.

A `Flob` (Faked Large Object) decides whether an object has to be loaded or stored on disk and how it is distributed over different record files. `Flobs` were invented to improve the storage process of tuples potentially containing large objects into records of a storage management system. Based on a threshold value, small objects are stored within tuple records, whereas large objects are swapped out into separate records; for details refer to [DG98]. Hence, the design of the `DbArray`, `Flob` and the tuple representation within the relational algebra was coordinated to support a simple integration of new data types with varying size into the relational data model with a general mechanism for achieving persistence.

3.2 Example

As an example for the usage of class `DbArray`, the implementation of the `PolygonAlgebra` [Poly02] can be studied. It uses a class `Polygon` having a private member `vertices` of type `DbArray`:

```
struct Vertex {
    ...
    int x;
    int y;
};
```



```
class Polygon {
    ...
    void Append( const Vertex& v );
    void Complete(); // set state = complete
    void Destroy(); // destroy the DbArray vertices
    ...
private:
    int noVertices;
    int maxVertices;
    DbArray<Vertex> vertices;
    PolygonState state;
};

void Polygon::Append( Vertex& v )
{
    assert( state == partial );
    vertices.Append( v );
}

void Polygon::Destroy()
{
    assert( state == complete );
    vertices.Destroy();
}
...
```

These are only some incomplete code fragments, but they demonstrate the usage of `DbArray`. Since `DbArray` is a template class it is type safe and instantiated with parameter type `Vertex`, a simple struct representing (x, y) coordinates. The assertion `state == partial` is just a helpful instrument to locate errors during the development, a polygon may be in state `partial` or `complete`.

Important Note: The structure of any data type intended to be suitable as parameter type for class `DbArray` is strictly required to have the following structure:

1. It must consist of a single block of memory, hence all attributes of such a class must themselves have fixed size (so the compiler embeds their memory blocks into the block of the class instance). In other words, the only types that can be used as class members are scalar types like `int`, `float`, `char[]`, etc.
2. It is not possible to use pointer structures or classes from libraries, e.g. a binary tree or a string, as type of member variables.
3. It is also not allowed to nest Flobs or DbArrays, e.g. `DbArray<DbArray<int>>` will be accepted by the compiler but does not work at runtime.
4. A `DbArray<T>` cannot store instances of types, which are subtypes of `T`. For example, it's not possible to store regions and line instances within a `DbArray<Attribute>`.

The algebra's `In`-function expects to receive a list of structure $((x_1 y_1) \dots (x_n y_n))$ and will create a new instance of class `Polygon`. Therefore it iterates over the nested list, which is passed to it by an update, let or restore command. During the iteration process the `Polygon::Append` function is used

to fill the DbArray member vertices with Vertex-values. This is demonstrated in the example below.

```
Word
InPolygon( const ListExpr typeInfo, const ListExpr instance,
           const int errorPos, ListExpr& errorInfo, bool& correct )
{
    Polygon* polygon = new Polygon( 0 );

    ListExpr first = nl->Empty();
    ListExpr rest = instance;
    while( !nl->IsEmpty( rest ) )
    {
        first = nl->First( rest );
        rest = nl->Rest( rest );

        if( nl->ListLength( first ) == 2 &&
            nl->IsAtom( nl->First( first ) ) &&
            nl->AtomType( nl->First( first ) ) == IntType &&
            nl->IsAtom( nl->Second( first ) ) &&
            nl->AtomType( nl->Second( first ) ) == IntType )
        {
            Vertex v( nl->IntValue( nl->First( first ) ),
                     nl->IntValue( nl->Second( first ) ) );
            polygon->Append( v );
        }
        else
        {
            correct = false;
            delete Polygon;
            return SetWord( Address(0) );
        }
    }
    polygon->Complete();
    correct = true;
    return SetWord( polygon );
}
```

The function `clean` deletes the current content of a Flob, allowing for the instance to be reused. Usage of `Destroy` completely removes all underlying records. The latter is typically done in a data type's delete function.

```
void DeletePolygon(Word& w)
{
    Polygon* polygon = (Polygon*)w.addr;

    polygon->Destroy();
    delete polygon;
}
```

Here `polygon->Destroy` calls `vertices->Destroy`. The `Put` and `Get` methods which are not used in the examples above simply return or set the value for a given array index, which are numbered from 0 to `Size() - 1`. If the data type of the array is ordered, one could provide a comparison func-

tion. Then the `find` method can be used to do a binary search on the persistent representation, and the `sort` method will re-organize the array in sorted order.

3.3 Accessing Flobs Directly

The `DbArray` class provides a nice and clean abstraction mechanism to support arrays of varying size, containing elements of fixed size. But, if the data type is not well organized in arrays, one can use directly an object of class `Flob`. This would be important for a type constructor which needs to store long and variable sized texts, for example. Texts can be viewed as an array of characters, but it would be better to store them directly into a `Flob` to avoid lots of calls to `Put` and `Get` functions. The `Flob` class provides an interface similar to the `DbArray` class, but the `Put` and `Get` functions are replaced by `read` and `write` functions. Their interface is shown below:

```
void write( const char* buffer, const SmiSize length, const SmiSize offset);
void read(char* buffer, const SmiSize length, const SmiSize offset );
```

They read (write) into source (target), from an offset until length in bytes. Let us see an example of the `BinaryFileAlgebra`, which provides the type constructor *[binfile](#)*. This algebra stores in a `SECONDO` object the byte sequence of a file. The storage must be provided by the caller of the function sketched below:

```
#include "Base64.h"

class BinaryFile : public Attribute
{
public:
    ...

    void Encode( string& textBytes );
    void Decode( string& textBytes );
    bool SaveToFile( char *fileName );

private:
    Flob binData;
    bool canDelete;
};
```

Since `SECONDO` relies on textual representation of data during import and export, binary data must be encoded in printable characters. Base 64 [BF93] is a widely used encoding format for this purpose. The `Encode` and `Decode` functions convert binary to textual representation and vice versa. The examples below demonstrate access to a `Flob` object, i.e., how to use the `read` and `write` functions.

```
void BinaryFile::Encode( string& textBytes ) const{
    Base64 b;
    if( !IsDefined() ){
        textBytes = "";
        return;
    }
    size_t mysize = binData.getSize();
    char bytes[mysize];
    binData.read( bytes, mysize, 0 );
    b.encode( bytes, mysize , textBytes );
}
```

Both functions use the `Base64` class that provides functions for encoding binary data and decoding Base 64 data. The `Encode` function first allocates some bytes for reading and then calls the function `read` to read in the bytes from the `Flob`. These bytes are in binary format and they are passed to the `encode` function of the `Base64` class creating a string with characters of the Base 64 alphabet.

```
void BinaryFile::Decode( const string& textBytes ){
    Base64 b;
    int sizeDecoded = b.sizeDecoded( textBytes.size() );
    char *bytes = (char *)malloc( sizeDecoded );

    int result = b.decode( textBytes, bytes );

    if( result <= sizeDecoded ){
        binData.resize( result );
        binData.write( bytes, result, 0 );
        SetDefined( true );
    } else {
        binData.clean();
        SetDefined( true );
    }
    free( bytes );
}
```

The `Decode` function does the contrary, it decodes a Base 64 text string into a block of binary bytes and stores it in the `Flob` using the function `write`. Note that before copying data into the `Flob` its capacity must be adjusted with the `resize` function.

3.4 Interaction with the Relational Algebra

The requirements for data types to be used as relation attributes are discussed in detail in the next section, but when `DbArrays` or `Flobs` are used, two functions inherited from class `Attribute` must be implemented. An example of these functions for the *`binfile`* type constructor is shown below.

```
int BinaryFile::NumOfFLOBs()  
{  
    return 1;  
}  
  
Flob *BinaryFile::GetFLOB(const int i)  
{  
    assert( i >= 0 && i < NumOfFLOBs() );  
    return &binData;  
}
```

As data types can have any fixed number of Flobs, it is necessary to implement a mechanism to access all of them. In this way, the function `NumOfFLOBs` must return how many Flobs the data type has. The function `GetFLOB` associates each contained Flob with a unique index i , $0 \leq i < \text{NumOfFLOBs}()$, and returns the Flob identified by the requested index i .

3.5 Important Hint

The standard constructor of a `Flob` or `DbArray` (the one without any arguments) must only be used within the standard constructor of an attribute type. If you want to initialize or define an object, use the constructor taking the initial capacity as a parameter instead. The following examples illustrates this:

| wrong | correct |
|---|---|
| <pre>... Flob a; DbArray<int> b;</pre> | <pre>... Flob a(0); DbArray<int> b(0);</pre> |
| <pre>class MyClass{ public: MyClass(){ } private: Flob f; DbArray<X> g; };</pre> | <pre>class MyClass{ public: MyClass():f(0),g(0){ } private: Flob f; DbArray<X> g; };</pre> |

4 Kind DATA: Attribute Types for Relations

When data types shall be used as attributes of a relation object, every C++ class implementing such a type has to implement a special set of functions, which are used by operators of the relational algebra. These functions include methods to compare object values, load and save them to disk, and print them. These functions have been encapsulated into a C++ class named `Attribute`. Any attribute type must inherit from this class and additionally register for the kind `DATA`. This is done in the Algebra constructor by calling `AssociateKind(Kind::DATA())` for those types.

Additional functions needed to use indexes on attribute types (as e.g. implemented by the `BTreeAlgebra` [BTree02]) have been encapsulated into a class called `IndexableStandardAttribute`, which is a specialization of class `Attribute`. Datatypes inheriting from this class may be registered for kind `Kind::INDEXABLE()`. The class hierarchy is:

`Attribute` → `IndexableStandardAttribute`.

The functions of each class are explained in more detail in the tables below:

| Class <code>Attribute</code> (1): Functions with default implementations | |
|--|--|
| Function | Description |
| <code>NumOfFLOBS</code> | You must overwrite this function if your class contains Flob members. Returns the number of <code>Flobs</code> (<code>DbArrays</code>) used in the class. Default implementation returns zero. ^a |
| <code>GetFLOB</code> | You must overwrite this function if your class contains Flob members. Returns a pointer to a <code>Flob</code> object. |

| | |
|--------------------------|--|
| getUncontrolled-FlobSize | Some Flobs play dirty tricks to speed up computation and may use memory not controlled by the <code>FlobManager</code> . To accurately calculate the current storage consumption of an object, add the return value of this function to the return value of <code>SizeOf()</code> . Do not overwrite. |
| Open | May be used as standard method for opening a persistent object. Can be useful in the implementation of type constructors. Cannot be overwritten. ^b |
| Save | The complementary function to <code>Open</code> . Makes an object persistent. Cannot be overwritten. ^c |
| Initialize | Is called after an object has been loaded from disk. The default-implementation does nothing (what is what you most likely want). |
| Finalize | Is called before an object is written to disk. The default-implementation does nothing (what is what you most likely want). |
| Print | Should be overwritten to print out useful information on an <code>ostream</code> , mainly for debugging. But the function is also used in several generic output operators (like the <code>printstream</code> operator). |
| operator<< | Should be implemented to write an instance of this class into an <code>ostream</code> object. |
| IsDefined | Do not overwrite this function! This function is used to indicate if the object represents a valid value or not; for example, a division by zero results into an integer object with status “not defined”. ^d |
| SetDefined | Used to set the object’s “defined” status. If you really need to overwrite this function, you must call the <code>SetDefined</code> function of the attribute type’s superclass. |
| checkType | The basic implementation of class <code>Attribute</code> checks, whether the type is associated with kind <code>DATA</code> . Generally, this static function should checks, whether a <code>ListExpr</code> represents a valid type description for the implemented attribute type. If the type is not parameterized, you can check whether the <code>ListExpr</code> represents the type name (also see static function <code>BasicType()</code>). If the type is parameterized, you should also check for these parameters here! |

a. Flobs are used to store variable amounts of data (large objects). They are explained in the Chapter on `DbArrays`.

b. Use the global template function `OpenAttribute<Attribute-Class>(...)` as `Open`-Function of your `Attribute`-type. This function calls `Attribute::Open(...)`.

c. Use the global template function `SaveAttribute<Attribute-Class>(...)` as `Save`-Function of your `Attribute`-type. This function calls `Attribute::Save(...)`.

d. Since September 2008, the class `Attribute` maintains the defined flag, thus every subclass automatically provides this flag. It can be accessed by the member `del.isDefined` (`del` is a struct). In older code you may observe that subclasses provide a `bool` member of their own and implementations of `Isdefined` and `SetDefined`. This is not necessary any more.

The `Open` and `Save` functions define useful standard implementations for creating or restoring persistent versions of an object with `Flob`¹ members, hiding the complexity of reading and writing data from memory into records. If the data type does not contain members of type `Flob`, the functions `NumOfFLOBS` and `GetFLOB` do not need to be refined. The functions `Initialize` and `Finalize` are

1. Flobs are explained in the Chapter on `DbArrays`.

only needed for Algebras written in Java and employing the JNI (Java Native Interface) for integration into SECONDO.

When you **need to overwrite** one of the attribute functions, be careful and use the **correct name and signature**. Otherwise the compiler will interpret your function as a new member function of your subclass and at runtime the default implementation will be used which may raise serious runtime errors.

In contrast to all that, the following functions are purely virtual functions, hence they have **no default** implementation. For these ones the compiler will remind you with an error message, since pure virtual functions need to be implemented in the child class.

| Class Attribute (2): Purely virtual functions (without default implementation) | |
|--|---|
| Function | Description |
| SizeOf | Must be overwritten. Returns the memory size needed to store an instance of the class. This information is needed for calculating the size of a tuple (e.g. to calculate how many tuples fit into a buffer). A typical implementation is to return <code>sizeof(<class name>)</code> . The returned size does not include the size of data kept externally within <code>Flobs</code> . |
| Compare | Must be overwritten. Defines a total order on objects of the attribute type. This is, for example, used by the sortBy operator of the relational algebra. Returns an integer value V . If the the current instance (<code>this</code>) is „smaller“ than the function’s argument, V should be negative (usually -1). If both objects are „equal“, V becomes 0, if the argument is smaller than <code>this</code> , V becomes positive (usually +1). Note, that there is a convention on how to treat undefined values [F1]. |
| Adjacent | Decides whether the current instance (<code>this</code>) is adjacent to an instance of the same type passed as argument. Examples: 2 and 3 are adjacent integers; “abc“ and “abd“ are adjacent strings. This is used by operations merging intervals. |
| Clone | Must be overwritten. This is needed by several operators of the relational algebra. Clone will deep-copy the object. The result is a completely new object, with its own storage and reference counter. |
| HashValue | Must be overwritten. This is a function which maps values to integers used by hash-functions. E.g. the hashjoin operator of the relational algebra needs this information. It is a bad design to map all instances to the same hash value. |
| CopyFrom | Must be overwritten. Copies the value of a referenced attribute into this object. This is used by several operators of the relational algebra. Remember, any changes may be transparent for all references created using <code>Attribute::Copy()</code> , but not those, that have been created using <code>Attribute::Clone()</code> . As only a limited number of references is possible for each object, sometimes, an object will be cloned when you intend to copy it. As a result, you should never rely on transparent changes to references to an attribute. |

Any datatype, that inherits from `Attribute` may be registered with kind `DATA` and used as an attribute within a relation.

| Class <code>IndexableStandardAttribute</code> | |
|---|--|
| Function | Description |
| <code>BasicType</code> | Should be implemented. Returns the attribute classes basic type name (like “int”, “rel”, “set”). It can be used by your <code>checkType()</code> implementation and should be used throuout the type mapping functions. |

| Class <code>IndexableStandardAttribute</code> | |
|---|---|
| Function | Description |
| <code>WriteTo</code> | Must be overwritten. Converts the value of an object into an uniqe (order preserving) key value. |
| <code>SizeOfChars</code> | Must be overwritten. Length of the key value. |
| <code>ReadFrom</code> | Must be overwritten. Restores an object value from its key. |

Important Note: For a data type used as an attribute type holds the same as for types used for `DbArrays`: Each object of that type must be represented as a single block of memory with a fixed size; it may not contain pointer structures. It may contain a fixed number of `Flobs` or `DbArrays`. If dynamic data structures like trees are needed they have to be embedded into `Flobs`, e.g. using `DbArrays`, with array indices serving as pointers.

The reason for this restriction is that the mechanism for building tuple data structures with the automatic placement of `Flobs` is based on this assumption. In addition, all “pointers” are automatically stable regardless of how values are placed in memory.

At first glance, an algebra implementor may not understand the need for all of these functions. However, the relational algebra makes use of all of them. So, the implementation of these functions is essential.

Refer to the `DateTimeAlgebra` [Date04] as an example for an algebra whose types are made available as attribute types in relations. The example below describes some parts of the C++ class `DateTime`.

```
class DateTime : public IndexableStandardAttribute {
...
/*
The next functions are needed for the DateTime class to act as
an attribute of a relation.
*/
    int         Compare(Attribute* arg);
    bool        Adjacent(Attribute*);
    int         Sizeof();
    bool        IsDefined() const;
    void        SetDefined( bool defined );
    size_t      HashValue();
    void        CopyFrom(StandardAttribute* arg);
    DateTime*   Clone();
    void        WriteTo( char *dest ) const;
    void        ReadFrom( const char *src );
    SmiSize     SizeOfChars() const;
...
}
```

In the implementation of its `open` and `save` function one can see how the standard `open` and `save` methods of class `Attribute` are reused. Of course, class `DateTime` has no FLOB members but it would work the same way if it had some of them.

```
bool OpenDateTime( SmiRecord& valueRecord,
                  size_t& offset,
                  const ListExpr typeInfo,
                  Word& value ){
    DateTime *dt =
        (DateTime*)Attribute::Open( valueRecord, offset, typeInfo );
    value = SetWord( dt );
    return true;
}

bool SaveDateTime( SmiRecord& valueRecord,
                  size_t& offset,
                  const ListExpr typeInfo,
                  Word& value ){
    DateTime *dt = (DateTime *)value.addr;
    Attribute::Save( valueRecord, offset, typeInfo, dt );
    return true;
}
```

4.1 Serialization

For several reasons a more compact disk storage [Doc08] especially of naturally small datatypes like `int`, `real` or `bool` is desirable. In order to support this feature, an interface for serialization was intro-

duced into class `Attribute`. From now on we distinguish between three flavours of attribute storage types:

- (a) Default-Serialization. Variable data is managed by FLOBs and stored in the extension of the tuple.
- (b) Core-Serialization. A more compact data representation which has always a fixed size and is stored directly in the core part of a tuple. For example an integer can always be stored by a sequence of 5 bytes which encode the defined flag and a 4 byte value.
- (c) Extension-Serialization. Variable sized data not managed by FLOBs but stored inside the extension part. The core part will only contain 4 bytes used as offset into the extension.

To support serialization three virtual functions defined in class `Attribute` need to be overwritten. Below the implementation of class `CcInt` for type `int` is shown:

```
// Attribute.h:
// enum StorageType { Default, Core, Extension, Unspecified };

inline virtual StorageType GetStorageType() const { return Core; }

    inline virtual size_t SerializedSize() const
    {
        return sizeof(int32_t) + 1;
    }

    inline virtual void Serialize(char* storage, size_t sz, size_t offset)
const
    {
        WriteVar<int32_t>(intval, storage, offset);
        WriteVar<bool>(del.isDefined, storage, offset);
    }

    inline virtual void Rebuild(char* state, size_t sz )
    {
        size_t offset = 0;
        ReadVar<int32_t>(intval, state, offset);
        ReadVar<bool>(del.isDefined, state, offset);
    }
```

Function `SerializedSize` must return the actual size of an object, a replacement for the `sizeof` function. The functions `Serialize` and `Rebuild` write bytes to a byte block or read data from a byte block given as a pointer to `char`. Note that the parameter `sz` in the function `Rebuild` is only of interest for the default implementation, thus it is ignored here. For scalar data types the template functions `WriteVar` and `ReadVar` are helpful to store and restore member variables.

Note: C++ Classes which implement serialization cannot be used to construct a `DbArray` over them, since the `DbArray` still relies on the default serialization mechanism.

4.2 Supporting the ImExAlgebra

The ImExAlgebra provides operators supporting import and export to and from CSV (comma separated file). The algebra can generically import any attribute types, which are members of kind CSVIMPORTABLE and export any type which is member of kind CSVEXPORTABLE. There is also some support for DB3 tables and SHAPE files.

The according interfaces are part class `Attribute`. If generic import and export should be used for a new data type, the standard implementation of the following functions must be overwritten with type specific implementations:

| Interface for kinds CSVIMPORTABLE and CSVEXPORTABLE | |
|--|--|
| Function | Description |
| <code>getCsvStr</code> | Returns a string representation for CSV export. |
| <code>hasBox</code> | Returns true, iff the attribute has a bounding box (e.g. implements a spatial type). Required for SHAPE import/ export |
| <code>writeShape</code> | Writes the binary object data to a <code>ostream</code> object. Used for SHAPE export. |
| <code>ReadFromString</code> | Restores an object from a string representation (for CSV import). |
| <code>getMinX</code> , <code>getMaxX</code> , <code>getMinY</code> , <code>getMaxY</code> , <code>getMinZ</code> , <code>getMaxZ</code> , <code>getMinM</code> , <code>getMaxM</code> | Returns minimum and maximum values for the object's MBR (minimum bounding rectangle). Required for SHAPE export. |
| <code>getshpType</code> | Returns a string describing the exported SHAPE file type. |
| <code>hasDB3Representation</code> | Returns true, iff the object has a DB3-Representation. |
| <code>getDB3Type</code> | Returns a character indicating the exported DB3 type. |
| <code>getDB3Length</code> | Returns an unsigned char describing the length of the exported DB3 type. |
| <code>getDB3DecimalCount</code> | Returns the number of decimals used in DB3 export as a unsigned char. |
| <code>getDB3String</code> | Returns a string with the DB3 representation of the object. For DB3 and SHAPE export. |

4.3 The Golden Rules for Implementing Attribute Types

When implementing a new attribute data type (i.e. any class derived from class `Attribute`) the following golden rules must be obeyed:

1. **Always define the standard constructor (the one receiving no arguments) with an empty implementation.** It is an even better idea to declare it to be a `private/protected` function. A non-empty implementation will clash with the persistency mechanisms and destroy attribute data!

2. **Always implement a non-standard implementation** (one that gets at least one argument). We strongly advise to implement a constructor getting a boolean argument to create a defined or undefined class instance.
3. **For attributes with a Flob or DbArray, every non-standard constructor must set an initial size for the Flob/ DbArray.**
4. **Within each non-standard constructor: First call a non-standard constructor of the direct superclass!** This ensures that finally defined flags and reference counters are set up in the correct way.
5. **Never use the standard constructor in any other location than inside the Cast-Function.** New objects created by the standard constructor will contain invalid defined flags, reference counters etc.
6. **Only use the (empty) standard constructor within the Cast-Function.** Any other constructor will collide with the persistency mechanisms and destroy the attribute data!
7. **Before accessing the elements of a ListExpr (nested list representation), verify that the access is compatible with the list's structure.**

5 Query Processing and Overview on Function Usage

In this section we once again collect information on the functions required by type constructors and operators. We also give some short examples, on how the query processor applies these functions during the execution of `SECONDO` commands.

5.1 Type Constructors

When a type constructor object is created, the according class constructor requires a lot of function pointers as parameters. This section describes in which contexts all these parameters are used. This will help to understand the behaviour of `SECONDO` and help to locate errors during the execution of `SECONDO`.

5.1.1 The Constructor's Name

The *name* identifies a type within the algebra manager. When initialising an algebra, each type name is assigned to a unique combination of *algebra id* and *type id*. On the user level, *name* is used to form type expressions, e.g. within constant expressions (like “int”, “rel” and “string” in `[const int value -45]` and `[const rel(tuple([Name string, Age int])) value (("Monika" 22) ("Alfred" 48))]`), and within the nested list representation of objects and databases, which are used during import and export. The *name* is also used in the type mapping functions for operators, which are called during the type inference process. If the C++ class implementing your type constructor (data type) overwrites the `BasicType()` function, it should always return *name*.

5.1.2 Property Function

The *property function* provides a description of the type, intended to be displayed to the user. It is called, when the user uses the commands `list algebras`, `list algebra <algebra-name>`, or `list type constructors`. If one of these commands crashes, you should check the property function. Furthermore, this function is used during the creation of the system table `SEC2TYPEINFO`, which basically is a dictionary for all currently available type constructors (data types) in `SECONDO`.

5.1.3 Out Function

This function converts the internal representation of an object into its nested list format. `SECONDO`'s user interfaces do not have access to the internal representation of objects. Hence, this function is called after each command producing a result. When a single object or a whole database is exported to disk, this function is also called.

5.1.4 In Function

This function is the complement of the out function. It is called when reading in constant expressions (e.g. the In-function for `CcInt` is called to create an int-constant from the expression `[const int value -45]`), and when importing databases or objects from disk.

5.1.5 SaveToList and RestoreFromList

These functions are deprecated and should no longer be used.

5.1.6 Create Function

This function creates a new object instance. When creating the operator tree for a query, the query processor allocates memory for the result of each node of the operator tree. To do so (and initialize the results) it calls the *Create function*. If an object is used as an attribute of a relation, this function is also used when reading a tuple from the relation.

5.1.7 Delete Function

This function removes an object completely. This means not only the main memory representation of the object is destroyed, but also all parts of the object outsourced to the file system are removed. The function is used when removing an object from a database using the `delete <objectname>` command and to clean the result storage of a node of the operator tree.

5.1.8 Close Function

This function destroys the main memory representation of an object. If the object also has persistent parts on the file system, these remain untouched. When destroying the operator tree, this function is called for each node holding a database object. Hence, the main memory is freed but the persistent database object is kept.

5.1.9 Open Function

This is the complement of the Close function. Parts of the object are loaded from disk into the main memory.

5.1.10 Save Function

Makes an object persistent. This function is called within the `let` command.

5.1.11 Clone Function

Cloning means creating a depth copy of an object. The function is required to make a persistent copy of the result of a `let` command (e.g. `let ten2 = ten`). The copy includes persistent parts of the object. Since any persistent parts of results associated with the operator tree's nodes are kept in a special temporary environment within the file system, they would otherwise be lost after deconstruction of the operator tree.

5.1.12 SizeOf Function

This function return the size of the C++ class representing the type. This is required to be able to allocate the correct amount of main memory before an object is loaded from disk.

5.1.13 Cast Function

This function casts a `void` pointer into a class type pointer using a special syntax of the `new` operator. Whenever an object is loaded from disk, this function is called. This basically corrects diverse function pointers within the C++ image of the object. Without correctly casting the object, invalid function pointers, which are stored with the rest of the object data image by SECONDO's persistency mechanism, will produce strange errors (especially when the system has been rebuilt in the meantime).

5.1.14 TypeCheck Function

This function checks whether a nested list is a correct type description for the appropriate SECONDO type. This function is called when importing an object or a database from disk, or when creating a constant object. It is important for parameterized type constructor, like container types (vector, rel, array, etc.). Then, this function has to check whether the type parameter description is correct.

5.2 Additional Functions for Attribute Data Types

First of all, an attribute data type must inherit from the `Attribute` class. Furthermore, for such a type it is forbidden to use dynamic in-memory structures (using pointers and objects created using `new`) to store data. Also, classes managing pointers cannot be used as members within attribute data types (e.g. `string`).

5.2.1 Standard Constructor

The Standard constructor – the one getting no arguments – must exactly do: *nothing*. The *only* place where this constructor may be used, is the cast function. Do *never* initialize any members within the standard constructor. It is a good idea to make it a private function.

5.2.2 Non-Standard Constructor

You have to provide at least one non-standard constructor. It has to call a non-standard-constructor of the super class (for example `Attribute(bool)` if directly inherited from `Attribute`). All members should be initialized within this constructor. In particular, all Flob members must be initialized with a non-negative integer-value (meaning the size of the Flob).

5.2.3 Compare

This function compares two attributes of the same type. It returns 0, if this object is equal to the parameter object p , -1, if this object is less than p , and 1 if this object is greater than p . This function is called by generic comparison functions, e.g. when sorting a relation.

5.2.4 Adjacent

This function returns whether this object is adjacent to the object given as a parameter. This function is required when defining range types (like *rint*, *r*, *periods*).

5.2.5 HashValue

The function computes the hash value for this object. Ensure to give a fast and clean implementation of this function for a good support of the Hash-Join.

5.2.6 CopyFrom

If this function is called, the value of this object is taken from the argument. This function is used by some operators. For example, the **ifthenelse** operator will change the result storage of its node to the value of one of its sons' results depending on a boolean value.

5.2.7 NumOfFLOBs

Returns the number of Flobs used within the class. It is mostly used to iterate over the Flobs within the class. If this function is not overwritten correctly, storing an object into a relation and reading it from a relation will fail.

5.2.8 GetFLOB

Returns one of the Flobs used by the class. This function is called by the persistency mechanisms when an attribute is written to disk.

5.2.9 Print

Writes a representation of this attribute's current value to an output stream. The function is used within the **printstream** operator and the **data2text** operator. It's very useful for debugging.

5.2.10 BasicType

This function returns the *name* (see above) of the **SECONDO** type constructor. It is used within type mapping functions.

5.2.11 checkType

This implements the type check function for the object (see above). Using it as a function with fixed signature enables one to use it within template functions.

5.2.12 IsDefined

Each attribute within **SECONDO** can be undefined. Such objects mostly signalize an error within the computation, e.g. division by zero, or missing data in a table. Some operators, as the **outerjoin**, operate using undefined values. Thus, it is similar to a **NULL** value in other database systems. The function is regularly used in value mappings to check for whether an argument is defined or not. Never overwrite this function.

5.2.13 SetDefined

Sets the defined state of an object. It is used in type mappings: If one of the arguments is undefined, also the result is usually undefined (error propagation). The **extract** operator applied to an empty stream uses this function to signalize missing data by invalidating its result. Another example operator using this function is **outerjoin**.

5.3 Operators

5.3.1 Name

This is the name of the operator. It may be an identifier or a mathematical symbol. In contrast to a type name, an operator name is not unique within **SECONDO**. This means, it is possible to have several operators sharing the same name.

5.3.2 Specification

This is a string used to give the user a description of an operator. It is used when commands `list algebras` or `list algebra <algebra-name>` are called. Furthermore, this description is used to build the system relation `SEC2OPERATORINFO`, that provides the `SECONDO` user with information on all currently available operators.

5.3.3 Type Mapping

This function checks whether an operator can handle a certain combination of types as parameters. If so, the resulting type is returned, the symbol `typeerror` otherwise.

5.3.4 Value Mapping Function

This function computes the result of the operator applied to its arguments. There are two different cases, namely operators computing a single result, and operators computing a stream of results.

5.3.5 Number of Value Mappings

If the operator has more than a single signature, a set of different value mappings are used to handle them. The count of such overloaded value mapping functions corresponds to this number.

5.3.6 Value Mappings

This is an array containing the value mappings for all signatures covered by this operator.

5.3.7 Selection Function

This function decides, which of the value mappings within the value mapping array is to be used to handle a certain combination of parameter types (a certain signature). The input of this function is the same as for the type mapping. The result is the index within the value mappings array.

5.3.8 Syntax Definition

The syntax definition of an operator is part of the `<AlgebraName>.spec` file of an algebra. Here it is specified, how the user has to compose operator and arguments when forming expressions. If there are different operators with the same name, their syntax specifications must all be the same. This is checked during the compilation of `SECONDO`.

5.3.9 Example

Within another file, `<AlgebraName>.example`, for each operator an example application must be given together with the result of this example. This is used in daily tests of `SECONDO`. Furthermore, the example query is used to build the system relation `SEC2OPERATORINFO`. Note that the operator is disabled in `SECONDO`, if no example is present. A notice on excluded operators is prompted to the user during the startup of `SECONDO`. So, if you cannot use your newly implemented operator, check for that notice!

5.4 Example Commands and their Evaluation in Secondo

This section explains, how the above-mentioned functions are used by the `SECONDO` framework.

5.4.1 list algebra `<AlgebraName>`

The system searches for an algebra with the given name. If found, it first iterates over the provided type constructors. For each type constructor, the property function is called and the result of this function is inserted into the result list. After that, the contained operators are scanned. For each operator, the operator's specification is used to create a nested list from it. This list is inserted into the result.

5.4.2 query `[const int value 3] + [const value 5.0]`

First, this query is converted into a nested list. In this list, all operators are represented in prefix notation. The Parser uses the syntax specification of the operator “+”, as defined in the `.spec` file of the `StandardAlgebra`.

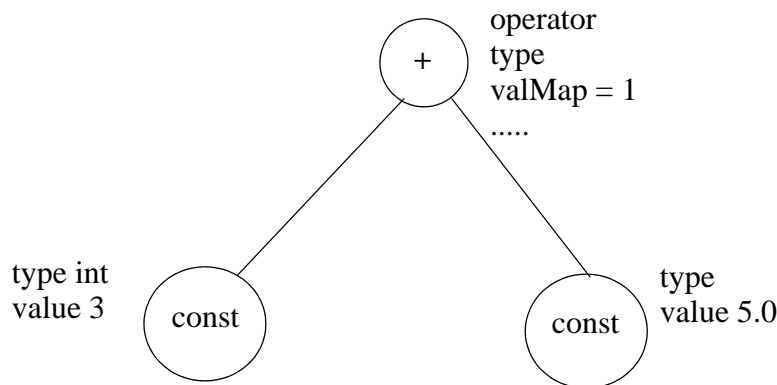
The result is a nested list: `(query (+ (int 3) (5.0)))`

Checking for the first identifier, the used `SECONDO` interface recognizes this list to be a query. It uses the query processor to create an operator tree from the remaining expression `(+ (int 3) (5.0))`

The query processor inspects the list and adds information to it. Analyzing the sublist `(int 3)`, it will find out, that `int` is a type constructor defined within the `StandardAlgebra`. It creates a node within the operator tree. The type of this node is set to be *int*. The query processor now applies the type check function for type constructor *int* to check the correctness of the type description. Then it calls the `IN-Function` of the corresponding type constructor with a nested list (consisting only of an `int` atom) and assigns the result to the result storage of this node. The sublist `(5.0)` is processed in a similar way – just instead of an *int* constant, a `constant` is recognized and created. After that, the operator `+` is found in the expression. The parameters to this operator have already being analyzed – they are the aforementioned *int* and `constant` values. The query processor now starts to iterate over all algebras to check whether an operator with name “+” exists. If so, the type mapping of this operator is called to check whether this operator can handle the parameter type combination `(int)`. In our example, the

query processor will find a corresponding operator within the first searched algebra, the `StandardAlgebra`: The according type mapping returns as the result type for the given parameter combination. (Type mappings rejecting a parameter combination always return the symbol `typeerror`.) The node for the “+” operator is set to have the type , which exactly is the result type of the type mapping function, that will be used. The query processor calls the `Create` function of the type constructor to initialize the result storage of this node.

Now, the Selection function for operator “+” is called. It returns 1, because the parameter combination (`int`) is handled by the second entry within the value mapping array. This index is stored in the node of the operator tree. Now, the complete expression has been analyzed by the query processor, which has also initialized the operator tree for the query. The tree looks like (simplified):



After creating the tree, the query processor now checks whether the result of this operator tree is evaluable. Because our example tree produces a single value, the test succeeds. If the final result type of the operator tree was a stream or a function, it would have been not evaluable and the query processor could not compute a result.

However, since in our example the root node is evaluable, the query processor calls the `eval` function to compute the result of the root node. It finds out, that the topmost node is a usual operator. So, it will evaluate all sons of the node first. Both sons are just constant values. No further evaluation is required, since the constant values have already been created and stored in the result storage during the construction of the operator tree. The query processor collects the result storages of the sons within an array `P`. In this example, the array will contain an integer and a value (wrapped by a word). After returning to the “+” node, the query processor calls the value mapping function stored at this node using `P` as arguments. Each argument is represented by a void pointer. Hence, the value mapping first casts the arguments to the according classes (`CCInt` and `CC`). Note that no type checking is done by the compiler! If the type mapping or the selection function is not correctly implemented, strange errors will occur. The value mapping sets the value of the result storage to be the result of the sum of the arguments.

After that, the result is copied into a variable. Then, the operator tree is destroyed. For the result storage of the nodes the `Close` or the `Delete` function is called. Which one depends on the kind of the node: data base objects are closed, constants and intermediate results are deleted.

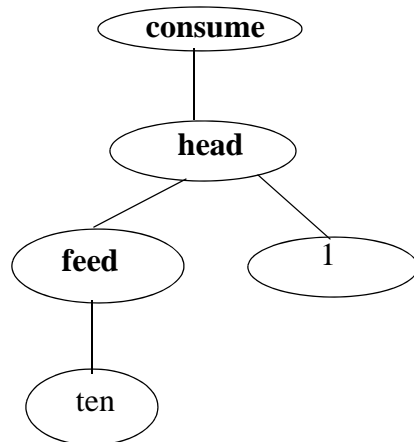
The result is converted into a nested list, using the `Out` function for `.`. The result is destroyed and the created list is transferred to the current user interface.

5.4.3 `let ten2 = ten`

We assume `ten` to be a database object within the currently opened database. Its type is a relation containing a single attribute named `No` with type `int`. Again, first the nested list representation of this command is created. During construction of the operator tree, the query processor finds out, that `ten` is a database object with type `(rel(tuple((No int)))`). It creates an appropriate node and loads some bootstrap data from disk. The amount of data is given by the `SizeOf` function. After that, the `Cast` function is used to correct the function pointers stored together with the object. Then, the `Open` function for this object is called. The `open` function analyzes the object's bootstrap data and opens the associated data file whose name is part of the bootstrap data and which contains the relation's tuple data. The actual data stored in the separate tuple file are not accessed within the `Open` function. This node remains the only node within the operator tree for this query. Again, the root node is evaluable, but its evaluation does nearly nothing, since there is no operator involved. The query result is just the relation object. However, since we are processing a `let` command, we need a depth copy of this object. To achieve that, the `Clone` function for `relation` is called. Then the main memory representation of `ten` is destroyed using the `Close` function for a `relation` during destroying the operator tree (since `ten` is a database object, calling `Destroy` for the `relation` would have it removed from the database). Now the `Save` function for the `relation` is called for the persistent clone of the result, inserting its name `ten2` into the `SECONDO` catalog together with information on its type and a reference to its persisted bootstrap data.

5.4.4 `query ten feed head[1] consume`

After converting this query into a list, annotating it and constructing the operator tree, the tree looks as follows:



Here `ten` is marked to be a database object (opened during the construction of the tree), `1` is a constant value created by the `IN-Function` for the type `int`. All other nodes are operator nodes.

Let us start the evaluation of this tree. Because **consume** is a simple operator (creating only a single object, not a stream), the son of **consume** is processed. This son produces a stream of tuples. So the automatic evaluation stops here by now: The **consume** operator is further on responsible for the evaluation of its son. Then the query processor calls the value mapping for the **consume** operator. Within the value mapping, an `OPEN` message is sent to the son. The **head** operator value mapping checks the kind of the message (here “`OPEN`”) and then checks whether the second parameter (the `int` value) is defined. If so (as in our example), it stores a counter within a `localinfo` variable (a kind of personal state memory for this occurrence of the value mapping) and initializes the counter with `0`. Another integer variable within its `localinfo` is set to the result value taken from the `int` parameter. Then, the value mapping sends an `OPEN` message to the **feed** operator. The **feed** operator creates a `Relation-Iterator` object and stores it into its `localinfo` variable. All value mappings will return `0` unless some error occurs during their data processing. (The return value is ignored if the message is `OPEN`.) Returning from thus initializing the relation scan in the **feed** operator value mapping, also the **head** operator returns control to its parent node: The **consume** operator value mapping now sends a `REQUEST` message to the **head** operator. The **head** operator checks whether the counter has already reached its maximum value. Since $0 < 1$, the **head** operator sends `REQUEST` to the **feed** operator. The **feed** operator retrieves the next tuple from the relation using the relation iterator object created while processing of the `OPEN` message. The tuple is stored as result and the value mapping of **feed** returns `YIELD`. Note that stream creating value mappings do not use the result storage within the operator node, but pass on a `word` object, containing a pointer to the current result stream object instead. Returning `YIELD` signals the **head** operator, that a result (and possibly more result stream elements) are available. Hence, the **head** value mapping increases its local counter, stores the received tuple as result and returns also `YIELD`. The **consume** operator gets the tuple returned by **head** and inserts it into the relation kept in the result storage of the **consume** node.

After creating a persistent copy, the tuple from the stream itself is destroyed using the `DeleteIfAllowed` method of the tuple. We have consumed a first tuple! Trying to receive more tuples, the **consume** operator sends a `REQUEST` message to **head**. **Head** checks whether enough tuples have already been returned. Because it was asked only return `1` tuple, it does not request its son for a second tuple, but returns `CANCEL`, signaling its parent that the result tuple stream has been exhausted and no more results are to be expected. Since the input stream has terminated, the **consume** operator now sends a `CLOSE` message to **head**. **Head** forwards this message to **feed**. **Feed** destroys its `localinfo` object. and returns. After that, also **head** destroys its `localinfo` object and returns. The evaluation of the tree has come to an end. The relation which is the result of this query is converted into its nested list representation. and the tree is destroyed.

6 SMI - The Storage Management Interface

The SMI is a general interface for reading and writing data to disk. Although this sounds simple, implementing concepts for locking, buffering, and transaction management is a highly complex task. Therefore, `SECONDO` does not have its own concepts for this purpose but uses already existing database libraries. Hence, the SMI provides a collection of classes which are used as a general interface within `SECONDO` to access the API of other database libraries. Currently, the code is based only on the Open Source project Berkeley-DB. However, the interface was designed to separate the code of the `SECONDO` core system and the algebra modules from interfaces of libraries which support storage management facilities.

6.1 Retrieving and Updating Records

In this introduction an overview about the SMI classes and their interdependencies is presented. The SMI uses the two concepts *records* and *files*. In a record a sequence of bytes can be stored. It has a unique ID and each file can hold many records. Basically, the SMI offers operations on files and records. An overview about all SMI classes is presented below:

| Classes | Description |
|------------------------------------|---|
| <code>SmiEnvironment</code> | This class provides static member functions for the startup and initialization of the storage management environment. |
| <code>SmiFile</code> | Base class, a container for records. |
| <code>SmiRecordFile</code> | Records are selected by their IDs. |
| <code>SmiKeyedFile</code> | Records are accessed by a key value. |
| <code>SmiFileIterator</code> | Base class, supports scanning of files. |
| <code>SmiRecordFileIterator</code> | Iterator for files with access via record-IDs. |
| <code>SmiKeyedFileIterator</code> | Iterator for files with access via keys. |
| <code>SmiRecord</code> | A handle for processing records. It can be used to access all or partial data of records. |
| <code>SmiKey</code> | A generalization for different types of keys, such as integer, string, etc. |
| <code>PrefetchingIterator</code> | Efficient read-only iteration reducing I/O activity. |

More technical information about functions and their signatures is described in the file `Second-oSMI.h`. The following code examples demonstrate the usage of the `SmiRecordFile` and `SmiRecord` classes.


```

bool makefixed = true;
string filename = (makeFixed) ? "testfile_fix" : "testfile_var";
SmiSize reclen = (makeFixed) ? 20 : 0;
SmiRecordFile rf( makeFixed, reclen );
if ( rf.Open( filename ) )
{
    cout << "RecordFile successfully created/opened: "
         << rf.GetFileId() << endl;
    cout << "RecordFile name   =" << rf.GetName() << endl;
    cout << "RecordFile context=" << rf.GetContext() << endl;
    cout << "(Returncodes: 1 = ok, 0 = error )" << endl;
    SmiRecord r;
    SmiRecordId rid, rid1, rid2;
    rf.AppendRecord( rid1, r );
    r.Write( "Emilio", 7 );
    rf.AppendRecord( rid2, r );
    r.Write( "Juan", 5 );
    char buffer[30];
    rf.SelectRecord( rid1, r, SmiFile::Update );
    r.Read( buffer, 20 );
    cout << "buffer = " << buffer << endl;
    cout << "Write " << r.Write( " Carlos ", 8, 4 );
    cout << "Read " << r.Read( buffer, 20 ) << endl;
    cout << "buffer = " << buffer << endl;
    r.Truncate( 3 );
    int len = r.Read( buffer, 20 );
    cout << "Read " << len << endl;
    buffer[len] = '\0';
    cout << "buffer = " << buffer << endl;
}

```

A `RecordFile` object can either contain records of fixed length or of variable length. This is controlled by a boolean parameter passed to the constructor. Note that a new record first has to be appended to the `RecordFile`; afterwards a value can be assigned using the `write` operation. The `write` and `read` operations on records may have up to three parameters. The first parameter defines a storage buffer for the data which is transferred into memory or to the record on disk. The second parameter holds the number of bytes to transfer. Finally, the (optional) third parameter defines an offset relative to the starting position of the record on disk.

6.2 The SMI Environment

At the startup process of `SECONDO`, an instance of class `SmiEnvironment` is created. Then if, during the work with `SECONDO`, a database is opened, `SmiFile` objects can be used. Whenever new objects in a `SECONDO` database are created or destroyed using algebra operations, information about the involved `SmiFiles` and the objects types and values has to be maintained in the database catalog. Hence the catalog does many SMI-Operations. Moreover, the query processor opens, closes, creates and deletes objects.

Most data types have quite simple representations and can be stored in files using default persistence mechanisms, but more complex data types, e.g. relations, have to organize data in their own files and thus use SMI operations.

In order to get familiar with the SMI it is recommended to create small test programs independent from the main SECONDO system. A framework for the startup and shutdown of the storage manager is shown below:

```
SmiError rc;
bool ok;

string configFile = "SecondoConfig.ini"
rc = SmiEnvironment::StartUp( SmiEnvironment::MultiUser,
                             configFile, cerr );
cout << "StartUp rc=" << rc << endl;
if ( rc == 1 )
{
    string dbname="test";
    ok = SmiEnvironment::CreateDatabase( dbname );
    if ( ok ) {
        cout << "CreateDatabase ok." << endl;
    } else {
        cout << "CreateDatabase failed." << endl;
    }
    if ( ok = SmiEnvironment::OpenDatabase( dbname ) ) {
        cout << "OpenDatabase ok." << endl;
    } else {
        cout << "OpenDatabase failed." << endl;
    }
}
if ( ok )
{
    cout << "Begin Transaction: "
    << SmiEnvironment::BeginTransaction() << endl;

    /* SMI code */

    cout << "Commit: "
    << SmiEnvironment::CommitTransaction() << endl;

    if ( SmiEnvironment::CloseDatabase() ) {
        cout << "CloseDatabase ok." << endl;
    } else {
        cout << "CloseDatabase failed." << endl;
    }
    if ( SmiEnvironment::EraseDatabase( dbname ) ) {
        cout << "EraseDatabase ok." << endl;
    } else {
        cout << "EraseDatabase failed." << endl;
    }
}
}
rc = SmiEnvironment::ShutDown();
cout << "ShutDown rc=" << rc << endl;
```

For building an executable program which offers a runtime environment for working with `SmiFiles`, this code has to be linked together with the `SECONDO SMI` library and `Berkeley-DB` library. Please refer to the file `./Tests/makefile` which contains rules for linking against these libraries.

7 Extending the Optimizer

In this section we describe how simple extensions to the optimizer can be done. We first give an overview of how the optimizer works in Section 7.1. We then explain in Section 7.2 how various extensions of the underlying `SECONDO` system lead to extensions of the optimizer, and how these can be programmed.

The optimizer is written in `PROLOG`. For programming extensions, some basic knowledge of `PROLOG` is required, but also sufficient.

7.1 How the Optimizer Works

7.1.1 Overview

The current version of the optimizer is capable of handling *conjunctive queries*, formulated in a relational environment. That is, it takes a set of relations together with a set of selection or join predicates over these relations and produces a query plan that can be executed by (the current relational system implemented in) `SECONDO`.

The selection of the query plan is based on cost estimates which in turn are based on given selectivities of predicates. Selectivities of predicates are maintained in a table (a set of `PROLOG` facts). If the selectivity of a predicate is not available from that table, then an interaction with the `SECONDO` system takes place to determine the selectivity. More specifically, the selectivity is determined by sending a selection or join query on small *samples* of the involved relations to `SECONDO` which returns the cardinality of the result.

The optimizer also implements a simple SQL-like language for entering queries. The notation is pretty much like SQL except that the lists occurring (lists of attributes, relations, predicates) are written in `PROLOG` notation. Also note that the where-clause is a list of predicates rather than an arbitrary boolean expression and hence allows one to formulate conjunctive queries only.

Observe that in contrast to the rest of `SECONDO`, the optimizer is not data model independent. In particular, the queries that can be formulated in the SQL-like language are limited by the structure of SQL and also the fact that we assume a relational model. On the other hand, the core capability of the optimizer to derive efficient plans for conjunctive queries is needed in any kind of data model.

The optimizer in its up-to-date version (the one running in `SECONDO`) is described completely in [Güt02], the document containing the source code of the optimizer. That document is available from the `SECONDO` system by changing (in a shell) to the `Optimizer` directory and saying

```
make
pdview optimizer
pdview optimizer
```

After the second call of `pdview` also the table of contents has been generated and included. If any questions remain open in the sequel, refer to that document. A somewhat detailed description of optimization in `SECONDO` can also be found in [GBA+04].

7.1.2 Optimization Algorithm

The optimizer employs an as far as we know novel optimization algorithm which is based on *shortest path search in a predicate order graph*. This technique is remarkably simple to implement, yet efficient.

A predicate order graph (POG) is the graph whose nodes represent sets of evaluated predicates and whose edges represent predicates, containing all possible orders of predicates. Such a graph for three predicates p , q , and r is shown in Figure 5.

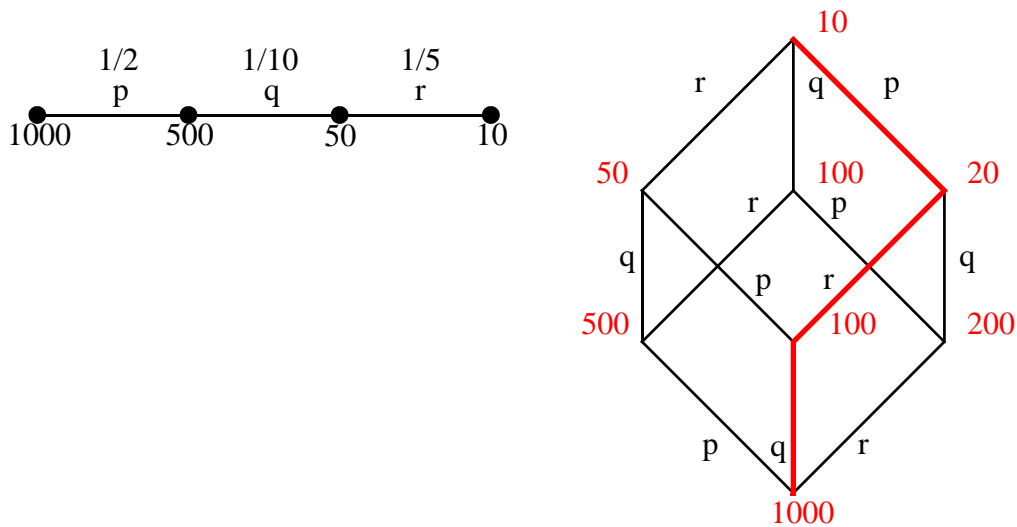


Figure 5: A predicate order graph for 3 predicates

Here the bottom node has no predicate evaluated and the top node has all predicates evaluated. The example illustrates, more precisely, possible sequences of selections on an argument relation of size 1000. If selectivities of predicates are given (for p it is $1/2$, for q $1/10$, and for r $1/5$), then we can annotate the POG with sizes of intermediate results as shown, assuming that all predicates are independent (not *correlated*). This means that the selectivity of a predicate is the same regardless of the order of evaluation, which of course is not always true.

If we can further compute for each edge of the POG possible evaluation methods, adding a new “executable” edge for each method, and mark the edge with estimated costs for this method, then finding a shortest path through the POG corresponds to finding the cheapest query plan. Figure 6 shows an example of a POG annotated with evaluation methods.

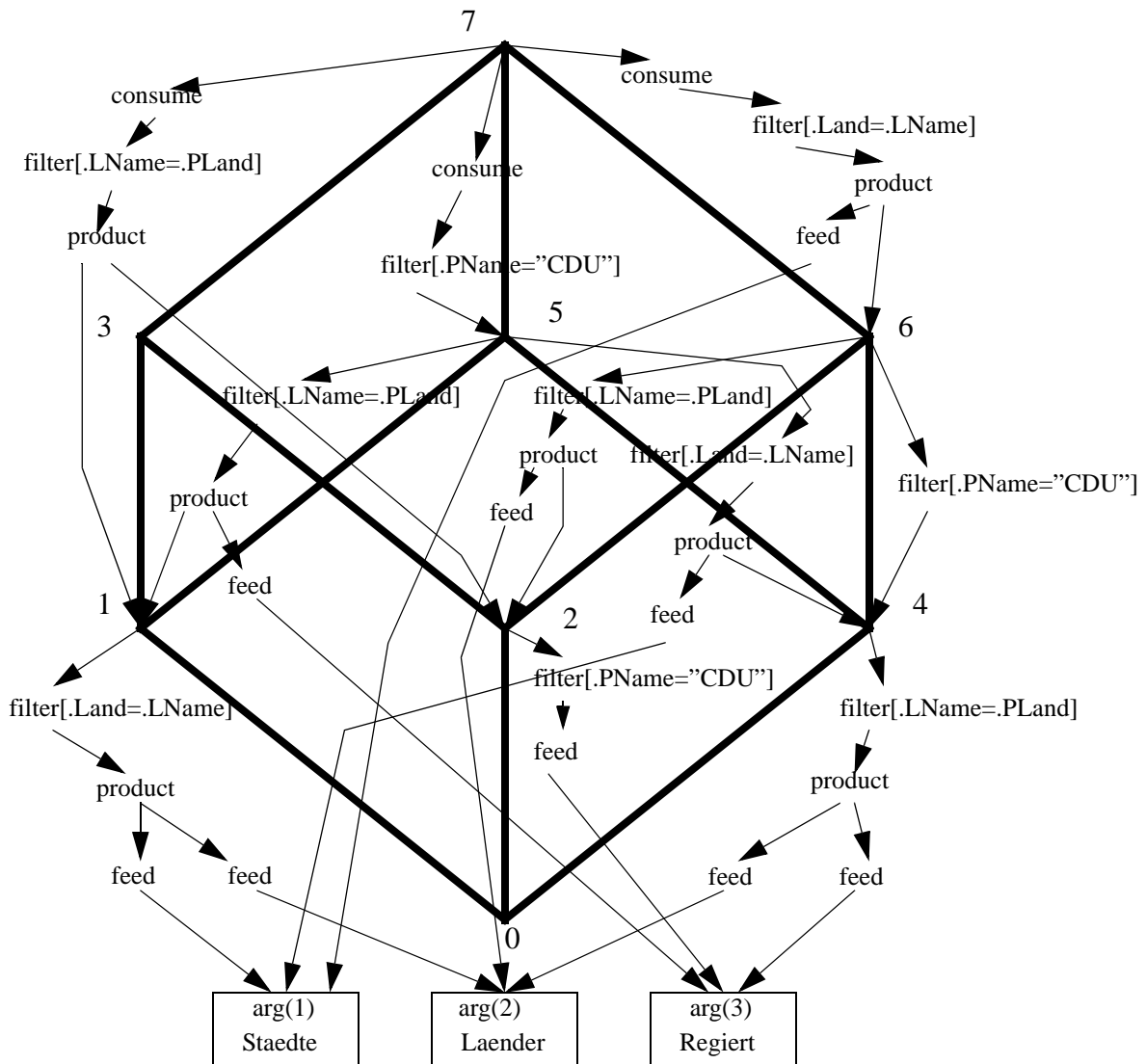


Figure 6: A POG annotated with evaluation methods

In this example, there is only a single method associated with each edge. In general, however, there will be several methods. The example represents the query:

```
select *
from Staedte, Laender, Regiert
where Land = LName and PName = 'CDU' and LName = PLand
```

for relation schemas

```
Staedte(SName, Bev, Land)
Laender(LName, LBev)
Regiert(PName, PLand)
```

Hence the optimization algorithm proceeds in the following steps (the section numbers indicated refer to [Güt02]):

1. For given relations and predicates, construct the predicate order graph and store it as a set of facts in memory (Sections 2 through 4).
2. For each edge, construct corresponding executable edges, called *plan edges*. This is controlled by optimization rules describing how selections or joins can be translated (Sections 5 and 6).
3. Based on sizes of arguments and selectivities, compute the sizes of all intermediate results. Also annotate edges of the POG with selectivities (Section 7).
4. For each plan edge, compute its cost and store it in memory (as a set of facts). This is based on sizes of arguments and the selectivity associated with the edge and on a cost function (predicate) written for each operator that may occur in a query plan (Section 8).
5. The algorithm for finding shortest paths by Dijkstra is employed to find a shortest path through the graph of plan edges annotated with costs, called *cost edges*. This path is transformed into a SECONDO query plan and returned (Section 9).
6. Finally, a simple subset of SQL in a PROLOG notation is implemented. So it is possible to enter queries in this language. The optimizer determines from it the lists of relations and predicates in the form needed for constructing the POG, and then invokes step 1 (Section 11).

7.2 Programming Extensions

The following kinds of extensions to the optimizer arise when the SECONDO system is extended by new algebras for attribute types, new query processing methods (operators in the relational algebra), or new types of indexes:

1. New algebras for attribute types:
 - Write a display function for a new type constructor to show corresponding values.
 - Define the syntax of a new operator to be used within SQL and in SECONDO.
 - Write optimization rules to perform selections and joins involving a new operator, including rules to use appropriate indexes.
 - Define a cost function or constant for using the operator.¹
 - Define type mapping predicates for new operators.
 - (Optional: Define Null values for new types)
2. New query processing operators in the relational algebra:
 - Define the operator syntax to be used in SECONDO.
 - Define a type mapping predicates for new operators
 - Write optimization rules using the new operator.
 - Write a cost function (predicate) for the new operator.
3. New types of indexes:

1. In the standard version of the optimizer this is not yet done for operations on attribute types. All such operations are assumed to have cost 1. Obviously this is a simplification and in particular wrong for data types of variable size, e.g. *region*.

- Define the operator syntax to be used in `SECONDO` for search operations on the index.
- Define type mapping predicates for new operators
- Declare a new physical index type.
- Declare according logical index types.
- Write optimization rules using the according logical index types.
- Write cost functions for access operations.

One can see that the same issues arise for various kinds of `SECONDO` extensions. In the following subsections we cover:

- Writing a display predicate for a type constructor
- Defining operator syntax for SQL
- Defining operator syntax for `SECONDO`
- Defining type mapping predicates
- Defining physical index types
- Defining logical index types
- Writing optimization rules
- Writing cost functions

7.2.1 Writing a Display Predicate for a Type Constructor

This is a relatively easy extension. Moreover, it is not mandatory. If the optimizer does not know about a type constructor, it displays the value as a nested list (as in the other user interfaces).

In `PROLOG`, “functions” are implemented as predicates, hence we actually need to write a display predicate. More precisely, for the existing predicate `display` we need to write a new rule. The predicate is

```
display(Type, Value) :-
```

Display the `Value` according to its `Type` description.

The predicate is used to display the list coming back from calling `SECONDO`. For the result of a query, this list has two elements (`<type expression>`, `<value expression>`) which are lists themselves, now converted to the `PROLOG` form. These are used to instantiate the `Type` and `Value` variables. The structure of the `Type` list is used to control the displaying of values in the `Value` list. The rule to display `int` values is very simple:

```
display(int, N) :-  
    !,  
    write(N).
```

The following is the rule for displaying a relation:

```
display([rel, [tuple, Attrs]], Tuples) :-  
    !,  
    nl,  
    max_attr_length(Attrs, AttrLength),  
    displayTuples(Attrs, Tuples, AttrLength).
```


It determines the maximal length of attribute names from the list of attributes `Attr` in the type description and then calls `displayTuples` to display the value list.

```
displayTuples(_, [], _).

displayTuples(Attrs, [Tuple | Rest], AttrLength) :-
    displayTuple(Attrs, Tuple, AttrLength),
    nl,
    displayTuples(Attrs, Rest, AttrLength).
```

This processes the list, calling `displayTuple` for each tuple.

```
displayTuple([], _, _).

displayTuple([[Name, Type] | Attrs], [Value | Values], AttrNameLength) :-
    atom_length(Name, NLength),
    PadLength is AttrNameLength - NLength,
    write_spaces(PadLength),
    write(Name),
    write(' : '),
    display(Type, Value),
    nl,
    displayTuple(Attrs, Values, AttrNameLength).
```

Finally, `displayTuple` for each attribute writes the attribute name and calls `display` again for writing the attribute value, controlled by the type of that attribute.

For example, there is no rule to display the spatial type `point`, so let us add one. The list representation of a point value is `[<x-coord>, <y-coord>]`. We want to display a list `[17.5, 20.0]` as

```
[point x = 17.5, y = 20.0]
```

Hence we write a rule:

```
display(point, [X, Y]) :-
    !,
    write('[point x = '),
    write(X),
    write(', y = '),
    write(Y),
    write(']').
```

The predicate `display` is defined in the file `auxiliary.pl` (Section 1.1.4). There, we insert the new rule at an appropriate place before the last rule which captures the case that no other matching rule can be found.

7.2.2 Defining Operator Syntax for SQL

The `SECONDO` operators that can be used directly within the SQL language are those working on attribute types such as `+`, `<`, `mod`, `inside`, `starts`, `distance`, ... In order to not get confused we require that such operators are written with the same syntax in SQL and `SECONDO`. In this subsection we discuss what needs to be done so that the operator syntax is acceptable to `PROLOG` within the

SQL (term) notation. We also need to tell the optimizer, how to translate such an operator to SECONDO syntax. This is covered in the next subsection.

Some of these operators, for example, `+`, `-`, `*`, `/`, `<`, `>`, are also in PROLOG defined as operators with a specific syntax, so you can write them in this syntax within a PROLOG term without further specification. The operators above are all written in infix syntax; so this is possible also within an SQL where-clause.¹

For operators that are not yet defined in PROLOG, there are two cases:

- Any operator can be written in prefix syntax, for example

```
length(x), distance(x, y), translate(x, y, z)
```

This is just the standard PROLOG term notation, so it is fine with PROLOG to write such terms.

- If an operator is to be used in infix syntax, we have to tell PROLOG about it by adding an entry to the file `opsyntax.pl`. For example, to tell that `adjacent` is an infix operator, we write:

```
:- op(800, xfx, adjacent).
```

The other arguments besides `adjacent` determine operator priority and syntax as well as associativity. For our use, please leave the other arguments unchanged.

7.2.3 Defining Operator Syntax for SECONDO

Within the optimizer, query language expressions (terms) are written in prefix notation, as usual in PROLOG. This happens, for example, in optimization rules. Hence, instead of the SECONDO notation

```
x y product filter[cond] consume
```

a term of the form

```
consume(filter(product(x, y), cond))
```

is manipulated. For any operator, the optimizer must know how to translate it into SECONDO notation. This holds for query processing operators (`feed`, `filter`, ...) not visible at the SQL level as well as for operators on attribute types such as `<`, `adjacent`, `length`, `distance`. There are three different ways how operator syntax can be defined, at increasing levels of complexity:

(1) By *default*.² For operators with 1, 2, or 3 arguments that are not treated explicitly, there is a default syntax, namely:

- 1 or 3 arguments: prefix syntax

1. However, you may encounter problems due to the predefined priority of the operators. Though it is possible to redefine operator priorities using the `op/3` predicate, we recommend to use brackets instead, because changing the priority may lead to errors throughout any part of the optimizer implementation.

2. *This option is deprecated.* If you use it, a warning message will be displayed you to remind you of providing an according syntax specification.

- 2 arguments: infix syntax

This means, the operators `length`, `adjacent`, and `translate` with 1, 2, and 3 arguments, respectively, are automatically written as:

```
length(x), x adjacent y, translate(x, y, z)
```

(2) By a *syntax specification* via predicate `secondoOp` in the file `opsyntax.pl`. This predicate is defined as:

```
secondoOp(+Op, +Syntax, +NoArgs) :-
```

`Op` is a `SECONDO` operator written in `Syntax`, with `NoArgs` arguments. For `postfixbrackets` and `postfix` operators, `NoArgs` declares the number of arguments coming in front of the operator name, for `infix` operators it must always be 2, for `prefix` operators, it provides the minimum number of expected arguments. All `secondoOp/3` rules must be provided in the file `opsyntax.pl`.

Here are some example specifications:

```
secondoOp(distance, prefix, 2).
secondoOp(feed, postfix, 1).
secondoOp(insertsave, postfix, 3).
secondoOp(=), infix, 2).
secondoOp(consume, postfix, 1).
secondoOp(count, postfix, 1).
secondoOp(product, postfix, 2).
secondoOp(filter, postfixbrackets, 1).
secondoOp(loopjoin, postfixbrackets, 1).
secondoOp(exactmatch, postfixbrackets, 2).
secondoOp(groupby, special, 1).
```

Note, that the equals operator “=” has been surrounded in parentheses. This is required for all operators, that are known as postfix or infix operators to Prolog. The parentheses instruct Prolog to interpret the operator as a symbol and not to try to build a term from it.

(3) For all other forms, a `plan_to_atom` rule has to be *programmed explicitly*. Such translation rules can be found in Section 5.1.3 of the optimizer source code [Güt02]. The predicate is defined as

```
plan_to_atom(X, Y) :-
```

`Y` is the `SECONDO` expression corresponding to term `x`.

You must also define a operator specification for these operators in file `opsyntax.pl`:

```
secondoOp(predcounts, special, 1).
secondoOp(symmjoin, special, 2).
```

Although not necessary, we could write an explicit rule to translate the product operator:

```
secondoOp(product, postfix, 2). % declare it to have special syntax
```

For each special operator, you must provide a matching `plan_to_atom/2` rule in file `optimizer.pl`. Here we have a special rule to handle the product operator:

```
plan_to_atom(product(X, Y), Result) :-
    plan_to_atom(X, XAtom),
    plan_to_atom(Y, YAtom),
    concat_atom([XAtom, YAtom, 'product '], '', Result),
    !.
```

Actually, these rules are not hard to understand: the general idea is to recursively translate the arguments by calls to `plan_to_atom` and then to concatenate the resulting strings together with the operator and any needed parentheses or brackets in the right order into the result string. Do not miss the cut (“!”) at the end of your rule. Otherwise Prolog will probably backtrack and try some more `plan_to_atom` translation rules, finally running into a special error message!

An example, where an explicit rule is needed, is the translation of the `symmjoin`, which has 3 arguments:

```
secondoOp(symmjoin, special, 2).

plan_to_atom(symmjoin(X, Y, M), Result) :-
    plan_to_atom(X, XAtom),
    plan_to_atom(Y, YAtom),
    consider_Arg2(M, M2),           % transform second arg/3 to arg2/3
    plan_to_atom(M2, MAtom),
    concat_atom([XAtom, ' ', YAtom, ' symmjoin[', MAtom, ']'], '', Result),
    !.
```

Here, we have to translate the predicate in a specific way, because the operator uses two implicit parameters, that are passed to the predicate function `M`. While general rules can handle the implicit arguments coming from the first stream (`X`) referenced by the single dot “.”, they do not capture arguments from the second stream (`Y`) referenced using “..”. Hence, `consider_Arg2(M, M2)` is called to translate the predicate function in the correct way.

In general, very little needs to be done for user level operators as they are mostly covered by defaults, and the specification of query processing operators is also in most cases quite simple via the `secondoOp` predicate.

7.2.4 Defining Type Mapping for SECONDO Operators

Some optional extensions to the optimizer rely on information about the type of expressions and the signatures of operators used within a query or plan. To make this information available to translation or cost rules, the kernel’s typemapping functions are modeled within the optimizer. You can find them in file `operators.pl`. Typemapping is done by predicate

```
opSignature(+Operator, +Algebra, +ArgTypeList, -Resulttype, -Flags).
```

The first argument is the operator name. Infix-operators need to be enclosed in round parantheses. The second argument is the name of the algebra defining the operator. The third argument is a PRO-

LOG list of the types of all input parameters to the operator. The fourth argument is the result type description, the last parameter returns a list of properties associated with the according operator. Let's see an example:

```
opSignature((*), standard, [int,int], int, [comm,ass]).
opSignature((*), standard, [int,real], real, [comm,ass]).
opSignature((*), standard, [real,int], real, [comm,ass]).
opSignature((*), standard, [real,real], real, [comm,ass]).
```

These four lines define the four signatures for operator `*` as introduced by the `StandardAlgebra`. Since `*` is an operator in PROLOG, it needs to be enclosed in round paranthesis. The four lines correspond to the following signatures:

```
*: int × int → int
*: int × real → real
*: real × int → real
*: real × real → real
```

The last arguments declare some (to humans) well known properties of the operations, namely, that they are commutative (`comm`) and associative (`ass`). These informations can be used by the optimizer, e.g. to normalize predicate expressions.

Of course, it is also possible to write more sophisticated type mappings:

```
opSignature(isdefined, standard, [T], bool, []) :- isKind(T, data).
```

This rule says, that `isdefined` from the `StandardAlgebra` takes a single argument of some arbitrary type `T` (for this, we use a PROLOG variable). If type `T` is in kind `DATA`, the operator returns a `bool` value. No properties are assigned (therefore the empty list as the last argument). A slightly complexer example is:

```
opSignature(getMinVal, standard, TL, T, []) :-
  isKind(T, data), is_list(TL), list_to_set(TL, [T]).
```

This rule defines the type mapping for operator `getMinVal`, that takes a list `TL` of arbitrary length, where all arguments have the same type `T` from kind `DATA`. The operator will return the minimum of that list, which is of type `T`. No properties are assigned.

Even stream operators find their PROLOG-style typemapping:

```
opSignature(symmjoin, extrelation,
  [ [stream,[tuple,A1]],
    [stream,[tuple,A2]],
    [map,[tuple,A1],[tuple,A2],bool]], [stream,[tuple,R]],
  []) :-
  append(A1,A2,R), !.
```

From this example we learn, that complex types are described in the same nested list format known from the `SECONDO` type mapping functions. The only difference is, that round parantheses are replaced by their square bracket counterparts, and while `SECONDO` kernel uses blancs to separate list items, here we use commas. Of course, writing type mappings is much more convenient in PROLOG,

e.g. one can match complete type expressions, unify terms using the same variable, or construct result type lists easily in a general way.

7.2.5 Defining Physical Index Types

If a datatype for an index structure is added to `Secondo`, and shall be made available to be used by the optimizer, we need to make it known. This is done by defining a fact

```
indexType(+PhysIndexType, +TypeExpressionPattern)
```

in file `database.pl`. Here, `PhysIndexType` is the name of the index datatype, as used in `SECONDO` (It needs to start with a lower case character, of course.). The second argument defines a pattern that matches all nested lists describing objects of the implemented index type within the `SECONDO` database catalog. While `PhysIndexType` must be a ground term, `TypeExpressionPattern` may contain variables, if this is required to match the index type expression. The following fact

```
indexType( btree, [[btree|_]] ).
```

defines a physical index type called `btree`, where objects of that type have a type expression having a single-element list, whose only member is a list starting with the atom `btree`.

7.2.6 Defining Logical Index Types

The optimizer does not utilize physical index types directly. Instead, it defines logical index types based on physical index types. Therefore, a logical index can be a complicated object, e.g. comprising of several physical database objects, or a specialized index, e.g. an index created in a certain way. In `database.pl` we find predicates

```
logicalIndexType(LogicalIndexTypeCode, LogicalTypeExpr, PhysicalIndexType,
                 SupportedAttributeTypeList,
                 CreateIndexPattern,
                 InsertIntoIndexPattern,
                 DeleteFromIndexPattern,
                 UpdateIndexPattern).
```

As an example, we look at the simplest index type, the B-tree. It is defined as follows:

```
logicalIndexType(btree, btree, btree,
                 [int, real, string, text, point],
                 ['__REL__', ' createbtree[' , '__ATTR__', '']],
                 undefined,
                 undefined,
                 undefined).
```

The first argument is a code, that is used within the name of the according database object. The second argument is the logical type expression, that is used internally by the optimizer. The third argument is the name of the physical index type employed to implement the index. This is the type the index' database object will have (and of course, we use a `btree` to implement the B-tree index). The fourth argument is a list of `SECONDO` types, that can be used as key types within the logical index

type. In our example, the B-tree index can be constructed for key attributes of types `int`, `real`, `string`, `text` and `point`.

The remaining four arguments: `CreateIndexPath`, `InsertIntoIndexPath`, `DeleteFromIndexPath`, and `UpdateIndexPath` define patterns, that are used to create executable `SECONDO` queries performing the creation of a new index, and three types of update operations, namely insertions, deletions, and updates. Patterns are given as lists, that will be concatenated to the creation query. You can refer to the indexed relation by a listelement `__rel__` and to the indexed attribute by `__attr__`. When creating the index, these elements will be replaced by the actual identifiers.

The current optimizer only uses `CreateIndexPath`. Wherever a field is not applicable (e.g. because updates are not supported by the index), `undefined` is used within the definition.

A more complex example is the spatial R-tree index built on the units of a moving object:

```
logicalIndexType(sptuni, spatial(rtree, unit), rtree,
  [mpoint,mregion],
  [ '__REL__', ' feed projectextend[ ', '__ATTR__',
    ' ; TID: tupleid(.)] projectextendstream[TID; MBR: units(.',
    '__ATTR__',
    ') use[fun(U: upoint) bbox2d(U) ]] sortBy[MBR asc] bulkloadrtree[MBR]' ],
  undefined,
  undefined,
  undefined).
```

Here, we have a more complex expression for `LogicalTypeExpr`. It indicates that the index is a spatial index, uses an `rtree` as its physical structure, and the keys are generated from the `units` of the key objects, which may have type `mpoint` or `mregion`. We see, that the rule for index creation is somewhat more complicated here.

The user-level predicate `showIndexTypes` will list information on all available logical index types.

7.2.7 Writing Optimization Rules

Optimization rules are used to translate selection or join predicates associated with edges of the predicate order graph into corresponding `SECONDO` expressions. This happens in step 2 of the optimization algorithm described in Section 7.1.2. Before we can formulate translation rules, we need to understand in detail the representation of predicates.

Consider the query

```
select *
from staedte as s, plz as p
where s:sname = p:ort and p:plz > 40000
```

on the optimizer example database `opt` discussed also in the `SECONDO` User Manual. The optimizer source code [Güt02] contains a rule:

```
example5 :- pog(
  [rel(staedte, s), rel(plz, p)],
  [pr(attr(s:sName, 1, u) = attr(p:ort, 2, u), rel(staedte, s),
    rel(plz, p)),
  pr(attr(p:pLZ, 1, u) > 40000, rel(plz, p))],
  _, _).
```

This rule corresponds to the query; it says that in order to fulfill the goal `example5`, the predicate order graph should be constructed via calling predicate `pog`. That predicate has four arguments of which only the first two are of interest now. The first argument is a list of relations, the second a list of predicates. A relation is represented as a term, for example,

```
rel(staedte, s)
```

which says that the relation name is `staedte`, it has an associated variable `s`. Within `SECONDO-SQL`, names of database objects (as relations) are generally written in lower case letters only. The optimizer knows the correct spelling and uses it while building plans. A predicate is represented as a term

```
pr(Pred, Rel)
pr(Pred, Rel1, Rel2)
```

of which the first is a selection and the second a join predicate. Hence

```
pr(attr(s:sName, 1, u) = attr(p:ort, 2, u), rel(staedte, s), rel(plz, p))
```

is a join predicate on the two relations `Staedte` and `plz`. An attribute of a relation is represented as a term, for example,

```
attr(s:sName, 1, u)
```

which says that the attribute name is `sName`, it is an attribute of the first of the two relations mentioned in the predicate (1), and it should in `SECONDO` be written in upper case (`u`), hence as `SName`.

Therefore, when you type (after starting the optimizer and opening database `opt`)

```
example5.
```

the predicate order graph for our example query will be constructed. `PROLOG` replies just `yes`. You can look at the predicate order graph by writing `writeNodes` and `writeEdges`, which lists the nodes and edges of the constructed graph, as follows:

```
16 ?- writeNodes.
Node: 0
Preds: []
Partition: [arp(arg(2), [rel(plz, p)], []), arp(arg(1), [rel(staedte, s)],
[])]

Node: 1
Preds: [pr(attr(s:sName, 1, u)=attr(p:ort, 2, u), rel(staedte, s), rel(plz,
p))]
Partition: [arp(res(1), [rel(staedte, s), rel(plz, p)], [attr(s:sName, 1,
```



```
u)=attr(p:ort, 2, u)])]
```

```
Node: 2
```

```
Preds: [pr(attr(p:pLZ, 1, u)>40000, rel(plz, p))]
```

```
Partition: [arp(res(2), [rel(plz, p)], [attr(p:pLZ, 1, u)>40000]),  
arp(arg(1), [rel(staedte, s)], [])]
```

```
Node: 3
```

```
Preds: [pr(attr(p:pLZ, 1, u)>40000, rel(plz, p)), pr(attr(s:sName, 1,  
u)=attr(p:ort, 2, u), rel(staedte, s), rel(plz, p))]
```

```
Partition: [arp(res(3), [rel(staedte, s), rel(plz, p)], [attr(p:pLZ, 1,  
u)>40000, attr(s:sName, 1, u)=attr(p:ort, 2, u)])]
```

```
Yes
```

```
17 ?-
```

The information about a node contains the node number which encodes in a way explained in [Güt02] which predicates have already been evaluated; it also contains explicitly the list of predicates that have been evaluated, and some more technical information (`Partition`) describing which of the relations involved have already been connected by join predicates. You can observe that in node 0 no predicate has been evaluated and in node 3 both predicates have been evaluated.

```
17 ?- writeEdges.
```

```
Source: 0
```

```
Target: 1
```

```
Term: join(arg(1), arg(2), pr(attr(s:sName, 1, u)=attr(p:ort, 2, u),  
rel(staedte, s), rel(plz, p)))
```

```
Result: 1
```

```
Source: 0
```

```
Target: 2
```

```
Term: arg(2)select pr(attr(p:pLZ, 1, u)>40000, rel(plz, p))
```

```
Result: 2
```

```
Source: 1
```

```
Target: 3
```

```
Term: res(1)select pr(attr(p:pLZ, 1, u)>40000, rel(plz, p))
```

```
Result: 3
```

```
Source: 2
```

```
Target: 3
```

```
Term: join(arg(1), res(2), pr(attr(s:sName, 1, u)=attr(p:ort, 2, u),  
rel(staedte, s), rel(plz, p)))
```

```
Result: 3
```

```
Yes
```

```
18 ?-
```

The information about edges contains the numbers of the source and target node of the POG, and the selection or join predicate associated with this edge. The `Result` field has the number of the node to which the result of evaluating the selection or join is associated; this is normally, but not always (see [Güt02]) the same as the target node of the edge.

Note that the construction of the predicate order graph does not at all depend on the representation of relations or attributes; it does only need to know by a representation `pr(x, a, b)` that this is a join predicate on relations `a` and `b`. For example, you can type

```
pog([a, b, c, d], [pr(x, a), pr(y, b), pr(z, a, b), pr(w, b, c), pr(v, c,
d)], _, _).
```

and the system will construct a POG for the given four relations with two selection and three join predicates. Try it!

After the somewhat lengthy introduction to this subsection we know what the predicates look like that should be transformed by optimization rules into query plans. For example, they can be

```
select(arg(2), pr(attr(p:pLZ, 1, u)>40000, rel(plz, p)))

join(arg(1), res(2), pr(attr(s:sName, 1, u)=attr(p:ort, 2, u),
rel(staedte, s), rel(plz, p)))
```

In these terms, `arg(N)` refers to the argument number `N` in the construction of the POG, hence one of the original relations, and `res(M)` refers to the intermediate result associated with the node `M` of the POG. Note that an intermediate result is assumed and required to be a stream of tuples so that optimization rules can use stream operators for evaluating predicates on them.

Optimization rules are given in Section 5.2 of the optimizer [Güt02]. Let us consider some example rules.

Translating the Arguments

```
res(N) => res(N).

arg(N) => feed(rel(Name, *)) :-
    isStarQuery,
    argument(N, rel(Name, *)), !.

arg(N) => rename(feed(rel(Name, Var)), Var) :-
    isStarQuery,
    argument(N, rel(Name, Var)), !.

arg(N) => feedproject(rel(Name, *), AttrNames) :-
    argument(N, rel(Name, *)), !,
    usedAttrList(rel(Name, *), AttrNames).

arg(N) => rename(feedproject(rel(Name, Var), AttrNames), Var) :-
    argument(N, rel(Name, Var)), !,
    usedAttrList(rel(Name, Var), AttrNames).
```

These rules describe how the arguments of a selection or join predicate should be translated. Translation is defined by the predicate `=>` of arity 2 which has been defined as an infix operator in PROLOG. One might also have called the predicate `translate` and then written, for example

```
translate(res(N), res(N)).
```

However, the form with the “arrow” looks more intuitive; the arrow can be read as “translates into”. The first rule above says that a term of the form `res(N)` is not changed by translation.

For `arg(N)`, which is a stored relation, we check whether the query is of the form `select * from ...`. In this case, in the analysis of the query a dynamic predicate `isStarQuery` was asserted. For such a query we look up the relation name and then apply a `feed` and possibly an additional `rename` to convert it into a stream of tuples.

If the query has a projection list of attributes, then in the analysis of the query `isStarQuery` was not asserted and a list of attributes that are needed from this relation in query processing was computed. This list can be retrieved via the predicate `usedAttrList`. So instead of using `feed`, the `feedproject` operator can be applied to the base relation in order to reduce access time and tuple size. Again, a second rule handles the case of an additional alias `inf` by inserting a `rename`.

PROLOG is a wonderful environment for testing and debugging. We execute `example5` once more after asserting `isStarQuery` (because translations are done together with the construction of the predicate order graph, and they depend on `isStarQuery`). We can then directly see how things translate.

```
18 ?- assert(isStarQuery), example5.
Yes
19 ?- arg(1) => X.
X = rename(feed(rel(staedte, s)), s)
Yes
20 ?-
```

Hence, we can just pass a term as an argument to the `=>` predicate and a variable for the result and see the translation. We can further check how the translated term is converted into `SECONDO` notation:

```
20 ?- plan_to_atom(rename(feed(rel(staedte, s)), s), X).
X = Staedte feed {s}
Yes
21 ?-
```

Translating Selection Predicates

Here is a rule to translate a selection predicate:

```
select(Arg, pr(Pred, _)) => filter(ArgS, Pred) :-
  Arg => ArgS.
```

It says that a selection on argument `Arg` can be translated into filtering the stream `ArgS` if `Arg` translates into `ArgS`. A second rule covers the case that the predicate `pr` is a join predicate. Such `select` edges on join predicates are constructed if the query contains two or more join predicates on the

same pair of relations. That is, for this `select` edge, the two relations have already been joined on previous edges.

```
select(Arg, pr(Pred, _, _)) => filter(ArgS, Pred) :-  
  Arg => ArgS.
```

A selection can also be translated into an `exactmatch` operation on a B-tree under certain conditions. This is specified by the following four rules (we here treat only the simpler case that no projection is needed after index access, i.e., `isStarQuery` holds):

```
select(arg(N), Y) => X :-  
  isStarQuery, % no projection needed  
  indexselect(arg(N), Y) => X.  
  
indexselect(arg(N), pr(attr(AttrName, Arg, Case) = Y, Rel)) => X :-  
  indexselect(arg(N), pr(Y = attr(AttrName, Arg, Case), Rel)) => X.  
  
indexselect(arg(N), pr(Y = attr(AttrName, Arg, AttrCase), _)) =>  
  exactmatch(dboject(IndexName), rel(Name, *), Y)  
  :-  
  argument(N, rel(Name, *)),  
  hasIndex(rel(Name, *), attr(AttrName, Arg, AttrCase), DCindex, IndexType),  
  dcName2externalName(DCindex, IndexName),  
  (IndexType = btree; IndexType = hash).  
  
indexselect(arg(N), pr(Y = attr(AttrName, Arg, AttrCase), _)) =>  
  rename(exactmatch(dboject(IndexName), rel(Name, Var), Y), Var)  
  :-  
  argument(N, rel(Name, Var)), Var \= * ,  
  hasIndex(rel(Name, Var), attr(AttrName, Arg, AttrCase), DCindex, IndexType),  
  dcName2externalName(DCindex, IndexName),  
  (IndexType = btree; IndexType = hash).
```

The first rule says that translation of a selection on a stored relation can be reduced to a translation of a corresponding index selection (`indexselect(Arg, Pred)` is just a new term introduced by this rule). The second rule reverses the order of arguments for an `=` predicate in order to find the value for searching the index always on the left hand side. The third rule is the most interesting one. It says that index selection with an equality predicate on a stored relation `arg(N)` can be translated into an `exactmatch` operation after looking up the relation and checking that it has an index called `IndexName` on the relevant attribute which is a B-tree. Here, the predicate `hasIndex(+Rel, +KeyAttr, ?IndexName, ?IndexType)` is used to check, whether stored relation `Rel` has an index of the logical type `IndexType` on attribute `KeyAttr`. If so, its database object name `IndexName` is returned. The predicate `dcName2externalName(DCname, RealName)` retrieves the internal name of a database object `DCname` (lower case letters only) and returns its real object name `RealName` within the database catalog. The last condition states, that the index type must match either `btree` or `hash`, so this rule covers index equijoins using a B-trees and hash indexes.

Finally, the fourth rule is only a variant of the third for the case that the relation has a variable assigned in the query and needs to be renamed.

Translating Join Predicates

Finally, let us consider some rules for translating join predicates.

```
join(Arg1, Arg2, pr(Pred, _, _)) => symmjoin(Arg1S, Arg2S, Pred) :-  
    Arg1 => Arg1S,  
    Arg2 => Arg2S.
```

Every join can be translated into a so-called `symmjoin`.¹

```
join(Arg1, Arg2, pr(X=Y, R1, R2)) => JoinPlan :-  
    X = attr(_, _, _),  
    Y = attr(_, _, _), !,  
    Arg1 => Arg1S,  
    Arg2 => Arg2S,  
    join00(Arg1S, Arg2S, pr(X=Y, R1, R2)) => JoinPlan.
```

```
join00(Arg1S, Arg2S, pr(X = Y, _, _)) => sortmergejoin(Arg1S, Arg2S,  
    attrname(Attr1), attrname(Attr2)) :-  
    isOfFirst(Attr1, X, Y),  
    isOfSecond(Attr2, X, Y).
```

```
join00(Arg1S, Arg2S, pr(X = Y, _, _)) => hashjoin(Arg1S, Arg2S,  
    attrname(Attr1), attrname(Attr2), 99997) :-  
    isOfFirst(Attr1, X, Y),  
    isOfSecond(Attr2, X, Y).
```

These rules specify ways for translating an equality predicate. The first rule checks whether on both sides of the = predicate there are just attribute names (rather than more complex expressions).² In that case, after translating the arguments into streams, the problem is reduced to translating a corresponding term which has a `join00` functor. The second rule specifies translation of the `join00` term into a `sortmergejoin`, the third into a `hashjoin`, using a fixed number of 99997 buckets.

The latter two rules use auxiliary predicates `isOfFirst` and `isOfSecond` to get the name of the attribute (among `X` and `Y`) that refers to the first and the second argument, respectively. Note also that the attribute names passed to `sortmergejoin` or `hashjoin` are given as, for example `attrname(Attr1)` rather than `Attr1` directly. The reason is that a normal attribute name of the form `attr(sName, 1, u)` is converted later into the `SECONDO` “.” notation, hence into `.SName` whereas `attrname(attr(sName, 1, u))` is converted into `SName`.

-
1. The `symmjoin` considers all pairs of tuples from its two argument streams, evaluates the predicate for each pair and puts matching pairs of tuples into the result stream. Hence it works pretty much like a nested loop join, except that it reads tuples from its two argument streams in an alternating way, joining such a tuple with a buffer from the other stream, hence, in a symmetric manner.
 2. There are other rules corresponding to the first one that allow one to translate to a hash join or a `sortmergejoin`, even if one or both of the arguments to the equality predicate are expressions. The idea is to first apply an `extend` operation which adds the value of the expression as a new attribute, then performing the join using the new attribute, and finally to remove the added attribute again by applying a `remove` operator.

Using Parameter Functions in Translations

In all the rules we have seen so far, it was possible to use the implicit notation for parameter functions in `SECONDO`. Recall that the implicit form of a `filter` predicate is written as

```
... filter[.Bev > 500000]
```

whereas the explicit complete form would be

```
... filter[fun(t:TUPLE) attr(t, Bev) > 500000]
```

However, sometimes it is necessary to write the full form in `SECONDO`. For example, in the `loopjoin` operator's parameter function we may need to refer to an attribute of the outer relation explicitly. A join as in our example query

```
select *
from staedte as s, plz as p
where s:sname = p:ort
```

could be formulated as a `loopjoin`:

```
query Staedte feed {s} loopjoin[fun(var1:TUPLE) plz feed {p} filter[attr(var1, SName_s) = .Ort_p]] consume
```

Although currently there are no translation rules yet in the optimizer using the explicit form, there is support for using it. The `PROLOG` term representing a parameter function has the form:

```
fun([param(Var1, Type1), ..., param(VarN, TypeN)], Expr)
```

Furthermore, when writing rules involving such functions, variable names need to be generated automatically. There is a predicate available

```
newVariable(Var)
```

which returns on every call a new variable name, namely `var1`, `var2`, `var3`, ... For the type operators such as `TUPLE` that one needs to use in explicit parameter functions, a number of conversions to `SECONDO` are defined by:

```
type_to_atom(tuple, 'TUPLE').
type_to_atom(tuple2, 'TUPLE2').
type_to_atom(group, 'GROUP').
```

The `attr` operator of `SECONDO` is available under the name `attribute` (in `PROLOG`) in order to avoid confusion with the `attr(_, _, _)` notation for attribute names. Of course, it is converted back to `attr` in the `plan_to_atom` rule.

Hence a `PROLOG` term corresponding to

```
Staedte feed filter[fun(t:TUPLE) attr(t, Bev) > 500000]
```

is

```
filter(feed(rel(staedte, *)),
  fun([param(t, tuple)], attribute(t, attrname(attr(bev, 0, u))) > 500000))
```

Showing Translations

One can see the translations that the optimizer generates for all edges of a given POG by the goal `writePlanEdges`. For the `example5` above we get:

```
2 ?- assert(isStarQuery), example5.

Yes
3 ?- writePlanEdges.
Source: 0
Target: 1
Plan  : Staedte feed {s} plz feed {p} symmjoin[(.SName_s = ..Ort_p)]
Result: 1

Source: 0
Target: 1
Plan  : Staedte feed {s} loopjoin[plz_Ort_btree plz exactmatch[.SName_s]
      {p} ]
Result: 1

Source: 0
Target: 1
Plan  : Staedte feed {s} plz feed {p} sortmergejoin[SName_s , Ort_p]
Result: 1

Source: 0
Target: 1
Plan  : Staedte feed {s} plz feed {p} hashjoin[SName_s , Ort_p , 99997]
Result: 1

Source: 0
Target: 1
Plan  : plz feed {p} Staedte feed {s} hashjoin[Ort_p , SName_s , 99997]
Result: 1

Source: 0
Target: 2
Plan  : plz feed {p} filter[(.PLZ_p > 40000)]
Result: 2

Source: 1
Target: 3
Plan  : res(1) filter[(.PLZ_p > 40000)]
Result: 3

Source: 2
Target: 3
Plan  : Staedte feed {s} res(2) symmjoin[(.SName_s = ..Ort_p)]
Result: 3

Source: 2
Target: 3
Plan  : Staedte feed {s} res(2) sortmergejoin[SName_s , Ort_p]
Result: 3
```

```

Source: 2
Target: 3
Plan   : Staedte feed {s} res(2) hashjoin[SName_s , Ort_p , 99997]
Result: 3

```

```

Source: 2
Target: 3
Plan   : res(2) Staedte feed {s} hashjoin[Ort_p , SName_s , 99997]
Result: 3

```

```

Yes
4 ?-

```

7.2.8 Writing Cost Functions

The next step in the optimization algorithm described in Section 7.1.2, step 3, is to compute the sizes of all intermediate results and associate the selectivities of predicates with all edges. No extensions are needed in this step. We can call for an execution of this step by the goal `assignSizes` (delete-`Sizes` to remove them again) and see the result by `writeSizes`. Continuing with `example5`, we have:¹

```

7 ?- assignSizes.

```

```

Yes
8 ?- writeSizes.

```

| 'Node' | 'Size' |
|--------|---------|
| 1 | 7510.59 |
| 2 | 23027.0 |
| 3 | 4190.91 |

| 'Edge' | 'Selectivity' | 'Predicate' |
|--------|---------------|---------------------------------------|
| 0-1 | 0.00313793 | attr(s:sName, 1, u)=attr(p:ort, 2, u) |
| 2-3 | 0.00313793 | attr(s:sName, 1, u)=attr(p:ort, 2, u) |
| 0-2 | 0.558 | attr(p:pLZ, 1, u)>40000 |
| 1-3 | 0.558 | attr(p:pLZ, 1, u)>40000 |

```

Yes
9 ?-

```

The next step is to assign costs to all generated plan edges. This step can be called explicitly by the goal `createCostEdges` (removal by `deleteCostEdges`), and plan edges annotated with costs can be listed by `writeCostEdges`. For `example5`, we have now:

1. Note that the numbers occurring in these examples may differ on your system, since selectivities are determined by sampling, and samples may be different.

14 ?- createCostEdges.

Yes

15 ?- writeCostEdges.

Source: 0

Target: 1

Plan : Staedte feed {s} plz feed {p} symmjoin[(.SName_s = ..Ort_p)]

Result: 1

Size : 7510.59

Cost : 91666.5

Source: 0

Target: 1

Plan : Staedte feed {s} loopjoin[plz_Ort_btree plz exactmatch[.SName_s]
{p}]

Result: 1

Size : 7510.59

Cost : 75944

Source: 0

Target: 1

Plan : Staedte feed {s} plz feed {p} sortmergejoin[SName_s , Ort_p]

Result: 1

Size : 7510.59

Cost : 157790

Source: 0

Target: 1

Plan : Staedte feed {s} plz feed {p} hashjoin[SName_s , Ort_p , 99997]

Result: 1

Size : 7510.59

Cost : 237563

Source: 0

Target: 1

Plan : plz feed {p} Staedte feed {s} hashjoin[Ort_p , SName_s , 99997]

Result: 1

Size : 7510.59

Cost : 237563

Source: 0

Target: 2

Plan : plz feed {p} filter[(.PLZ_p > 40000)]

Result: 2

Size : 23027

Cost : 91715.9

Source: 1

Target: 3

Plan : res(1) filter[(.PLZ_p > 40000)]

Result: 3

Size : 4190.91

Cost : 12937

```
Source: 2
Target: 3
Plan  : Staedte feed {s} res(2) symmjoin[(.SName_s = ..Ort_p)]
Result: 3
Size  : 4190.91
Cost  : 39649.3

Source: 2
Target: 3
Plan  : Staedte feed {s} res(2) sortmergejoin[SName_s , Ort_p]
Result: 3
Size  : 4190.91
Cost  : 72547.4

Source: 2
Target: 3
Plan  : Staedte feed {s} res(2) hashjoin[SName_s , Ort_p , 99997]
Result: 3
Size  : 4190.91
Cost  : 187396

Source: 2
Target: 3
Plan  : res(2) Staedte feed {s} hashjoin[Ort_p , SName_s , 99997]
Result: 3
Size  : 4190.91
Cost  : 187396

Yes
16 ?-
```

Here we can see that each plan edge has been annotated with the expected size of the result at the result (usually target) node of that edge. This is the same size as in the listing for `writeSizes`; it has just been copied to the plan edges. More important is the computation of the cost for each plan edge, which is listed in the `Cost` field.

The cost for an edge is computed by a predicate `cost` defined in Section 8.1 of the optimizer [Güt02]. The predicate is:

```
cost(+Term, +Sel, +Pred, -Size, -Cost)
```

The cost of an executable `Term` representing a predicate `Pred` with selectivity `Sel` is `Cost` and the size of the result is `Size`. Here `Term`, `Sel`, and `Pred` have to be instantiated (as indicated by the '+'), and `Size` and `Cost` are returned (indicated by the '-').

The predicate `cost` is called by the predicate `createCostEdges` with the following parameters: a term (resulting from translation rules and associated with a plan edge), the selectivity, and the predicate associated with that edge. The predicate is then evaluated by recursively descending into the term. For each operator applied to some arguments, the cost is determined by first computing the cost of producing the arguments and the size of each argument and then computing the cost and result size for evaluating this operator.

Somewhere in the term is an operator that actually realizes the predicate associated with the edge. For example, this could be the `filter` or the `sortmergejoin` operator. This operator uses the selectivity `sel` passed to it to determine the size of its result.

We can see the existing plan edges after constructing the POG by writing:

```
17 ?- planEdge(Source, Target, Term, Result).
```

One of the solutions listed (for `example5`) is

```
Source = 2
Target = 3
Term = symmjoin(rename(feed(rel(staedte, s)), s), res(2), attr(s:sName, 1,
u)=attr(p:ort, 2, u))
Result = 3
```

For each operator or argument occurring in a term there must be a rule describing how to get the result size and cost. Let us consider some of these rules.

```
cost(rel(Rel, _, _), _, _, Size, 0) :-
    card(Rel, Size).

cost(res(N), _, _, Size, 0) :-
    resultSize(N, Size).
```

These rules determine the size and cost of arguments. In both cases the cost is 0 (there is no computation involved yet) and the size is looked up. For a stored relation it is found via a fact `card(Rel, Size)` stored in the file `database.pl` (see the `SECONDO User Manual`); for an intermediate result it was computed by `assignSizes` and can be looked up via predicate `resultSize`. The `Sel` and `Pred` arguments passed is not used.

```
cost(feed(X), Sel, P, S, C) :-
    cost(X, Sel, P, S, C1),
    feedTC(A),
    C is C1 + A * S.
```

This is the rule for the `feed` operator. It first determines size `s` and cost `c1` for the argument `x`. The size of the result is for `feed` the same as the size of the argument (relation). The cost is determined by using a “feed tuple constant” that describes the cost for evaluating `feed` on one tuple. Such constants are determined experimentally and stored in a file `operators.pl` (see Appendix C of the optimizer [Güt02]). There we find an entry

```
feedTC(0.4).
```

The cost for evaluating `feed` is therefore `c1` (we know that is 0 by the rule above) plus 0.4 times the number of tuples of the argument relation.

```
cost(rename(X, _), Sel, P, S, C) :-
    cost(X, Sel, P, S, C1),
    renameTC(A),
    C is C1 + A * S.
```

The rule for `rename` is quite similar. The operator just passes the tuple that it receives to the next operator; the `rename` tuple constant happens to be 0.1. The cost is added to the cost of the argument.

```

cost(symmjoin(X, Y, _), Sel, P, S, C) :-
    cost(X, 1, P, SizeX, CostX),
    cost(Y, 1, P, SizeY, CostY),
    getPET(P, _, ExpPET),           % fetch stored predicate evaluation time
    symmjoinTC(A, B),              % fetch relative costs
    S is SizeX * SizeY * Sel,      % calculate size of result
    C is CostX + CostY +           % cost to produce the arguments
        A * ExpPET * (SizeX * SizeY) + % cost to handle buffers and collision
        B * S.                     % cost to produce result tuples

```

For the `symmjoin` operator, the size of the result is given by the size of the Cartesian product times the selectivity (as for any join operator, in fact). The cost is the sum of the costs of producing the arguments plus some cost proportional to the total number of pairs of tuples considered plus some cost proportional to the size of the result. The latter two terms are weighted by the two constants for `symmjoin`, here retrieved in `A` and `B`. Additionally, the concrete condition predicate `P` is used for the first time here: `getPET(P, _, ExpPET)` retrieves the predicate evaluation time (`ExtPET`), that is the time in milliseconds needed to compute the predicate once. Obviously, this time has impact on the `symmjoin`'s cost.

We also consider the cost for a simple filter operator application:

```

cost(filter(X, _), Sel, P, S, C) :- % 'normal' filter
    cost(X, 1, P, SizeX, CostX),
    getPET(P, _, ExpPET),           % fetch stored predicate evaluation time
    filterTC(A),
    S is SizeX * Sel,
    C is CostX + SizeX * (A + ExpPET).

```

The `filter` operator determines the cost and size for its argument. Note that it passes a selectivity `1` to the argument cost evaluation, because the selectivity is actually “used” by this operator. The result size for `filter` is determined by applying the `Sel` factor. The cost is defined by the argument's cost plus the argument's cardinality times predicate `P`'s `ExtPET`.

For the moment cost estimation is still rather simplistic, but one can see the principles.¹ For example, in the `filter` operator we assume a constant cost for evaluating a predicate. A refinement would be to model the cost for all operators that can occur in predicates, and then to model the cost for predicate evaluation more precisely. In addition one would need for variable size attribute data types statistics about their average size. For example, for a relation with an attribute of type `region`, one should have a predicate (similar to `card`) stating the average number of edges for `region` values in that relation. Alternatively, similar to the current selectivity determination, such statistics could be retrieved by a query to `SECONDO` and then be stored for further use.

Another important aspect that has been neglected in these simple cost formulas is the use of buffers in some operators, especially the join operators. The cost functions change dramatically when buffers overflow and buffer contents need to be saved to disk.

1. This holds for the standard version of the optimizer considered in this manual. More sophisticated cost estimation exists in other versions of the optimizer. However, it is also more difficult to explain and extend.

Cost estimation needs to be extended when a new operator is added that is used in translations of predicates. From the algorithm implementing the operator one should understand how the sizes of arguments determine the cost and write a corresponding rule. The relevant factors for the per tuple cost need to be determined in experiments; they should be set relative to the other existing factors in the file `operators.pl`. Of course, the relationship between these factors and the actual running times depend on the machine where the experiments are run.

8 Integrating New Types into User Interfaces

8.1 Introduction

SECONDO can be extended with new algebras. Therefore, a user interface should be able to integrate new display functions for the new data types defined in the newly introduced algebras. In the following sections we show how to extend Javagui to be able to display the new data types. Afterwards, the appropriate extension for `SecondoTTY` is described.

8.2 Extending the Javagui

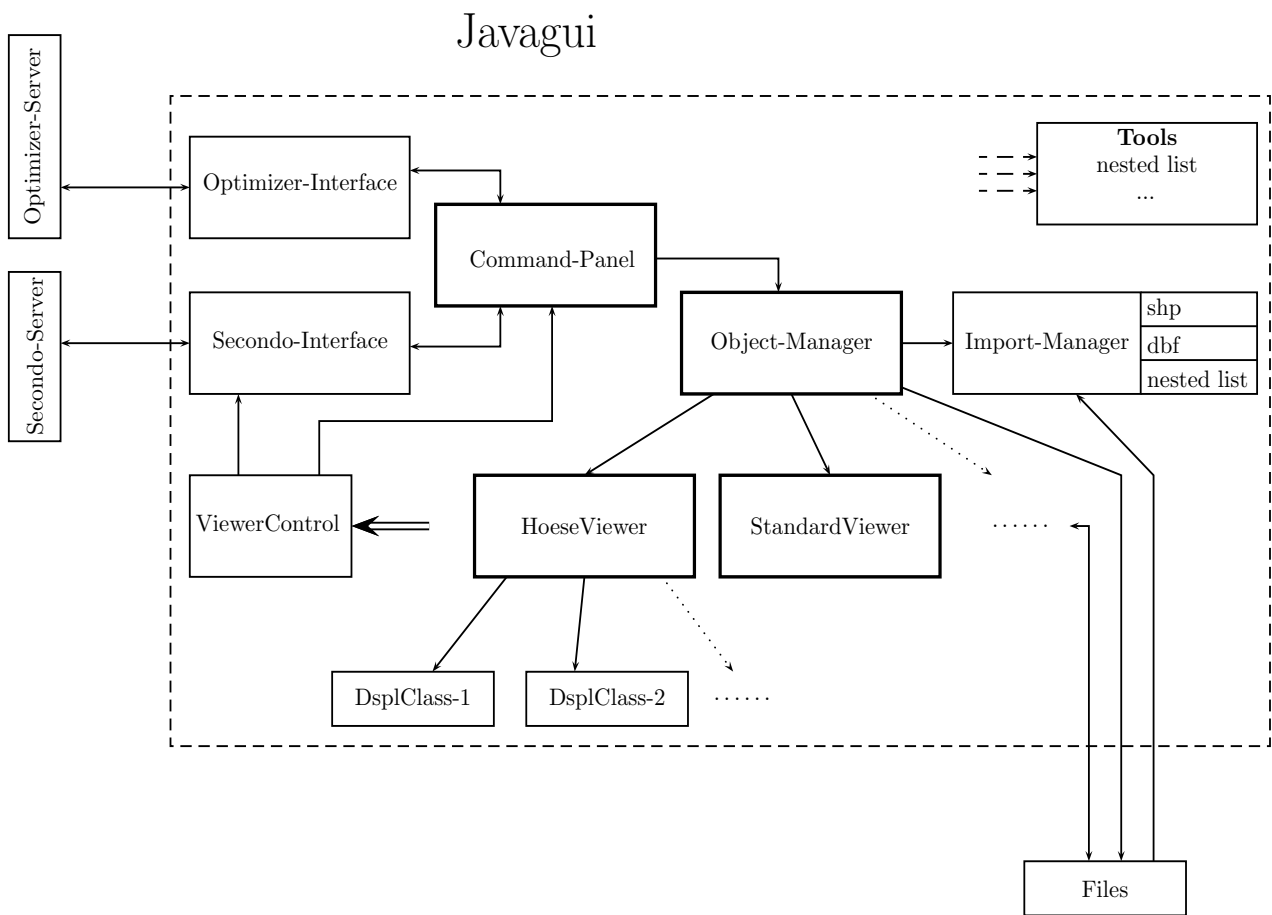


Figure 7 Overview of Javagui

Data are exchanged between SECONDO and Javagui via TCP. In general, a main part of these data are SECONDO objects, which are encoded in nested list format. Nested lists of SECONDO objects are sent to the GUI in the format (`<type>` `<value>`).

There are two ways to integrate a new data type into Javagui: to write a new viewer or to extend the `HoeseViewer`. Both ways have advantages and also disadvantages. When extending the `HoeseViewer`, the developer only needs to implement how to draw or print the new object. The functionalities provided by the `HoeseViewer` (zoom etc.) can be used without writing additional code. Unfortunately, the `HoeseViewer` cannot display all kinds of `SECONDO` objects. For instance, the developer cannot define the drawing order for multiple objects, and it is not possible to display three-dimensional objects. Therefore, in a lot of cases it is more reasonable to write a new viewer. Since the `HoeseViewer` uses only one display function for a `SECONDO` object, it is not possible to have alternative representations for objects. By writing a new viewer, the developer can decide how, when and where an object is drawn.

The complete interface documentation of all classes can be obtained by entering `make doc` in the Javagui directory. Javagui must have been compiled before this command is executed because some classes are generated in the Javagui make process. This creates a new directory `doc` containing the result of the `javadoc` tool.

8.2.1 Writing a New Viewer

Every viewer must be part of the `viewer` package. If more than one class is needed to implement a viewer, only the main class should be in the `viewer` package. All other classes should be placed in a new package. Although Javagui consists of a lot of Java classes, the developer of a new viewer only needs to know seven of them, namely `SecondoViewer`, `SecondoObject`, `ListExpr`, `MenuVector`, `ID`, `IDManager`, and `Reporter`. Furthermore, the developer should know the standard Java classes (especially the Java Swing components). The following sections describe these seven classes. Before a new viewer is integrated, the `makefile.viewers` file in the `viewer` directory should be changed. The file `makefile.viewers` in the `Javagui/viewer` directory has to be extended by adding the name of the viewer to the variable `VIEWER_CLASSES` and by adding the names of the packages to the `VIEWER_DIRS` variable.

The ID Class

This class is used to distinguish different `SECONDO` objects, even though these objects may have the same value. For instance in a viewer, the class can be used to check whether an object is already displayed. To do so, the `equals` method of the class is used.

The IDManager Class

A viewer can create new `SECONDO` objects. For instance, the `HoeseViewer` can load object values from files and create `SECONDO` objects from them. Each `SECONDO` object must have an own `id`. To get an unused `id`, use the `getNextID` method of this class.

The MenuVector Class

Each viewer can extend the main menu of Javagui with its own entries. The `MenuVector` class is used for this purpose. Normally, a viewer developer should only use the `addMenu(JMenu)` method to extend the menu. The created `MenuVector` is the return value of the `getMenuVector` method (see Table 1).

The ListExpr Class

All objects resulting from requests to SECONDO are in nested list format. The `ListExpr` class is the Java representation of such lists. A viewer should extract the desired information from the nested list. To display a SECONDO object, the viewer needs to derive the desired data from a nested list and create Java objects from it.

The SecondoObject Class

An instance of the `SecondoObject` class consists of an `id`, a `name`, a `value`, and some methods to access these data. The `id` is used for internal identification, whereas the `name` identifies the object for the user of Javagui. The `value` is the nested list representation of the object.

The Reporter Class

This class should be used to inform the user about errors and success of operations. All messages should be handled and displayed using this class. This concerns both, outputs on the console and pop up windows. Such windows are switched off automatically when Javagui runs in one of its test modes (see SECONDO User Manual).

The SecondoViewer Class

Every viewer is a subclass of `SecondoViewer`. All abstract methods must be implemented. Table 1 describes all important methods in this class.

| | |
|--|--|
| <code>String getName()</code> | Gets the name of this viewer. This name is displayed in the <code>Viewers</code> menu of Javagui. |
| <code>boolean addObject(SecondoObject o)</code> | Adds a SECONDO object. In this method the viewer must analyse the value of <code>o</code> (using <code>o</code> 's <code>toListExpr</code> method) and display it. |
| <code>void removeObject (SecondoObject o)</code> | Removes <code>o</code> from this viewer. |

Table 1: Methods of `SecondoViewer`

| | |
|---|--|
| void removeAll() | Removes all objects from this viewer. |
| boolean canDisplay(SecondoObject o) | Normally, a viewer cannot display all objects resulting from requests to SECONDO. This method returns <code>true</code> if this viewer is able to display the given object. |
| boolean isDisplayed(SecondoObject o) | Returns <code>true</code> if <code>o</code> is contained in this viewer. Note, that the result of this function can be <code>true</code> while <code>o</code> is not visible, e.g. in the <code>StandardViewer</code> only the currently selected of possibly many objects is visible. |
| boolean selectObject(SecondoObject o) | Selects <code>o</code> in this viewer. How an object is selected can be specified by the viewer implementor. |
| MenuVector getMenuVector() | Returns the menu extension for this viewer. If no menu extension exists, <code>null</code> is returned. |
| double getDisplayQuality(SecondoObject so) | This method is not abstract. This means, that a viewer implementor may but does not need to implement this method. The result of this method must be in the range <code>[0,1]</code> . <code>0</code> means, that the viewer can't display this object. <code>1</code> means, that this is the best viewer to display the given object. This feature is used when a viewer is selected for displaying an object (see SECONDO User Manual). |
| void enableTestmode(boolean on) | If the argument is <code>true</code> , all automatical user interaction has to be disabled. |

Table 1: Methods of SecondoViewer

Example

In this example a viewer is described, which can display results of inquiries to SECONDO.

List Format

All results of such inquiries to SECONDO are nested lists with two elements. The first element is a symbol atom containing the value `inquiry`. The second element is again a list with two elements. The first element of this list is a symbol atom describing the kind of the inquiry. Possible values are `databases`, `types`, `objects`, `constructors`, `operators`, `algebras` or `algebra`. The structure of the second element depends on this value.

The lists for `databases` and `algebras` have the same structure. They consist of symbol atoms describing the names of databases and algebras, respectively. Example lists are:

- `(inquiry (databases (GEO OPT EUROPE)))`

- `(inquiry (algebras (StandardAlgebra RelationAlgebra SpatialAlgebra)))`

The list for `constructors` consists of lists of three elements. Each of these lists consists of a symbol describing the constructor name, a list with property names and a list with property values.

```
(inquiry (constructors ( <constructor1>...<constructorn>))) where
  constructori := ( name <property names> <property values>)
```

The lists for property names and for property values should have the same length. The element at position x in the value list is the value for the name at the same position in the list of names. All elements in these lists are atomic (mostly string or text atoms).

The list structure for `operators` is the same as for `constructors`. Only the keyword is different.

The value list for `algebra` has two elements. The first element is a symbol atom describing the name of the requested algebra. The second element is a list of two elements describing the type constructors and the operators of this algebra. The format of these lists is the same as in the lists above.

The structure for `types` is the following:

- `(inquiry (types (TYPES <type1> ... <typen>)))`

Each `<typei>` is in format:

- `(TYPE <name> <value>)`

where `TYPE` is a keyword, `<name>` is a symbol and `<value>` describes the type. Since the possible types depend on the currently used algebras, `<value>` has no fixed structure.

The lists for objects are similar to the lists for types:

- `(inquiry (objects (OBJECTS <object1> ... <objectn>)))`

where `<objecti>` is a list built as follows:

- `(OBJECT <name> <typename> <type>)`

where `OBJECT` is a keyword, `<name>` a symbol containing the name of the object, `<typename>` is a list containing the user defined name of the type as a symbol or an empty list if no name exists, and `<type>` is a list describing the type.

Building the Viewer

The name for this viewer is “InquiryViewer”. All objects are formatted with help of `html` code. The layout of this viewer is very simple. At the top, there is an option bar for selecting an object. Located at the bottom, there is a field and a button supporting searching within the text. In the remaining area the formatted textual representation of the selected object is shown. The package of this viewer is `viewer`. Since graphical elements are used, a few imports are needed. In addition, the access to `ListExpr` and `SecondObject` is required. (See the source code in Appendix A.)

The viewer has three components to manage `SecondoObjects`: a `ComboBox` containing the names of `SecondoObjects`, a `Vector` containing the `SecondoObjects` and another `Vector` containing the `html` code for `SecondoObjects`. The connection between the different representations of an object is given by the indices of the objects in these containers. To extend the main menu of `Javagui` the viewer has a `MenuVector MV`. For displaying objects a `JEditorPane` is used.

The `getName` method just returns the string “`InquiryViewer`”. The `isDisplayed` method checks whether the given object is contained in the vector `SecondoObjects` or not. The method `removeObject` removes a given object from all of its representations. All representations can be emptied with the `removeAll` method. After an object is selected from a combobox, the position of this object in the `SecondoObjects` vector is determined, and then the object can be displayed. The `MenuVector` built in the constructor is returned using the `getMenuVector` method. The code for these methods and the code for `html` formatting is given in Appendix A. The remaining methods are described here in detail.

The `canDisplay` method checks whether this viewer can display a given `SecondoObject`. This is done by checking the list format described above. The first element of the list must be a symbol atom with the content “`inquiry`”. The second element has to be a list of two elements. The first element of this list must be again a symbol atom. The value of this symbol must be an element of the set {“`databases`”, “`constructors`”, “`operators`”, “`algebras`”, “`algebra`”, “`types`”, “`objects`”}.

```
public boolean canDisplay(SecondoObject o){
    ListExpr LE = o.toListExpr(); // get the nested list of o
    if(LE.listLength()!=2) // the length must be two
        return false;
    // the first element must be an symbol atom with content "inquiry"
    if(LE.first().atomType()!=ListExpr.SYMBOL_ATOM ||
        !LE.first().symbolValue().equals("inquiry"))
        return false;
    ListExpr VL = LE.second();
    // the length of the second element must again be two
    if(VL.listLength()!=2)
        return false;
    ListExpr SubTypeList = VL.first();
    // the first element of this list must be a symbol atom
    if(SubTypeList.atomType()!=ListExpr.SYMBOL_ATOM)
        return false;
    String SubType = SubTypeList.symbolValue();
    // check for supported "sub types"
    // the used constants just contain the appropriate String
    if(SubType.equals(DATABASES) || SubType.equals(CONSTRUCTORS) ||
        SubType.equals(OPERATORS) || SubType.equals(ALGEBRA) ||
        SubType.equals(ALGEBRAS) || SubType.equals(OBJECTS) ||
        SubType.equals(TYPES))
        return true;
    return false;
}
```

Because this viewer is very good for displaying objects resulting from inquiries, the `getDisplayQuality` method is overwritten.

```
public double getDisplayQuality(SecondoObject SO){
    if(canDisplay(SO))
        return 0.9;
    else
        return 0;
}
```

The constructor of this class builds the graphical components and initializes the objects managing the `SECONDO` objects. Besides, the menu is extended.

```
public InquiryViewer(){
    // add the components
    setLayout(new BorderLayout());
    add(BorderLayout.NORTH,ComboBox);
    add(BorderLayout.CENTER,ScrollPane);
    HTMLArea.setContentType("text/html");
    HTMLArea.setEditable(false);
    ScrollPane.setViewportView(HTMLArea);

    // build the panel for search within the text
    JPanel BottomPanel = new JPanel();
    BottomPanel.add(CaseSensitive);
    BottomPanel.add(SearchField);
    BottomPanel.add(SearchButton);
    add(BottomPanel,BorderLayout.SOUTH);
    CaseSensitive.setSelected(true);

    // register functions to the components
    ComboBox.addActionListener(new ActionListener(){
        public void actionPerformed(ActionEvent evt){
            showObject();
        }
    });

    SearchField.addKeyListener(new KeyAdapter(){
        public void keyPressed(KeyEvent evt){
            if( evt.getKeyCode() == KeyEvent.VK_ENTER )
                searchText();
        }
    });

    SearchButton.addActionListener(new ActionListener(){
        public void actionPerformed(ActionEvent evt){
            searchText();
        }
    });

    // build the MenuExtension
    JMenu SettingsMenu = new JMenu("Settings");
    JMenu HeaderComponentMenu = new JMenu("header color");
    JMenu CellColorMenu = new JMenu("cell color");
    SettingsMenu.add(HeaderColorMenu);
    SettingsMenu.add(CellColorMenu);
    ActionListener HeaderComponentChanger = new ActionListener(){
        public void actionPerformed(ActionEvent evt){
            JMenuItem S = (JMenuItem) evt.getSource();
```

```
        HeaderColor = S.getText().trim();
        reformat();
    }
};
ActionListener CellColorChanger = new ActionListener(){
    public void actionPerformed(ActionEvent evt){
        JMenuItem S = (JMenuItem) evt.getSource();
        CellColor = S.getText().trim();
        reformat();
    }
};
// some colors for the menuextension
HeaderColorMenu.add("white").addActionListener(HeaderColorChanger);
HeaderColorMenu.add("silver").addActionListener(HeaderColorChanger);
CellColorMenu.add("yellow").addActionListener(CellColorChanger);
CellColorMenu.add("aqua").addActionListener(CellColorChanger);

MV.addMenu(SettingsMenu);
}
```

The `addObject` method constructs the html-code for a new object and appends this object to all components managing `SecondoObject` representations.

```
public boolean addObject(SecondoObject o){
    // check if object is correct
    if(!canDisplay(o))
        return false;
    // already displayed => only select
    if (isDisplayed(o))
        selectObject(o);
    else{
        // build the text and append object to all representations
        ListExpr VL = o.toListExpr().second();
        ObjectTexts.add(getHTMLCode(VL));
        ComboBox.addItem(o.getName());
        SecondoObjects.add(o);
        try{
            // ensure to display the new object
            ComboBox.setSelectedIndex(ComboBox.getItemCount()-1);
            showObject();
        }
        catch(Exception e){
            if(DEBUG_MODE)
                e.printStackTrace();
        }
    }
    return true;
}
```

Don't forget to extend the `makefile.viewers` file in the `Javagui/viewer` directory:

```
...
VIEWER_CLASSES := \
  FormattedViewer.class \
  InquiryViewer.class \
  QueryViewer.class \
  ...
```

Because this viewer never expects user interaction, the method `enableTestMode` can remain unchanged.

8.2.2 Extending the HoeseViewer

This viewer can display textual, graphical and temporal objects. To add a new object type to the `HoeseViewer`, a new class in the package `viewer.hoese.algebras` must be implemented. The name of this class must be `Dspl<type>`, where `<type>` is the symbol value representing the main type of the object, e.g. for the type `rel(tuple(...)(...))` the name is `Dsplrel`. If another class name is chosen, the viewer can't find this class. If no new packages are included, the makefiles don't need to be changed. Depending on the kind of the new object type (textual, graphical or temporal), a class implementing different interfaces should be developed. For an easy extension, existing adapter classes can be used.

Creating Textual Objects

In the class for a new textual object, the `DsplBase` interface should be implemented. There is an adapter class `DsplGeneric`, which implements all methods from the `DsplBase` interface by default. The easiest way to add a new textual type is to extend this class. Then only the `init` method has to be overwritten.

Example: Inserting Rational Numbers

In this example, rational numbers will be displayed. The nested list structure for such objects looks as follows:

```
(rational (<sign> <intpart> <numDecimal> / <denomDecimal>))
```

where

- `<sign>` can be either the symbol "+" (positive number), "-" (negative number) or nothing (interpreted as positive)
- `<intpart>`, `<numDecimal>` and `<denomDecimal>` are non negative integer values with `<numDecimal>` < `<denomDecimal>`

The output format should be `rat: <sign> <numDecimal> / <demonDecimal>`, where the `<intpart>` from the nested list is integrated in this representation. This class is named `Dsplrational`.

The display class is shown below:

```
package viewer.hoese.algebras;

import sj.lang.ListExpr;
import viewer.hoese.*;

public class Dsplrational extends DsplGeneric {
    // returns a string representing this rational or "ERROR" if
    // the format of the list is wrong
    private String getValueString(ListExpr value){
        int len = value.listLength();
        if(len!=4 && len !=5)
            return "ERROR";
        String result="";
        if(value.listLength()==5){ // with sign
            ListExpr SignList = value.first();
            if(SignList.atomType()!=ListExpr.SYMBOL_ATOM)
                return "ERROR";
            String sign = SignList.symbolValue();
            if(sign.equals("-")) // ignore other values
                result += sign + " ";
            value = value.rest(); // skip the signum
        }
        // check the types
        if( value.first().atomType()!=ListExpr.INT_ATOM ||
           value.second().atomType()!=ListExpr.INT_ATOM ||
           value.fourth().atomType()!=ListExpr.INT_ATOM)
            return "ERROR";
        int intPart = value.first().intValue();
        int numDecimal = value.second().intValue();
        int denomDecimal = value.fourth().intValue();
        result += "+"+(denomDecimal*intPart+numDecimal) + " / " + denomDecimal;
        return result;
    }

    public void init (String name, int nameWidth,
                     ListExpr type, ListExpr value, QueryResult qr){
        String T = name;
        String V = getValueString(value);
        T=extendString(T,nameWidth);
        qr.addEntry(T + " : " + V);
        return;
    }
}
```

Inserting a Graphical Object

In a new display class for a graphical object, the interface `DsplGraph` has to be implemented. The adapter class is named `DisplayGraph`. The basic idea is to convert the nested list representation into a set of Java `Shape` Objects. Each element of this set has some properties which are used to display the object. The methods of interest are:

```
int numberOfShapes()
```

This methods returns the number of how many Java Shape Objects are used to represent this SECONDO object. In the most cases, a single Shape is sufficient, and so the return value is set to 1 in these cases.

```
boolean isPointType(int num)
```

```
boolean isLineType(int num)
```

The HoeseViewer uses different methods to draw lines, points, and areas. To inform the viewer about the kind of a Shape, these functions must be overwritten (both methods return `false` by default). The arguments refers to the element `num` within the set of Shapes.

```
Shape getRenderObject(int num, AffineTransform at)
```

This method returns the element at position `num` within the set of Shapes. Because this function is called very often when temporal objects are animated, all Shapes should be precomputed if possible. The additional argument `at` of type `AffineTransform` can be used to show an object in fixed size independent of the currently used zoom factor.

```
void init(String name, int nameWidth, ListExpr type,  
          ListExpr value, QueryResult qr)
```

The `init` method converts the nested list passed by the parameter `value` into the set of Java Shapes. To be able to handle objects with geographic coordinates (longitude, latitude), the class `Projection` must be used. In contrast to textual objects, the instance of the display class itself has to be added to the query result. The textual representation comes from the `toString()` method of this class.

Example: Inserting a Rectangle Type

The nested list format for a Rectangle is given by the following format:

```
(rect ( <x1> <y1> <x2> <y2>))
```

where x_i and y_i are numeric values. Because in Java a class `Rectangle2D.Double` implementing the `Shape` interface already exists, only the `init` method has to be overwritten. Here, the implementation of the class `Dsplrect` is shown:

```
package viewer.hoese.algebras;
```

```
import java.awt.geom.*;
```

```
import java.awt.*;
```

```
import sj.lang.ListExpr;
```

```
import java.util.*;
```

```
import viewer.*;
```

```
import viewer.hoese.*;
```

```
import tools.Reporter;
```

```
/**
```

```
 * The displayclass for rectangles
```

```
 */
```



```
public class Dsplrect extends DisplayGraph {
    /** The internal datatype representation */
    Rectangle2D.Double rect;
    /**
     * Scans the numeric representation of a rectangle
     */
    private void ScanValue (ListExpr v) {
        if (v.listLength() != 4) {
            Reporter.writeError("No correct rectangle expression:" +
                " 4 elements needed");

            err = true;
            return;
        }
        Double X1 = LEUtils.readNumeric(v.first());
        Double X2 = LEUtils.readNumeric(v.second());
        Double Y1 = LEUtils.readNumeric(v.third());
        Double Y2 = LEUtils.readNumeric(v.fourth());
        if(X1==null || X2==null || Y1==null | Y2==null){
            Reporter.writeError("No correct rectangle expression " +
                "(not a numeric)");

            err = true;
            return;
        }
        try{
            double tx1 = X1.doubleValue();
            double tx2 = X2.doubleValue();
            double ty1 = Y1.doubleValue();
            double ty2 = Y2.doubleValue();
            if(!ProjectionManager.project(tx1,ty1,aPoint)){
                err = true;
            } else{
                double x1 = aPoint.x;
                double y1 = aPoint.y;
                if(!ProjectionManager.project(tx2,ty2,aPoint)){
                    err=true;
                } else{
                    double x2 = aPoint.x;
                    double y2 = aPoint.y;
                    double x = Math.min(x1,x2);
                    double w = Math.abs(x2-x1);
                    double y = Math.min(y1,y2);
                    double h = Math.abs(y2-y1);
                    rect = new Rectangle2D.Double(x,y,w,h);
                }
            }
        }
        catch(Exception e){
            err = true;
        }
    }

    public int numberOfShapes(){
        return 1;
    }
}
```

```
/** Returns the rectangle to display */
public Shape getRenderObject(int num, AffineTransform at){
    if(num<1){
        return rect;
    } else{
        return null;
    }
}

public void init (String name, int nameWidth, ListExpr type,
                 ListExpr value, QueryResult qr) {
    AttrName = extendString(name, nameWidth);
    ScanValue(value);
    if (err) {
        Reporter.writeError("Error in ListExpr :parsing aborted");
        qr.addEntry(new String("(" + AttrName + ": GA(rectangle)"));
        return;
    }
    else
        qr.addEntry(this);
}
}
```

Including a Graphical Temporal Type

In a display class for a graphical temporal type the `Timed` interface and the `DsplGraph` interface have to be implemented. To do that, only the `DisplayTimeGraph` class has to be extended.

Example: Including a Moving Point

A moving point is a point which changes its position over the time. The point is defined during a set of disjoint time intervals.

The list representation for a moving point is:

```
(mpoint (<unit1>...<unitn>))
```

In a unit, the point moves from a start point to an end point. The two positions may be the same. In this case the point is staying at its position during that time interval. A unit has the following structure:

```
unit := ( <interval> (x1 y1 x2 y2)),
```

where

```
<interval> := (<start> <end> <leftclosed><rightclosed>)
```

`<leftclosed>` and `<rightclosed>` are boolean atoms, describing whether the interval contains the appropriate end points.

`<start>` and `<end>` are of type `instant`, which is defined as a string in format:

```
year-month-day[-hour:minute[:second[.millisecond]]]
```

where the square brackets delimit optional values.

(x_1, y_1) defines the location of the moving point at the beginning of the time interval. (x_2, y_2) is the position of the moving point at the end of the time interval. The moving point moves linearly from (x_1, y_1) to (x_2, y_2) during the given time interval.

In the `init` method, the list is converted to an internal representation of a moving point. The bounding box is computed as the minimum rectangle containing all endpoints from the included units.

The `getRenderObject` checks whether the moving point is defined at a given time instant, which means that a unit whose interval contains the given time instant exists. If no unit is found, `getRenderObject` returns `null`. Otherwise the position of this moving point is computed. Around this position a rectangle or a circle is constructed to display this point. The complete source code is given in Appendix B. The class in the appendix additionally supports an old nested list format for moving points. Furthermore it can be used for labeling and manipulating the appearance of graphical objects which is described in the next sections.

Using Objects as a Label

An object can be used as a label of another object or of itself. This feature is available if the display class of this object implements the `LabelAttribute` interface. This interface contains only a single method `getLabel`. The parameter `time` is used only if the object state changes in time. Otherwise, this argument is ignored. This methods returns a string which is used as a label for the other object.

Using Objects for Manipulating the Appearance of Other Objects

In the `HoeseViewer`, objects can manipulate a predefined set of properties (e.g. `color`) of graphical objects. To do so, the display class has to implement the `RenderAttribute` interface which is described in this section. The basic idea is to convert the current value of the object into a real (`double`) value. From this number, the appropriate value of the property is derived.

Some of the methods of the `RenderAttribute` interface have an argument denoting the time currently displayed. If the value of the object does not depend on time, just ignore it. The `isDefined` method checks whether the object has a defined value at the given point in time. The `getRenderValue` method computes the value of the object and converts it into a `double` value. `maybeDefined` checks if the object is defined at any point in time. The methods `getMinRenderValue` and `getMaxRenderValue` compute the minimum (maximum) value of the object in the `double` representation for all points in time.

Drawing Complex Objects

Sometimes, the classes implementing the `Shape` interface of Java are not able to display an object in the desired form. An example is a label class which should draw a string at given position and a

given angle. Such display classes must be derived from the class `DisplayComplex`. Its `draw` method must be implemented. This function is called if the object is drawn to the screen.

Adding Special Views for Objects

The display of some objects requires a lot of space on the screen. Because the `HoeseViewer` has only a small area for displaying texts, sometimes a new window is needed to display objects in a nice way. For such objects, the interface `ExternDisplay` has to be implemented by the display class. This interface contains two methods `displayExtern` and `isExternDisplayed`. The `displayExtern` method is called if the user double clicks on the textual representation of the object. The reaction of calling this function is not restricted. Usually, a new window is opened and the object is displayed in this window. To avoid too many instances of such windows, the window should be a static instance of the display class. Thus all objects are displayed in the same window. The method `isExternDisplayed` returns `true` if the object is already presented in such a window.

8.3 Writing New Display Functions for `SecondoTTY` and `SecondoTTYCS`

The text based user interfaces of `SECONDO` (`SecondoTTYBDB` and `SecondoTTYCS`) can display objects in a formatted manner. In order to do so, display functions have to be defined. If no display function exists for a type, the result will be printed out in a nested list format. In this section we describe how to write and register new display functions.

To define a display function for a new type, the file `DisplayTTY.cpp` (in the `UserInterfaces` directory) has to be changed.

8.3.1 Display Functions for Simple Types

Writing a display function for a simple (non-composite) type is very easy. You have to write a subclass of the class `DisplayFunction` and to overwrite the function `Display`. Here, the value of the object (given as a nested list) must be analysed and its string representation must be written into the standard output stream. For non-composite types, the arguments `type` and `numtype` can be ignored.

Example: Display Function for the Point Type

```

struct DisplayPoint : DisplayFunction {

virtual void Display( ListExpr type, ListExpr numType, ListExpr value)
{
    if( nl->IsAtom( value ) && nl->AtomType( value ) == SymbolType &&
        nl->SymbolValue( value ) == "undef" )
    {
        cout << "UNDEFINED";
    }
    else if(nl->ListLength(value)!=2)

```

```

        throw runtime_error(stdErrMsg);
    else{
        bool err;
        double x = GetNumeric(nl->First(value),err);
        if(err){
            throw runtime_error(stdErrMsg);
        }
        double y = GetNumeric(nl->Second(value),err);
        if(err){
            throw runtime_error(stdErrMsg);
        }
        cout << "point: (" << x << ", " << y << ")";
    }
}
};

```

The `GetNumeric` function has been defined in `DisplayTTY`. It returns the `double` value of a list containing an integer, a real, or a rational number. If the list does not contain a value of these types, the `err` parameter will be set to `true`. A short output should not end with a `newline`, because this can lead to conflicts when formatting composite types like relations or arrays which contain this simple type.

8.3.2 Display Functions for Composite Types

For a composite type (e.g. relation or array), the display function is defined by recursively calling `CallDisplayFunction` for the embedded types. The function `CallDisplayFunction` has four parameters. The last three parameters (`type`, `numType` and `value`) correspond to the parameters of display functions. The `type` argument contains the type description of the object as usual, e.g. `rel(tuple(...))`. The `numType` argument contains exactly the same information. The difference is that the contained types here are coded by the algebra id and type id, e.g. the type `rel` is coded by `(3 2)`. `value` contains the nested list representation of the object's value. The first parameter (`idPair`) is used to identify the correct display function. For a simple type, `numType` and `idPair` are equal, but for a composite type, `idPair` only contains the “main type” of the `numType` list.

Example: Display Function for an Array Type

The type description of an array is given as `(array <arraytype>)`. The list `<arraytype>` is a description of the embedded type, which may be simple or composite. To find the main type of the embedded type, the list `<arraytype>` is traversed using depth-first-search until an integer value is found. This integer represents the algebra number of the embedded type. The next element is an integer representing the embedded type's constructor id. For every element of the value list, `CallDisplayFunction` is invoked.

```

struct DisplayArray : DisplayFunction {
    virtual void Display( ListExpr type, ListExpr numType, ListExpr value)
    {

```

```
if(nl->ListLength(value)==0)
  cout << "an empty array";
else{
  ListExpr AType = nl->Second(type);
  ListExpr ANumType = nl->Second(numType);
  // find the idpair
  ListExpr idpair = ANumType;
  while(nl->AtomType(nl->First(idpair))!=IntType)
    idpair = nl->First(idpair);

  int No = 1;
  cout << "***** BEGIN ARRAY *****" << endl;
  while( !nl->IsEmpty(value)){
    cout << "----- Field No: ";
    cout << No++ << " -----" << endl;
    CallDisplayFunction( idpair, AType,ANumType, nl->First(value) );
    cout << endl;
    value = nl->Rest(value);
  }
  cout << "***** END ARRAY *****";
}
};
```

8.3.3 Register Display Functions

To register a new display function, just invoke `Insert` in the `Initialize` function of `DisplayTTY`. The calls for the above described functions are as follows:

```
d.Insert( "array",    new DisplayArray() );
d.Insert( "point",   new DisplayPoint() );
```

9 Query Progress Estimation

9.1 Overview

For some time now `SECONDO` has been equipped with query progress estimation. The user interfaces show a progress bar, indicating the fraction of the work for this query that has been completed, and the expected remaining time. To implement progress estimation, the query processor at regular time intervals (roughly ten times per second) sends a “progress query” to the operator at the root of the operator tree. An operator supporting progress estimation responds to this by returning some estimated quantities concerning the subtree rooted at this operator, namely:

- the total cardinality (i.e., the number of tuples to be returned)
- the tuple size in bytes
- the total time required to evaluate this subtree, in milliseconds
- the progress achieved, i.e., the fraction of work done, a number between 0 and 1

This set of quantities is not yet complete; it is refined below. To answer the question for the whole subtree, an operator normally asks its predecessors - sons in the operator tree - for their progress information. Based on these it derives its own progress quantities.

It is not required that all operators in `SECONDO` support query progress estimation. First of all, this concerns essentially operators that produce and/or consume streams of tuples. For example, the *filter* operator supports progress estimation, but the (usually atomic) operators needed to evaluate the filter predicate do not need to support it. Even operators processing tuple streams are free to support or not to support progress estimation. Basically, if all relevant operators in an operator tree support progress, then the query processor will receive a valid progress estimate and report it to the user interface. Otherwise no progress information appears.

For each operator it is individually registered within its algebra whether it supports progress. An operator requests progress information from its predecessor calling a method of the query processor. The query processor checks whether the predecessor operator supports progress estimation. If it does not, the query processor returns a `CANCEL` message to the current operator. Normally an operator receiving a `CANCEL` message from a predecessor cannot compute a reasonable progress estimate and returns `CANCEL` itself. If the query processor receives `CANCEL` at the root of the operator tree, it does not report progress.

Even if an operator supports progress in principle, it is not required to return valid estimates at all times (although this is desired). An operator may return `CANCEL` to a progress query. Again, subsequent operators - higher up in the operator tree - are then likely to report `CANCEL` themselves and no progress will be reported for this particular progress query. However, a bit later this operator may yield progress information and progress will be reported at the user interface.

Assuming now that an operator and its predecessors do support progress, how does the operator compute its progress estimate? First of all, it keeps track of the amount of work it has done such as

the number of tuples read from its argument streams or the number of tuples returned. For this purpose, counters are inserted into the original code. Whereas observing the amount of work that has been done seems easy, the central problem in progress estimation (as in query optimization) is to estimate the total amount of work that needs to be done. This depends strongly on the sizes of intermediate results, hence in particular on the selectivities of operations implementing selections or joins.

9.2 Selectivity Estimation

Selectivities can be observed within an operator. For example, the *filter* operator can determine its selectivity as the fraction of returned vs. read tuples. Similarly, join operators such as *sortmergejoin* or *symmjoin* can determine their respective selectivity as the number of tuples returned relative to the size of the Cartesian product of their input streams. Based on the observed selectivity and the sizes of the input streams, the operator can estimate the cardinality of its output stream.

Note that this is a kind of sampling approach. Based on the fraction of qualifying tuples within the subset that has been processed (e.g. the initial part of a stream of tuples) we estimate selectivity for the entire set of tuples. The underlying assumption is that tuples arrive in random order (which is not always true). Further, the sample should be large enough. For this reason, the selectivity within an operator is only trusted after “some time”. More precisely, we assume that an estimate is stable when a sufficient number of positive tuples (i.e., for which the predicate is true) has been seen. This constant is currently set to 50.

Before an operator has seen this number of positive tuples, we call it *in cold state*. Afterwards it is in *warm state*. The question is what estimate of cardinality an operator can return in cold state. There are three possibilities: (1) rely on optimizer estimates, (2) use a default value, and (3) know the precise cardinality because the input stream is exhausted.

Query optimizer selectivity estimates exist if this query has been constructed by the optimizer. An interface exists (described below) for an operator to access the optimizer estimate. One can also access the observed predicate evaluation time and use it in the estimation of the total time needed by this operator and subtree.

However, a query can run without the optimizer and an operator be still in cold state. In that case, there is no other possibility than using a (stupid) default. For selection, a default selectivity of 0.1 is used; for join a selectivity is used such that the cardinality of the smaller relation is returned, guessing the somewhat frequent case that each tuple in the smaller relation connects to one tuple in the larger one.

Finally, if the input stream is exhausted, the cardinality to be reported is precisely the number of tuples returned by this operator.

9.3 Estimation of Tuple and Attribute Sizes

For the bottommost operators in the query tree, average tuple and attribute sizes are obtained from the relations accessed, e.g. by the *feed* operator. They are then propagated through subsequent operators. For most operators, their effect on tuple and attribute sizes is known precisely. Many operators leave the tuple structure unchanged or combine two tuples (join operators). Projection changes the tuple structure, but knows all attribute sizes, hence can determine correct sizes for the output tuples.

There are a few operators that compute new attributes as the result of expressions such as *extend* or *groupby*. For these derived attributes, sizes are initially unknown. However, the sizes of new attribute values can be observed as they are created. Observing sizes over time should yield a reasonable estimate (again assuming uniformity in the stream processed).

On the other hand, measuring attribute sizes induces some overhead. Note that this is done for each tuple in the proper query processing, not in progress queries (of which there are only a few per second). Therefore it is done only on an initial portion of the stream processed.

Furthermore, computing tuple sizes as the sum of attribute sizes in progress queries may also be a bit expensive, especially for relations with very large numbers of attributes. Therefore the following technique is used:

- Together with the sizes reported of tuples and attributes, an operator returns a boolean value `sizesChanged` to indicate whether these sizes have been recomputed for the current progress query.
- Within a progress query, tuple and attribute sizes are recomputed either if the predecessor reports a size change or if within this operator a threshold has been passed so that observed attribute sizes are now assumed to be stable. In this case the value `sizesChanged = true` is passed to the successor.

9.4 Pipelining

For a sequence of non-blocking operators each of which consumes a constant amount of time per tuple, e.g.

```
<rel> feed filter[...] project[...] consume
```

it is obvious that they work in a synchronized manner and their progress is the same. We say they form a pipeline. This is not true, however, when there are blocking operators in the sequence.

In such operators, one can check whether the subtree below has blocking operators (i.e., significant blocking time). If that is not the case, this operator can simply report the progress of its predecessor as its own progress. This strategy is more stable in some cases, if observed selectivity estimates are not correct or operators still in cold state.

Pipelining can be switched on as an option, by setting the constant `pipelinedProgress` to `true` in the file `include/Progress.h`.

9.5 Infrastructure for Progress Implementation

9.5.1 New Messages and Storage Management

Recall that for stream processing the query processor sends messages to operators, namely `OPEN`, `REQUEST`, and `CLOSE`. The standard protocol for processing a stream is

```
OPEN REQUEST* CLOSE
```

Usually in the `OPEN` part some initializations are done and data structures allocated. For each `REQUEST`, a tuple is returned. In `CLOSE`, data structures are deallocated. In fact, it is possible that a stream operator is called in a loop (e.g. embedded in a *loopjoin*), hence the protocol is more completely

```
(OPEN REQUEST* CLOSE)*
```

For progress estimation, two new messages are introduced called `REQUESTPROGRESS` and `CLOSEPROGRESS`. With `REQUESTPROGRESS`, the successor asks for progress information. The `CLOSEPROGRESS` message is used to deallocate data structures after completion of the entire query.

The `REQUESTPROGRESS` messages are sent “asynchronously” at any time within the protocol shown above. In particular, such a message may be sent after an operator has processed its stream completely, that is, after the `CLOSE` message. However, the branch of the operator implementation that answers progress queries still needs access to the operator’s data structure that manages progress information such as counters. It follows that we must not deallocate the data structure in the `CLOSE` branch. On the other hand, it is necessary to deallocate the data structure at some point to release the storage.

For this reason, the protocol is extended to use the `CLOSEPROGRESS` message which is guaranteed to be sent only once after completion of the entire query:

```
(OPEN REQUEST* CLOSE)* CLOSEPROGRESS
```

The allocation and deallocation of the operator’s data structure D with the protocol that was used so far can be represented as follows:

```
OPEN          create D
REQUEST
...
REQUEST
CLOSE        delete D

...

OPEN          create D
REQUEST
...
REQUEST
CLOSE        delete D
```

With progress estimation, allocation and deallocation is done as follows:

```
OPEN          if D exists delete D; create D
REQUEST
...
REQUEST
CLOSE

...

OPEN          if D exists delete D; create D
REQUEST
...
REQUEST
CLOSE

CLOSEPROGRESS delete D
```

In this way it is ensured that the data structure is available for the entire evaluation time of the query. Hence progress queries can be answered at any time. But data structures are also properly released to avoid storage holes.

9.5.2 Data Structures

Two data structures are defined in the file `include/Progress.h` to support the implementation of progress estimation in operators. The first, given in class `ProgressInfo` represents the various quantities of progress information mentioned above. Pointers to instances of this class are passed between operators.

```
class ProgressInfo
{
public:

    ProgressInfo();

    double Card;          //expected cardinality
    double Size;          //expected total tuple size (including FLOBs)
    double SizeExt;       //expected size of tuple root and extension part
                        // (no FLOBs)
    int noAttrs;         //no of attributes
    double *attrSize;     //for each attribute, the complete size
    double *attrSizeExt; //for each attribute, the root and extension size
    bool sizesChanged;    //true if sizes have been recomputed in this request

    double Time;         //expected time, in millisecond
    double Progress;     //a number between 0 and 1

    double BTime;        //expected time, in millisecond of blocking ops
    double BProgress;    //a number between 0 and 1

    void CopySizes(ProgressInfo p);          //copy the size fields

    void CopySizes(ProgressLocalInfo* pli); //copy the size fields
```

```
void CopyBlocking(ProgressInfo p) //copy BTime, BProgress
    //for non blocking unary op.

void CopyBlocking(ProgressInfo p1,ProgressInfo p2);
    //copy BTime, BProgress
    //for non-blocking binary op. (join)

void Copy(ProgressInfo p);          //copy all fields

};
```

The quantities mentioned above are refined as follows. For tuple size, both the size of the core tuple without FLOBs and the complete tuple size including FLOBs are maintained. Further, the number of attributes and the two respective sizes for each attribute are kept. This is necessary so that operators changing the tuple schema (e.g. projection) can recompute the size for their result tuples.

In addition to `Time` and `Progress`, an operator also estimates the blocking time `BTime` and blocking progress `BProgress` for the subtree of which it is the root. For example, the *sort* operator first reads the entire input stream before it returns any tuple. During this time it is blocking. The time estimated for this stage is the blocking time, and the fraction of work done within the blocking stage is the blocking progress.

The class offers some additional methods to easily copy some of the fields.

The second data structure, called `ProgressLocalInfo`, provides some counters and other fields that can be used to augment an operator's local data structure.

```
class ProgressLocalInfo
{
public:

    ProgressLocalInfo();

    ~ProgressLocalInfo();

    int returned;          //current number of tuples returned
    int read;              //no of tuples read from arg stream
    int readFirst;        //no of tuples read from first arg stream
    int readSecond;       //no of tuples read from second argument stream
    int total;            //total number of tuples in argument relation
    int defaultValue;     //default assumption of result size, needed for
                          //some operators
    int state;            //to keep state info if needed
    int memoryFirst,
        memorySecond;    //size of buffers for first and second argument

    void* firstLocalInfo; //pointers to localinfos of first and second arg
    void* secondLocalInfo;

    bool sizesInitialized; //size fields only defined if sizesInitialized;
                          //initialized means data structures are allocated
                          //and fields are filled
    bool sizesChanged;    //sizes were recomputed in last call
```

```
double Size;           //total tuplesize
double SizeExt;       //size of root and extension part of tuple
int noAttrs;         //no of attributes
double *attrSize;     //full size of each attribute
double *attrSizeExt; //size of root and ext. part of each attribute

void SetJoinSizes( ProgressInfo& p1, ProgressInfo& p2 ) ;

    //set the sizes for a join of first and second argument
    //only done when sizes are initialized or have changed
};
```

Not all of these fields are used by all operators. Also, it is not mandatory to use this data structure. In fact, some operators were equipped with progress estimation before this data structure was defined. For an operator that needs to manage its own data for regular execution, a data structure is often introduced as a subclass of `ProgressLocalInfo`, for example:

```
class XLocalInfo: public ProgressLocalInfo
{
    <further fields and methods for operator X>
}
```

9.5.3 Interface to Request Progress Information From a Predecessor

An operator can request progress information from one of its arguments using a method of the query processor (defined in `include/QueryProcessor.h`):

```
bool RequestProgress( const Supplier s, ProgressInfo* p );
```

This evaluates the subtree `s` for a `PROGRESS` message. It returns true iff a progress info has been received. In `p` the address of a `ProgressInfo` must be passed.

9.5.4 Interface to Access Optimizer Selectivity Estimate and Predicate Cost

If the query was produced by the optimizer, for each predicate its selectivity and predicate evaluation cost was determined in a query on a sample. These results are stored in the operator tree at the node of the respective filter or join operator. The implementation of such an operator can access these quantities as follows (defined in `include/QueryProcessor.h`):

```
double GetSelectivity( const Supplier s );
```

From a given supplier `s` get the selectivity at this node.

```
double GetPredCost( const Supplier s );
```

From a given supplier `s` get the predicate cost at this node.

9.6 Some Example Operators

In this section we look at the code of some operators that provide progress estimation.

9.6.1 Rename

This is the most simple operator because it essentially does nothing, just passes a tuple from predecessor to successor. In a sense, this is the “Hello, World” of progress estimation.

```
int
Rename(Word* args, Word& result, int message,
       Word& local, Supplier s)
{
    Word t; Tuple* tuple;

    switch (message)
    {
        case OPEN :
            qp->Open(args[0].addr);
            return 0;

        case REQUEST :
            qp->Request(args[0].addr,t);
            if (qp->Received(args[0].addr))
            {
                tuple = (Tuple*)t.addr;
                result.setAddr(tuple);
                return YIELD;
            }
            else return CANCEL;

        case CLOSE :
            qp->Close(args[0].addr);
            return 0;

        case CLOSEPROGRESS:
            return 0;

        case REQUESTPROGRESS:
            ProgressInfo p1;
            ProgressInfo *pRes;

            pRes = (ProgressInfo*) result.addr;

            if ( qp->RequestProgress(args[0].addr, &p1) )
            {
                pRes->Copy(p1);
                return YIELD;
            }
            else return CANCEL;
    }
    return 0;
}
```

Besides the usual three branches OPEN, REQUEST, and CLOSE of a stream processing operator, this operator has branches CLOSEPROGRESS and REQUESTPROGRESS. The operator does not allocate any data structures, therefore nothing happens in CLOSEPROGRESS.

In REQUESTPROGRESS a local variable `p1` for `ProgressInfo` is declared as well as a pointer to such a variable `pRes`. The latter is set to `result.addr` which means it is assigned the address of a `ProgressInfo` variable in the successor operator (by some slight misuse of the `result` parameter).

The operator then gets progress information from its predecessor `args[0]`. That operator will either write such information into `p1` and return YIELD which results in the query processor method `RequestProgress` returning TRUE. Or it will return CANCEL upon which `RequestProgress` returns FALSE.

This operator uses only a negligible amount of time itself. It also does not change tuple size or expected cardinality. Therefore it simply copies the progress information it has received from its predecessor into the structure of its successor, provided that the predecessor has delivered progress information. In that case it returns YIELD itself. Otherwise it cannot provide progress information and returns CANCEL.

9.6.2 Project

The original code of the project operator is shown below:

```
int
Project(Word* args, Word& result, int message,
        Word& local, Supplier s)
{
    switch (message)
    {
        case OPEN :
        {
            ListExpr resultType = GetTupleResultType( s );
            TupleType *tupleType = new TupleType(nl->Second(resultType));
            local.addr = tupleType;

            qp->Open(args[0].addr);
            return 0;
        }
        case REQUEST :
        {
            Word elem1, elem2;
            int noOfAttrs, index;
            Supplier son;

            qp->Request(args[0].addr, elem1);
            if (qp->Received(args[0].addr))
            {
                TupleType *tupleType = (TupleType *)local.addr;
                Tuple *t = new Tuple( tupleType );
            }
        }
    }
}
```

```
noOfAttrs = ((CcInt*)args[2].addr)->GetIntval();
assert( t->GetNoAttributes() == noOfAttrs );

for( int i = 0; i < noOfAttrs; i++)
{
    son = qp->GetSupplier(args[3].addr, i);
    qp->Request(son, elem2);
    index = ((CcInt*)elem2.addr)->GetIntval();
    t->CopyAttribute(index-1, (Tuple*)elem1.addr, i);
}
((Tuple*)elem1.addr)->DeleteIfAllowed();
result.setAddr(t);
return YIELD;
}
else return CANCEL;
}
case CLOSE :
{
    qp->Close(args[0].addr);
    if(local.addr)
    {
        ((TupleType *)local.addr)->DeleteIfAllowed();
        local.setAddr(0);
    }
    return 0;
}
}
return 0;
}
```

We now discuss step by step the changes needed for the progress version.

```
1 class ProjectLocalInfo: public ProgressLocalInfo
2 {
3 public:
4     ProjectLocalInfo() {
5         tupleType = 0;
6         read = 0;
7     }
8
9     ~ProjectLocalInfo() {
10        tupleType->DeleteIfAllowed();
11        tupleType = 0;
12    }
13
14    TupleType *tupleType;
15 };
```

First a local data structure `ProjectLocalInfo` is declared which inherits the fields from `ProgressLocalInfo`. Note that in the original version a tuple type is maintained between calls. Hence a field `tupleType` is defined in this class together with constructor and destructor methods.


```
16  int
17  Project(Word* args, Word& result, int message,
18          Word& local, Supplier s)
19  {
20      ProjectLocalInfo *pli=0;
21      Word elem1(Address(0));
22      Word elem2(Address(0));
23      int noOfAttrs= 0;
24      int index= 0;
25      Supplier son;
26
27      switch (message)
28      {
29          case OPEN:{
30
31              pli = (ProjectLocalInfo*) local.addr;
32              if ( pli ) delete pli;
33
34              pli = new ProjectLocalInfo();
35              pli->tupleType = new TupleType(nl->Second(GetTupleResultType(s)));
36              local.setAddr(pli);
37
38              qp->Open(args[0].addr);
39              return 0;
40          }
```

In the OPEN branch, the ProjectLocalInfo data structure is allocated and stored in the local variable (line 34). Observe that it is first deleted if present (line 32) as explained in Section 9.5.1.

```
41  case REQUEST:{
42
43      pli = (ProjectLocalInfo*) local.addr;
44
45      qp->Request(args[0].addr, elem1);
46      if (qp->Received(args[0].addr))
47      {
48          pli->read++;
49          Tuple *t = new Tuple( pli->tupleType );
50
51          noOfAttrs = ((CcInt*)args[2].addr)->GetIntval();
52          assert( t->GetNoAttributes() == noOfAttrs );
53
54          for( int i = 0; i < noOfAttrs; i++)
55          {
56              son = qp->GetSupplier(args[3].addr, i);
57              qp->Request(son, elem2);
58              index = ((CcInt*)elem2.addr)->GetIntval();
59              t->CopyAttribute(index-1, (Tuple*)elem1.addr, i);
60          }
61          ((Tuple*)elem1.addr)->DeleteIfAllowed();
62          result.setAddr(t);
63          return YIELD;
64      }
65      else return CANCEL;
66  }
```

The REQUEST branch is as before except that a counter for the read tuples has been inserted (line 48).

```
67     case CLOSE: {
68
69         // Note: object deletion is done in repeated OPEN or CLOSEPROGRESS
70         qp->Close(args[0].addr);
71         return 0;
72     }
```

Nothing is deleted in the CLOSE branch.

```
73     case CLOSEPROGRESS:{
74         pli = (ProjectLocalInfo*) local.addr;
75         if ( pli ){
76             delete pli;
77             local.setAddr(0);
78         }
79         return 0;
80     }
```

Instead, this is done in CLOSEPROGRESS.

```
81     case REQUESTPROGRESS:{
82
83         ProgressInfo pl;
84         ProgressInfo *pRes;
85         const double uProject = 0.00073; //millisecs per tuple
86         const double vProject = 0.0004; //millisecs per tuple and attribute
87
88         pRes = (ProgressInfo*) result.addr;
89         pli = (ProjectLocalInfo*) local.addr;
90
91         if ( !pli ) return CANCEL;
92
93         if ( qp->RequestProgress(args[0].addr, &pl) )
94         {
95             pli->sizesChanged = false;
96
97             if ( !pli->sizesInitialized )
98             {
99                 pli->noAttrs = ((CcInt*)args[2].addr)->GetIntval();
100                 pli->attrSize = new double[pli->noAttrs];
101                 pli->attrSizeExt = new double[pli->noAttrs];
102             }
103
104             if ( !pli->sizesInitialized || pl.sizesChanged )
105             {
106                 pli->Size = 0;
107                 pli->SizeExt = 0;
108
109                 for( int i = 0; i < pli->noAttrs; i++)
110                 {
111                     son = qp->GetSupplier(args[3].addr, i);
112                     qp->Request(son, elem2);
113                     index = ((CcInt*)elem2.addr)->GetIntval();
114                     pli->attrSize[i] = pl.attrSize[index-1];
115                     pli->attrSizeExt[i] = pl.attrSizeExt[index-1];
116                     pli->Size += pli->attrSize[i];
117                     pli->SizeExt += pli->attrSizeExt[i];
```

```
118     }
119     pli->sizesInitialized = true;
120     pli->sizesChanged = true;
121     }
122
123     pRes->Card = pl.Card;
124     pRes->CopySizes(pli);
125
126     pRes->Time = pl.Time + pl.Card *
127     (uProject + pli->noAttrs * vProject);
128
129     //only pointers are copied; therefore the tuple sizes do not
130     //matter
131
132     if ( pl.BTime < 0.1 && pipelinedProgress )    //non-blocking,
133                                                    //use pipelining
134         pRes->Progress = pl.Progress;
135     else
136         pRes->Progress =
137         (pl.Progress * pl.Time +
138         pli->read * (uProject + pli->noAttrs * vProject))
139         / pRes->Time;
140
141     pRes->CopyBlocking(pl);    //non-blocking operator
142     return YIELD;
143     }
144     else return CANCEL;
145     }
146     return 0;
147     }
148     return 0;
149 }
```

Lines 83, 84, and 88 are as in the previous example. In lines 85-86 two constants are defined to be used later in time estimations. They have been obtained in experiments.

Line 91 checks whether the local data structure has been allocated and otherwise returns `CANCEL`. Remember that a progress query may come at any time, possibly before the execution of this operator (i.e. the `OPEN` branch) has been started.

In line 93 progress information is requested from the predecessor `args[0]`. Again, if it is not available, `CANCEL` is returned (line 144).

In lines 95-121 the size fields (tuple and attribute sizes) for the result tuples are set in the local data structure `pli`. Lines 97-101 allocate space and are executed only once, because in line 119 `sizesInitialized` is set to true. In the following part attribute and tuple sizes are (re)computed. This is done either for initialization or if the predecessor reports a change of sizes.

In lines 123-141 the various quantities for progress are computed and written into the `ProgressInfo` data structure of the successor. As *project* does not change the number of tuples, it passes the cardinality obtained from the predecessor to the successor (line 123). It copies the sizes of tuples and attributes from the local data structure `pli` to the data structure of the successor (line 124).

The total time needed for this subtree (lines 126-127) consists of the time estimated by the predecessor plus the contribution of this operator. The time needed for *project* itself is proportional to the number of tuples received `p1.Card`. For each tuple there is a constant amount of time needed, represented by `uProject`, and some work required per attribute, `vProject`.

The progress is determined either by pipelining (see Section 9.4) or by the fraction of the time obtained by replacing the total cardinality to be processed (`p1.Card`) by the number of tuples read (`p1i->read`) in the formula for total time, divided by the total time (lines 136-139).

As this operator is non-blocking, blocking time and progress are just passed from the predecessor to the successor (line 136).

9.6.3 Filter

Here is the original code of the filter operator:

```
int
Filter(Word* args, Word& result, int message,
       Word& local, Supplier s)
{
    bool found = false;
    Word elem, funresult;
    ArgVectorPointer funargs;
    Tuple* tuple = 0;

    switch ( message )
    {

        case OPEN:

            qp->Open (args[0].addr);
            return 0;

        case REQUEST:

            funargs = qp->Argument(args[1].addr);
            qp->Request(args[0].addr, elem);
            found = false;
            while (qp->Received(args[0].addr) && !found)
            {
                tuple = (Tuple*)elem.addr;
                (*funargs)[0] = elem;
                qp->Request(args[1].addr, funresult);
                if (((StandardAttribute*)funresult.addr)->IsDefined())
                {
                    found = ((CcBool*)funresult.addr)->GetBoolval();
                }
            }
            if (!found)
            {
                tuple->DeleteIfAllowed();
                qp->Request(args[0].addr, elem);
            }
        }
    }
}
```

```
        if (found)
        {
            result.setAddr(tuple);
            return YIELD;
        }
        else
            return CANCEL;

    case CLOSE:

        qp->Close(args[0].addr);
        return 0;
    }
    return 0;
}
```

Again, we discuss the changes needed to support progress estimation.

```
1  struct FilterLocalInfo
2  {
3      int current;    //tuples read
4      int returned;  //tuples returned
5      bool done;     //arg stream exhausted
6  };
7
```

A simple local data structure is defined.

```
8  int
9  Filter(Word* args, Word& result, int message,
10         Word& local, Supplier s)
11  {
12      bool found = false;
13      Word elem, funresult;
14      ArgVectorPointer funargs;
15      Tuple* tuple = 0;
16      FilterLocalInfo* fli;
17
18      switch ( message )
19      {
20          case OPEN:
21
22              fli = (FilterLocalInfo*) local.addr;
23              if ( fli ) delete fli;
24
25              fli = new FilterLocalInfo;
26              fli->current = 0;
27              fli->returned = 0;
28              fli->done = false;
29              local.setAddr(fli);
30
31              qp->Open (args[0].addr);
32              return 0;
33
```

The local data structure is (re)allocated and initialized.

```
34     case REQUEST:
35
36         fli = (FilterLocalInfo*) local.addr;
37
38         funargs = qp->Argument(args[1].addr);
39         qp->Request(args[0].addr, elem);
40         found = false;
41         while (qp->Received(args[0].addr) && !found)
42             {
43                 fli->current++;
44                 tuple = (Tuple*)elem.addr;
45                 (*funargs)[0] = elem;
46                 qp->Request(args[1].addr, funresult);
47                 if (((StandardAttribute*)funresult.addr)->IsDefined())
48                     {
49                         found = ((CcBool*)funresult.addr)->GetBoolval();
50                     }
51                 if (!found)
52                     {
53                         tuple->DeleteIfAllowed();
54                         qp->Request(args[0].addr, elem);
55                     }
56             }
57         if (found)
58             {
59                 fli->returned++;
60                 result.setAddr(tuple);
61                 return YIELD;
62             }
63         else
64             {
65                 fli->done = true;
66                 return CANCEL;
67             }
68
```

The code of the REQUEST branch is extended to count the numbers of tuples read and returned (lines 43 and 59) and to note when the input stream is exhausted (line 65).

```
69     case CLOSE:
70         qp->Close(args[0].addr);
71         return 0;
72
73     case CLOSEPROGRESS:
74         fli = (FilterLocalInfo*) local.addr;
75         if ( fli )
76             {
77                 delete fli;
78                 local.setAddr(0);
79             }
80         return 0;
81
```

Deallocation is not done in CLOSE but in CLOSEPROGRESS (and in OPEN, line 23).

```
82     case REQUESTPROGRESS:
83
84         ProgressInfo pl;
85         ProgressInfo* pRes;
86         const double uFilter = 0.01;
87
88         pRes = (ProgressInfo*) result.addr;
89         fli = (FilterLocalInfo*) local.addr;
90
91         if ( qp->RequestProgress(args[0].addr, &pl) )
92         {
93             pRes->CopySizes(pl);
94
95             if ( fli ) //filter was started
96             {
97                 if ( fli->done ) //arg stream exhausted, all known
98                 {
99                     pRes->Card = (double) fli->returned;
100                    pRes->Time = pl.Time + (double) fli->current
101                        * qp->GetPredCost(s) * uFilter;
102                    pRes->Progress = 1.0;
103                    pRes->CopyBlocking(pl);
104                    return YIELD;
105                }
106
107                if ( fli->returned >= enoughSuccessesSelection )
108                    //stable state assumed now
109                {
110                    pRes->Card = pl.Card *
111                        ( (double) fli->returned / (double) (fli->current));
112                    pRes->Time = pl.Time + pl.Card * qp->GetPredCost(s) * uFilter;
113
114                    if ( pl.BTime < 0.1 && pipelinedProgress ) //non-blocking,
115                                                                //use pipelining
116                        pRes->Progress = pl.Progress;
117                    else
118                        pRes->Progress = (pl.Progress * pl.Time
119                            + fli->current * qp->GetPredCost(s) * uFilter) / pRes->Time;
120
121                    pRes->CopyBlocking(pl);
122                    return YIELD;
123                }
124            }
125            //filter not yet started or not enough seen
126
127            pRes->Card = pl.Card * qp->GetSelectivity(s);
128            pRes->Time = pl.Time + pl.Card * qp->GetPredCost(s) * uFilter;
129
130            if ( pl.BTime < 0.1 && pipelinedProgress ) //non-blocking,
131                                                        //use pipelining
132                pRes->Progress = pl.Progress;
133            else
134                pRes->Progress = (pl.Progress * pl.Time) / pRes->Time;
135            pRes->CopyBlocking(pl);
136            return YIELD;
137        }
```

```
138     else return CANCEL;
139   }
140   return 0;
141 }
```

Lines 82-92 have no surprises. The filter operator does not change the tuple structure, hence it just copies sizes from the predecessor (line 93).

Lines 95-124 treat the case that the *filter* operator has executed its `OPEN` method and the local data structure `fli` exists.

Within this case, lines 97-105 handle the case that *filter* has also finished its work, i.e. the input stream is exhausted. In this case, the result cardinality is just the number of tuples returned. The total time needed for the subtree is the time needed for the predecessor subtree plus the contribution of the *filter* operator itself. This operator needs time proportional to the number of tuples read. For each tuple, the cost is the cost of predicate evaluation obtained from the optimizer times a constant `uFilter`. (If the query did not come from the optimizer, a default cost for predicate evaluation is stored in the operator tree.) Since in this case we are done, progress is 1.0. The *filter* operator does not have blocking time, hence it just copies blocking quantities from the predecessor.

Lines 107-123 handle the case that the operator is in warm state. The result cardinality is the fraction of returned vs. read tuples. Result time, progress, and blocking information are computed in the obvious way.

Lines 125-137 treat the case that either the `OPEN` method of filter was not yet executed (hence the `fli` data structure is not available) or the operator is not yet in warm state. In this case, selectivity is obtained from the query tree, which may be either an optimizer estimate or a default. Progress is determined by the progress of the predecessor as this operator has not yet processed any tuples.

9.7 Registering Progress Operators

Once progress estimation has been implemented for an operator, one needs to register this operator as supporting progress by calling a method `EnableProgress()`. For example at the end of the file `RelationAlgebra.cpp`, the operator *project* is registered by

```
relalgproject.EnableProgress();
```

9.8 Testing Progress Implementations

There are two main techniques to test whether operator implementations determine and propagate progress quantities in the correct way. The first is to switch on a trace mode in the query processor. The second is to look at the protocol files written during query processing.

9.8.1 Tracing

To switch on tracing, one has to modify a line in the file `QueryProcessor/QueryProcessor.cpp`. In the method `RequestProgress` one needs to modify the line

```
bool trace = false; //set to true for tracing
```

setting variable `trace` to `true`. Obviously one then also needs to recompile `SECONDO`.

As a result, for every `RequestProgress` message that is sent from operator x to one of its predecessors y , one can see the resulting quantities delivered by y . Here is an example.

```
Secondo => query plz feed filter[.Ort contains "x"] Orte feed {o} hash-
join[Ort, Ort_o, 99997] count
Secondo ->
RequestProgress called with Supplier = 0xbb3f870 ProgressInfo* = 0x22e490
RequestProgress called with Supplier = 0xbb3f9a8 ProgressInfo* = 0x22e2b0
RequestProgress called with Supplier = 0xbb3fae0 ProgressInfo* = 0x22e0c0
RequestProgress called with Supplier = 0xbb3fc18 ProgressInfo* = 0x22dd90
Return from supplier 0xbb3fc18
Cardinality = 41267
Size = 20
SizeExt = 20
noAttrs = 2
attrSize[i] = 5 15
attrSizeExt[i] = 5 15
sizesChanged = 1
BlockingTime = 0.001
BlockingProgress = 1
Time = 96.243
Progress = 0.0836726
=====
Return from supplier 0xbb3fae0
Cardinality = 4126.7
Size = 20
SizeExt = 20
noAttrs = 2
attrSize[i] = 5 15
attrSizeExt[i] = 5 15
sizesChanged = 1
BlockingTime = 0.001
BlockingProgress = 1
Time = 137.51
Progress = 0.0836726
=====
RequestProgress called with Supplier = 0xb80b960 ProgressInfo* = 0x22e070
RequestProgress called with Supplier = 0xb80ba98 ProgressInfo* = 0x22ddb0
Return from supplier 0xb80ba98
Cardinality = 506
Size = 42
SizeExt = 42
noAttrs = 4
attrSize[i] = 8 18 11 5
attrSizeExt[i] = 8 18 11 5
sizesChanged = 1
BlockingTime = 0.001
```

```
BlockingProgress = 1
Time = 1.40298
Progress = 0.998028
=====
Return from supplier 0xb80b960
Cardinality = 506
Size = 42
SizeExt = 42
noAttrs = 4
attrSize[i] = 8 18 11 5
attrSizeExt[i] = 8 18 11 5
sizesChanged = 1
BlockingTime = 0.001
BlockingProgress = 1
Time = 1.40298
Progress = 0.998028
=====
Return from supplier 0xbb3f9a8
Cardinality = 506
Size = 62
SizeExt = 62
noAttrs = 6
attrSize[i] = 5 15 8 18 11 5
attrSizeExt[i] = 5 15 8 18 11 5
sizesChanged = 1
BlockingTime = 4.79418
BlockingProgress = 1
Time = 238.482
Progress = 0.0699726
=====
Return from supplier 0xbb3f870
Cardinality = 506
Size = 62
SizeExt = 62
noAttrs = 6
attrSize[i] = 5 15 8 18 11 5
attrSizeExt[i] = 5 15 8 18 11 5
sizesChanged = 1
BlockingTime = 4.79418
BlockingProgress = 1
Time = 238.482
Progress = 0.0699726
=====
...
```

In the listing one can see the calls to operator nodes (identified by supplier addresses) and the responses. The root of the tree is supplier 0xbb3f870 and the reply from it is returned as the last one (in this round). The order in which operator nodes reply corresponds to a postorder traversal of the operator tree. Hence the first set of answers is from the *feed* operator applied to *plz*, the next from *filter*. After that, the *hashjoin* operator sends a request to its second argument; then replies come from *feed* on *Orte*, then from *rename*, *hashjoin*, and *count*.

9.8.2 Looking at Protocol Files

During the execution of a query, for each progress query executed, a line is written to a protocol file containing the following fields:

- *CurrentTime* - the system time passed since the start of this query, in milliseconds
- *Card* - the estimated cardinality
- *Time* - the estimated total time for the query
- *Progress* - the estimated progress in percent

The protocol file is called `proglogt.csv` and it is located in the `secondo/bin` directory. New protocol lines are always appended; to reinitialize one can simply delete the file.

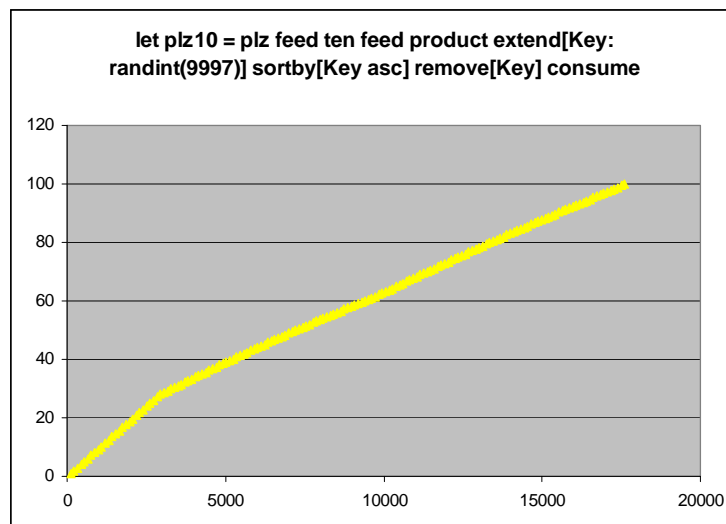
To study the behaviour of progress estimation, one can load this file into an EXCEL sheet and create xy-diagrams for the listed quantities. For example, for the query

```
let plz10 = plz feed ten feed product extend[Key: randint(9997)] sortby[Key asc] remove[Key] consume
```

which creates a larger version of `plz` in randomized order, the protocol file starts

```
109;412670;22478; 0,86;  
219;412670;22478; 1,87;  
328;412670;22478; 2,91;  
437;412670;22478; 3,97;  
547;412670;22478; 4,99;  
656;412670;22478; 6,05;  
766;412670;22478; 7,12;  
875;412670;22478; 8,20;  
984;412670;22478; 9,14;  
1094;412670;22478; 10,22;  
...
```

One can see that there is roughly one progress query every 100 milliseconds. From the protocol one can create e.g. the *Progress* diagram shown below. Diagrams for *Card* and *Time* are not interesting as these numbers do not change in this query.



9.9 Implementation Techniques for Blocking Operators

As a final issue we consider the implementation of blocking operators. For example, *sortby* first consumes its entire argument stream before it returns any tuples.

Without progress estimation, a natural implementation strategy for such operators is to consume the entire argument stream within the `OPEN` branch, organizing tuples within some data or file structure. Later, for each `REQUEST` message, one tuple is returned.

However, with progress estimation this strategy does not work well. The reason is that in the `OPEN` branches data structures for all operators are set up, including the structures needed for progress estimation. If one operator spends a lot of time in its `OPEN` branch as with the strategy above, progress estimation will only start to report results once that operator has finished its blocking phase.

Therefore it is mandatory that in the `OPEN` branch of any operator only a small, constant amount of work is done. For a blocking operator this means that consuming the input stream(s) must be moved into the `REQUEST` branch. One can do that on the first `REQUEST` message, remembering in a local variable whether this is the first `REQUEST`.

A related problem is how one can convert an implementation of a blocking operator to support progress estimation if this operator does a lot of work within the constructor of its local data structure. For example, the *sortby* operator in its standard implementation defines a class `SortByLocalInfo` and constructs an instance of that class within its `OPEN` branch:

```
SortByLocalInfo* li = new SortByLocalInfo( args[0], lexicographically,
                                           tupleCmp );
```

We can move this statement into the `REQUEST` branch to execute with the first call as discussed. However, then we need a second data structure to hold progress information, to be initialized within the `OPEN` branch. Furthermore, within the constructor of `SortByLocalInfo` one needs to maintain counters and therefore to access the other data structure containing the progress fields.

A solution for this problem is provided within the file `Progress.h` (in `secondo/include`) in the form of two classes `LocalInfo` and `ProgressWrapper`.

```
template<class T>
class LocalInfo : public ProgressLocalInfo {

public:
    LocalInfo() : ProgressLocalInfo(), ptr(0) {}
    ~LocalInfo() { if (ptr) delete ptr; }

    inline void deletePtr() { if (ptr) {delete ptr; ptr = 0; } }

    T* ptr;
};
```

The class `LocalInfo` provides the `ProgressLocalInfo` structure (Section 9.5.2) together with a pointer to an instance of the argument class `T`. As an argument class one uses the existing local data structure. Now in the `OPEN` branch this structure can be created:

```
li = new LocalInfo<SortByLocalInfo>;
```

Class `LocalInfo` has the fields of a `ProgressLocalInfo` and can be used from now on. This statement terminates quickly. However, the time consuming construction of `SortByLocalInfo` has been postponed. It can later be done in the first `REQUEST`.

In addition, within class `SortByLocalInfo` one needs access to `li`. To enable this, class `SortByLocalInfo` is declared to be a subclass of a class `ProgressWrapper`:

```
class SortByLocalInfo : protected ProgressWrapper {...}
```

with

```
class ProgressWrapper {  
  
public:  
    ProgressWrapper(ProgressLocalInfo* p) : progress(p) {}  
    ~ProgressWrapper() {}  
  
protected:  
    // the pointer address can only be assigned once, but  
    // the object pointed to may be modified.  
    ProgressLocalInfo* const progress;  
};
```

This just adds a pointer to a `ProgressLocalInfo` to the existing `SortByLocalInfo` structure. Within the `REQUEST` branch, on the first `REQUEST`, one can then call the time consuming constructor for `SortByLocalInfo`, passing to it the pointer to the `LocalInfo` structure (here `li`):

```
li->ptr = new SortByLocalInfo( args[0], lexicographically, tupleCmp, li );
```

See the implementation of *sortby* in the file `Algebras/ExtRelation-C++/ExtRelAlgPersistent.cpp` as an example.

References

- [Alm03] V. T. de Almeida, Object State Diagram in the Secondo System. Fernuniversität Hagen, Praktische Informatik IV, Secondo System, Directory “Documents”, file “ObjectStateDiagram.pdf”, Sept. 2003.
- [BF93] N. Borenstein and N. Freed. MIME (Multipurpose Internet Mail Extensions) Part One: Mechanisms for Specifying and Describing the Format of Internet Message Bodies. Chapter 5.2: Base64 Content-Transfer-Encoding. Also known as RFC 1521, published Online, e.g. <http://www.freesoft.org/CIE/RFC/1521/index.htm>. September 1993.
- [BTree02] Algebra Module BTreeAlgebra. Fernuniversität Hagen, Praktische Informatik IV, Secondo System, Directory “Algebras/BTree”, file “BTreeAlgebra.cpp”, since Dec. 2002.
- [Date04] Algebra Module DateTimeAlgebra. Fernuniversität Hagen, Praktische Informatik IV, Secondo System, Directory “Algebras/DateTime”, file “DateAlgebra.cpp”, since April 2004.
- [DG98] S. Dieker and R.H. Güting, Efficient Handling of Tuples with Embedded Large Objects. *Data & Knowledge Engineering* 32 (2000), 247-269.
- [GBA+04] Güting, R.H., T. Behr, V.T. de Almeida, Z. Ding, F. Hoffmann, and M. Spiekermann, SECONDO: An Extensible DBMS Architecture and Prototype. Fernuniversität Hagen, Informatik-Report 313, 2004.
- [GFB+97] R.H. Güting, C. Freundorfer, L. Becker, S. Dieker, H. Schenk: Secondo/QP: Implementation of a Generic Query Processor. 10th Int. Conf. on Database and Expert System Applications (DEXA'99), LNCS 1677, Springer Verlag, 66-87, 1999.
- [Güt02] R.H. Güting, A Query Optimizer for Secondo. Description and PROLOG Source Code. Fernuniversität Hagen, Praktische Informatik IV, Secondo System, Directory “Optimizer”, file “optimizer”, from 2002 on.
- [Güt95] R.H. Güting, Integrating Programs and Documentation. Informatik Berichte 182 - 5 / 1995.
- [Poly02] Algebra Module PolygonAlgebra. Fernuniversität Hagen, Praktische Informatik IV, Secondo System, Directory “Algebras/Polygon”, file “PolygonAlgebra.cpp”, since Sept. 2002.
- [Doc08] Space Minimizing Storage of Variable Sized Attribute Data in SECONDO. Directory Secondo/Documents file StoringTuples.pdf. Since 2008.
- [F04] File include/Attribute.h. Comments for function Compare. Since 2004.

A The Source for the InquiryViewer

```
package viewer;

import javax.swing.*;
import javax.swing.text.*;
import java.util.Vector;
import java.awt.*;
import java.awt.event.*;
import gui.SecondoObject;
import sj.lang.*;
import tools.Reporter;

public class InquiryViewer extends SecondoViewer{

    // define supported subtypes
    private static final String DATABASES = "databases";
    private static final String CONSTRUCTORS="constructors";
    private static final String OPERATORS = "operators";
    private static final String ALGEBRAS = "algebras";
    private static final String ALGEBRA = "algebra";
    private static final String TYPES = "types";
    private static final String OBJECTS ="objects";

    private JScrollPane ScrollPane = new JScrollPane();
    private JTextArea HTMLArea = new JTextArea();
    private JComboBox ComboBox = new JComboBox();
    private Vector ObjectTexts = new Vector(10,5);
    private Vector SecondoObjects = new Vector(10,5);
    private SecondoObject CurrentObject=null;

    private String HeaderColor = "silver";
    private String CellColor ="white";
    private MenuVector MV = new MenuVector();
    private JTextField SearchField = new JTextField(20);
    private JButton SearchButton = new JButton("Search");
    private int LastSearchPos = 0;
    private JCheckBox CaseSensitive = new JCheckBox("Case Sensitive");

    /** create a new InquiryViewer */
    public InquiryViewer(){
        setLayout(new BorderLayout());
        add(BorderLayout.NORTH,ComboBox);
        add(BorderLayout.CENTER,ScrollPane);
        HTMLArea.setContentType("text/html");
        HTMLArea.setEditable(false);
        ScrollPane.setViewportView(HTMLArea);

        JPanel BottomPanel = new JPanel();
        BottomPanel.add(CaseSensitive);
        BottomPanel.add(SearchField);
        BottomPanel.add(SearchButton);
        add(BottomPanel, BorderLayout.SOUTH);
        CaseSensitive.setSelected(true);
    }
}
```



```
ComboBox.addActionListener(new ActionListener(){
    public void actionPerformed(ActionEvent evt){
        showObject();
    }});

SearchField.addKeyListener(new KeyAdapter(){
    public void keyPressed(KeyEvent evt){
        if( evt.getKeyCode() == KeyEvent.VK_ENTER )
            searchText();
    }});

SearchButton.addActionListener(new ActionListener(){
    public void actionPerformed(ActionEvent evt){
        searchText();
    }});

JMenu SettingsMenu = new JMenu("Settings");
JMenu HeaderComponentMenu = new JMenu("header color");
JMenu CellColorMenu = new JMenu("cell color");
SettingsMenu.add(HeaderColorMenu);
SettingsMenu.add(CellColorMenu);

ActionListener HeaderComponentChanger = new ActionListener(){
    public void actionPerformed(ActionEvent evt){
        JMenuItem S = (JMenuItem) evt.getSource();
        HeaderComponent = S.getText().trim();
        reformat();
    }
};

ActionListener CellColorChanger = new ActionListener(){
    public void actionPerformed(ActionEvent evt){
        JMenuItem S = (JMenuItem) evt.getSource();
        CellColor = S.getText().trim();
        reformat();
    }
};

HeaderColorMenu.add("white").addActionListener(HeaderColorChanger);
HeaderColorMenu.add("silver").addActionListener(HeaderColorChanger);
HeaderColorMenu.add("gray").addActionListener(HeaderColorChanger);
HeaderColorMenu.add("aqua").addActionListener(HeaderColorChanger);
HeaderColorMenu.add("blue").addActionListener(HeaderColorChanger);
HeaderColorMenu.add("black").addActionListener(HeaderColorChanger);
CellColorMenu.add("white").addActionListener(CellColorChanger);
CellColorMenu.add("yellow").addActionListener(CellColorChanger);
CellColorMenu.add("aqua").addActionListener(CellColorChanger);
CellColorMenu.add("lime").addActionListener(CellColorChanger);
CellColorMenu.add("silver").addActionListener(CellColorChanger);

MV.addMenu(SettingsMenu);
}

/** returns the html formatted string representation for an atomic list */
private String getStringValue(ListExpr atom){
    int at = atom.atomType();
```

```
String res = "";
switch(at){
    case ListExpr.NO_ATOM : return "";
    case ListExpr.INT_ATOM : return ""+atom.intValue();
    case ListExpr.BOOL_ATOM : return atom.boolValue()? "TRUE": "FALSE";
    case ListExpr.REAL_ATOM : return ""+atom.realValue();
    case ListExpr.STRING_ATOM: res = atom.stringValue();break;
    case ListExpr.TEXT_ATOM: res = atom.textValue();break;
    case ListExpr.SYMBOL_ATOM: res = atom.symbolValue();break;
    default : return "";
}

res = replaceAll("&",res,"&amp");
res = replaceAll("<",res,"&lt;");
res = replaceAll(">",res,"&gt;");
return res;
}

/** replaces all occurrences of what by ByWhat within where*/
private static String replaceAll(String what, String where,
                                String ByWhat){
    StringBuffer res = new StringBuffer();
    int lastpos = 0;
    int len = what.length();
    int index = where.indexOf(what,lastpos);
    while(index>=0){
        if(index>0)
            res.append(where.substring(lastpos,index));
        res.append(ByWhat);
        lastpos = index+len;
        index = where.indexOf(what,lastpos);
    }
    res.append(where.substring(lastpos));
    return res.toString();
}

/** searches the text in the textfield in the document and
 * marks its if found
 */
private void searchText(){
    String Text = SearchField.getText();
    if(Text.length()==0){
        Reporter.showInfo("no text to search");
        return;
    }
    try{
        Document Doc = HTMLArea.getDocument();
        String DocText = Doc.getText(0,Doc.getLength());
        if(!CaseSensitive.isSelected()){
            DocText = DocText.toUpperCase();
            Text = Text.toUpperCase();
        }
        int pos = DocText.indexOf(Text,LastSearchPos);
        if(pos<0){
            Reporter.showInfo("end of text is reached");
        }
    }
}
```

```

        LastSearchPos=0;
        return;
    }
    pos = pos;
    int i1 = pos;
    int i2 = pos+Text.length();
    LastSearchPos = pos+1;
    HTMLArea.setCaretPosition(i1);
    HTMLArea.moveCaretPosition(i2);
    HTMLArea.getCaret().setSelectionVisible(true);
} catch(Exception e){
    Reporter.debug(e);
    Reporter.showError("error in searching text");
}
}

/** returns the html string for a single entry for
 * type constructors or operators
 */
private String formatEntry(ListExpr LE){
    if(LE.listLength()!=3){
        Reporter.writeError("InquiryViewer : error in list"+
            "(listLength() # 3)");
        return "";
    }
    ListExpr Name = LE.first();
    ListExpr Properties = LE.second();
    ListExpr Values = LE.third();
    if(Properties.listLength()!= Values.listLength()){
        Reporter.writeWarning("InquiryViewer : Warning: lists "+
            "have different lengths (" +Name.symbolValue()+")");
    }

    String res = " <tr><td class=\"opname\" colspan=\"2\">" +
        Name.symbolValue() + "</td></tr>\n";
    while( !Properties.isEmpty() & ! Values.isEmpty()){
        res = res + " <tr><td class=\"prop\">" +
            getStringValue(Properties.first())+"</td>" +
            "<td class=\"value\">" +
            getStringValue(Values.first())+"</td></tr>\n";
        Properties = Properties.rest();
        Values = Values.rest();
    }

    // handle non empty lists
    // if the lists are correct this never should occur
    while( !Properties.isEmpty()){
        res = res + " <tr><td class=\"prop\">" +
            getStringValue(Properties.first())+"</td>" +
            "<td> </td></tr>\n";
        Properties = Properties.rest();
    }
    while(!Values.isEmpty()){
        res = res + " <tr><td> </td>" +
            "<td class=\"value\">" +
            getStringValue(Values.first())+"</td></tr>\n";
    }

```

```
    Values = Values.rest();
}

return res;
}

/** create the html head for text representation
 * including the used style sheet
 */
private String getHTMLHead(){
    StringBuffer res = new StringBuffer();
    res.append("<html>\n");
    res.append("<head>\n");
    res.append("<title> inquiry viewer </title>\n");
    res.append("<style type=\"text/css\">\n");
    res.append("<!--\n");
    res.append("td.opname { background-color:"+HeaderColor+
        "; font-family:monospace;"+
        "font-weight:bold; "+
        "color:green; font-size:x-large;}\n");
    res.append("td.prop {background-color:"+CellColor+
        "; font-family:monospace; font-weight:bold; color:blue}\n");
    res.append("td.value {background-color:"+CellColor+
        "; font-family:monospace; color:black;}\n");
    res.append("-->\n");
    res.append("</style>\n");
    res.append("</head>\n");
    return res.toString();
}

/** get the html formatted html Code for type constructors
 */
private String getHTMLCode_Constructors(ListExpr ValueList){
    StringBuffer res = new StringBuffer();
    if(ValueList.isEmpty())
        return "no type constructors are defined <br>";
    res.append("<table border=\"2\">\n");
    while(!ValueList.isEmpty() ){
        res.append(formatEntry(ValueList.first()));
        ValueList = ValueList.rest();
    }
    res.append("</table>\n");
    return res.toString();
}

/** returns the html-code for operators */
private String getHTMLCode_Operators(ListExpr ValueList){
    if(ValueList.isEmpty())
        return "no operators are defined <br>";
    // the format is the same like for constructors
    return getHTMLCode_Constructors(ValueList);
}
```

```
/** returns the html for an Algebra List */
private String getHTMLCode_Databases(ListExpr Value){
  // the valuelist for algebras is just a list containing
  // symbols representing the database names
  if(Value.isEmpty())
    return "no database exists <br>";
  StringBuffer res = new StringBuffer();
  res.append("<ul>\n");
  while (!Value.isEmpty()){
    res.append("<li> "+Value.first().symbolValue() + " </li>");
    Value = Value.rest();
  }
  res.append("</ul>");
  return res.toString();
}

/** returns the html code for objects */
private String getHTMLCode_Objects(ListExpr Value){
  ListExpr tmp = Value.rest(); // ignore "SYMBOLS"
  if(tmp.isEmpty())
    return "no existing objects";
  StringBuffer res = new StringBuffer();
  res.append("<h2> Objects - short list </h2>\n ");
  res.append("<ul>\n");
  while(!tmp.isEmpty()){
    res.append("  <li>"+tmp.first().second().symbolValue()+ " </li> \n");
    tmp = tmp.rest();
  }
  res.append("</ul><br><hr><br>");
  res.append("<h2> Objects - full list </h2>\n");
  res.append("<pre>\n"+Value.rest().writeListExprToString() + "</pre>");
  return res.toString();
}

/** returns the html code for types */
private String getHTMLCode_Types(ListExpr Value){
  ListExpr tmp = Value.rest(); // ignore "TYPES"
  if(tmp.isEmpty())
    return "no existing type";
  StringBuffer res = new StringBuffer();
  res.append("<h2> Types - short list </h2>\n ");
  res.append("<ul>\n");
  while(!tmp.isEmpty()){
    res.append("  <li>"+tmp.first().second().symbolValue()+ " </li> \n");
    tmp = tmp.rest();
  }
  res.append("</ul><br><hr><br>");
  res.append("<h2> Types - full list </h2>\n");
  res.append("<pre>\n"+Value.rest().writeListExprToString() + "</pre>");
  return res.toString();
}

/** returns a html formatted list for algebras */
private String getHTMLCode_Algebras(ListExpr Value){
  // use the same format like databases
  if(Value.isEmpty())
```

```
        return "no algebra is included <br> please check"+
              " your Secondo installation <br>";
    return getHTMLCode_Databases(Value);
}

/** returns the formatted html code for a algebra inquiry */
private String getHTMLCode_Algebra(ListExpr Value){
    // the format is
    // (name ((constructors) (operators)))
    // where constructors and operators are formatted like in the
    // non algebra version
    StringBuffer res = new StringBuffer();
    res.append("<h1> Algebra "+Value.first().symbolValue()+" </h1>\n");
    res.append("<h2> type constructors of algebra: "+
              Value.first().symbolValue()+" </h2>\n");
    res.append( getHTMLCode_Constructors(Value.second().first()));
    res.append("<br>\n<h2> operators of algebra: "+
              Value.first().symbolValue()+"</h2>\n");
    res.append( getHTMLCode_Operators(Value.second().second()));
    return res.toString();
}

/** returns the html code for a given list */
private String getHTMLCode(ListExpr VL){
    StringBuffer Text = new StringBuffer();
    Text.append(getHTMLHead());
    Text.append("<body>\n");
    String inquiryType = VL.first().symbolValue();
    if (inquiryType.equals(DATABASES)){
        Text.append("<h1> Databases </h1>\n");
        Text.append(getHTMLCode_Algebras(VL.second()));
    } else if (inquiryType.equals(ALGEBRAS)){
        Text.append("<h1> Algebras </h1>\n");
        Text.append(getHTMLCode_Algebras(VL.second()));
    } else if (inquiryType.equals(CONSTRUCTORS)){
        Text.append("<h1> Type Constructors </h1>\n");
        Text.append(getHTMLCode_Constructors(VL.second()));
    } else if (inquiryType.equals(OPERATORS)){
        Text.append("<h1> Operators </h1>\n");
        Text.append(getHTMLCode_Operators(VL.second()));
    } else if (inquiryType.equals(ALGEBRA)){
        Text.append(getHTMLCode_Algebra(VL.second()));
    } else if (inquiryType.equals(OBJECTS)){
        Text.append("<h1> Objects </h1>\n");
        Text.append(getHTMLCode_Objects(VL.second()));
    } else if (inquiryType.equals(TYPES)){
        Text.append("<h1> Types </h1>\n");
        Text.append(getHTMLCode_Types(VL.second()));
    }
    Text.append("\n</body>\n</html>\n");
    return Text.toString();
}

/* adds a new Object to this Viewer and display it */
```

```
public boolean addObject(SecondoObject o){
    if(!canDisplay(o))
        return false;
    if (isDisplayed(o))
        selectObject(o);
    else{
        ListExpr VL = o.toListExpr().second();
        ObjectTexts.add(getHTMLCode(VL));
        ComboBox.addItem(o.getName());
        SecondoObjects.add(o);
        try{
            ComboBox.setSelectedIndex(ComboBox.getItemCount()-1);
            showObject();
        } catch(Exception e){
            Reporter.debug(e);
        }
    }
    return true;
}

/** write all htmls texts with a new format */
private void reformat(){
    int index = ComboBox.getSelectedIndex();
    ObjectTexts.removeAllElements();
    for(int i=0;i<SecondoObjects.size();i++){
        SecondoObject o = (SecondoObject) SecondoObjects.get(i);
        ListExpr VL = o.toListExpr().second();
        String inquiryType = VL.first().symbolValue();
        ObjectTexts.add(getHTMLCode(VL));
    }
    if(index>=0)
        ComboBox.setSelectedIndex(index);
}

/* returns true if o already exists in this viewer */
public boolean isDisplayed(SecondoObject o){
    return SecondoObjects.indexOf(o)>=0;
}

/** remove o from this Viewer */
public void removeObject(SecondoObject o){
    int index = SecondoObjects.indexOf(o);
    if(index>=0){
        ComboBox.removeItem(o.getName());
        SecondoObjects.remove(index);
        ObjectTexts.remove(index);
    }
}

/** remove all containing objects */
public void removeAll(){
    ObjectTexts.removeAllElements();
    ComboBox.removeAllItems();
    SecondoObjects.removeAllElements();
    CurrentObject= null;
    if(VC!=null)
```

```
        VC.removeObject(null);
        showObject();
    }

    /** check if this viewer can display the given object */
    public boolean canDisplay(SecondoObject o){
        ListExpr LE = o.toListExpr();
        if(LE.listLength()!=2)
            return false;
        if(LE.first().atomType()!=ListExpr.SYMBOL_ATOM ||
            !LE.first().symbolValue().equals("inquiry"))
            return false;
        ListExpr VL = LE.second();
        if(VL.listLength()!=2)
            return false;
        ListExpr SubTypeList = VL.first();
        if(SubTypeList.atomType()!=ListExpr.SYMBOL_ATOM)
            return false;
        String SubType = SubTypeList.symbolValue();
        if(SubType.equals(DATABASES) || SubType.equals(CONSTRUCTORS) ||
            SubType.equals(OPERATORS) || SubType.equals(ALGEBRA) ||
            SubType.equals(ALGEBRAS) || SubType.equals(OBJECTS) ||
            SubType.equals(TYPES))
            return true;
        return false;
    }

    /** returns the Menuextension of this viewer */
    public MenuVector getMenuVector(){
        return MV;
    }

    /** returns InquiryViewer */
    public String getName(){
        return "InquiryViewer";
    }

    public double getDisplayQuality(SecondoObject SO){
        if(canDisplay(SO))
            return 0.9;
        else
            return 0;
    }

    /** select O */
    public boolean selectObject(SecondoObject O){
        int i=SecondoObjects.indexOf(O);
        if (i>=0) {
            ComboBox.setSelectedIndex(i);
            showObject();
            return true;
        }else //object not found
            return false;
    }

    private void showObject(){
```



```
String Text="";
int index = ComboBox.getSelectedIndex();
if (index>=0){
    HTMLArea.setText((String)ObjectTexts.get(index));
} else {
    // set an empty text
    HTMLArea.setText(" <html><head></head><body></body></html>");
}
LastSearchPos = 0;
}
}
```

B The Source for Dsplmovingpoint

```

package viewer.hoese.algebras;

import java.awt.geom.*;
import java.awt.*;
import viewer.*;
import viewer.hoese.*;
import sj.lang.ListExpr;
import java.util.*;
import gui.Environment;
import tools.Reporter;

/**
 * A displayclass for the movingpoint-type (spatiotemp algebra),
 * 2D with TimePanel
 */
public class Dsplmovingpoint extends DisplayTimeGraph
    implements LabelAttribute, RenderAttribute {
    Point2D.Double point;
    Vector PointMaps;
    Rectangle2D.Double bounds;
    double minValue = Integer.MAX_VALUE;
    double maxValue = Integer.MIN_VALUE;
    boolean defined;
    static java.text.DecimalFormat format =
        new java.text.DecimalFormat("#.#####");

    public int numberOfShapes(){
        return 1;
    }

    /** Returns a short text usable as label */
    public String getLabel(double time){
        if(Intervals==null || PointMaps==null){
            return null;
        }
        int index = IntervalSearch.getTimeIndex(time,Intervals);
        if(index<0){
            return null;
        }
        PointMap pm = (PointMap) PointMaps.get(index);
        Interval in = (Interval)Intervals.get(index);
        double t1 = in.getStart();
        double t2 = in.getEnd();
        double Delta = (time-t1)/(t2-t1);
        double x = pm.x1+Delta*(pm.x2-pm.x1);
        double y = pm.y1+Delta*(pm.y2-pm.y1);
        return "("+format.format(x)+", "+format.format(y)+")";
    }

    /**
     * Gets the shape of this instance at the ActualTime
     * @param at The actual transformation, used to calculate

```

```

* the correct size.
* @return Rectangle or Circle Shape if ActualTime is defined
* otherwise null.
* @see <a href="Dsplmovingpointsrc.html#getRenderObject">Source</a>
*/
public Shape getRenderObject (int num,AffineTransform at) {
    if(num!=0){
        return null;
    }
    if(Intervals==null || PointMaps==null){
        return null;
    }
    if(RefLayer==null){
        return null;
    }
    double t = RefLayer.getActualTime();
    int index = IntervalSearch.getTimeIndex(t,Intervals);
    if(index<0){
        return null;
    }

    PointMap pm = (PointMap) PointMaps.get(index);
    Interval in = (Interval)Intervals.get(index);
    double t1 = in.getStart();
    double t2 = in.getEnd();
    double Delta = (t-t1)/(t2-t1);
    double x = pm.x1+Delta*(pm.x2-pm.x1);
    double y = pm.y1+Delta*(pm.y2-pm.y1);
    point = new Point2D.Double(x, y);
    double ps = Cat.getPointSize(renderAttribute,CurrentState.ActualTime);
    double pixy = Math.abs(ps/at.getScaleY());
    double pix = Math.abs(ps/at.getScaleX());
    Shape shp;
    if (Cat.getPointasRect())
        shp = new Rectangle2D.Double(point.getX()- pix/2,
                                    point.getY() - pixy/2, pix, pixy);
    else {
        shp = new Ellipse2D.Double(point.getX()- pix/2,
                                   point.getY() - pixy/2, pix, pixy);
    }
    return shp;
}

/**
* Reads the coefficients out of ListExpr for a map
* @param le ListExpr of four reals.
* @return The PointMap that was read.
* @see <a href="Dsplmovingpointsrc.html#readPointMap">Source</a>
*/
private PointMap readPointMap (ListExpr le) {
    Double value[] = {null, null, null, null};
    if (le.listLength() != 4)
        return null;
    for (int i = 0; i < 4; i++) {
        value[i] = LEUtils.readNumeric(le.first());
        if (value[i] == null)

```

```
        return null;
    le = le.rest();
}
double x1, y1;

double v0 = value[0].doubleValue();
double v1 = value[1].doubleValue();
double v2 = value[2].doubleValue();
double v3 = value[3].doubleValue();
if(minValue>v0) minValue=v0;
if(maxValue<v0) maxValue=v0;
if(minValue>v2) minValue=v2;
if(maxValue<v2) maxValue=v2;

if(!ProjectionManager.project(value[0].doubleValue(),
    value[1].doubleValue(),aPoint)){
    return null;
}
x1 = aPoint.x;
y1 = aPoint.y;
if(!ProjectionManager.project(value[2].doubleValue(),
    value[3].doubleValue(),aPoint)){
    return null;
}
return new PointMap(x1,y1,aPoint.x,aPoint.y);
}

/**
 * Scans the representation of a movingpoint datatype
 * @param v A list of start and end intervals with ax,bx,ay,by values
 * @see sj.lang.ListExpr
 * @see <a href="Dsplmovingpointsrc.html#ScanValue">Source</a>
 */
private void ScanValue (ListExpr v) {
    err = true;
    if (v.isEmpty()){ //empty point
        Intervals=null;
        PointMaps=null;
        err=false;
        defined = false;
        return;
    }
    while (!v.isEmpty()) {
        ListExpr aunit = v.first();
        ListExpr tmp = aunit;
        int L = aunit.listLength();
        if(L!=2 && L!=8){
            Reporter.debug("wrong ListLength in reading moving point unit");
            defined = false;
            return;
        }
        // deprecated version of external representation
        Interval in=null;
        PointMap pm=null;
        if (L == 8){
            Reporter.writeWarning("Warning: using deprecated external" +
```

```
        "representation of a moving point !");
    in = LEUtils.readInterval(ListExpr.fourElemList(aunit.first(),
        aunit.second(), aunit.third(), aunit.fourth()));
    aunit = aunit.rest().rest().rest().rest();
    pm = readPointMap(ListExpr.fourElemList(aunit.first(),
        aunit.second(),aunit.third(), aunit.fourth()));
}
// the corrected version of external representation
if(L==2){
    in = LEUtils.readInterval(aunit.first());
    pm = readPointMap(aunit.second());
}

if ((in == null) || (pm == null)){
    Reporter.debug("Error in reading Unit");
    Reporter.debug(tmp.writeListExprToString());
    if(in==null){
        Reporter.debug("Error in reading interval");
    }
    if(pm==null){
        Reporter.debug("Error in reading Start and EndPoint");
    }
    defined = false;
    return;
}
Intervals.add(in);
PointMaps.add(pm);
v = v.rest();
}
err = false;
defined = true;
}

public boolean isPointType(int num){
    return true;
}

public void init (String name, int nameWidth, ListExpr type,
    ListExpr value, QueryResult qr) {
    AttrName = extendString(name, nameWidth);
    int length = value.listLength();
    Intervals = new Vector(length+2);
    PointMaps = new Vector(length+2);
    ScanValue(value);
    if (err) {
        Reporter.writeError("Dsplmovingpoint Error in ListExpr:" +
            "parsing aborted");
        qr.addEntry(new String("(" + AttrName + ": GTA(mpoint)"));
        return;
    }else
        qr.addEntry(this);

    bounds = null;
    TimeBounds = null;
    if(Intervals==null) // empty moving point
        return;
```

```
for (int j = 0; j < Intervals.size(); j++) {
    Interval in = (Interval)Intervals.elementAt(j);
    PointMap pm = (PointMap)PointMaps.elementAt(j);
    Rectangle2D.Double r = new Rectangle2D.Double(pm.x1,pm.y1,0,0);
    r = (Rectangle2D.Double)r.createUnion(
        new Rectangle2D.Double(pm.x2,pm.y2,0,0));
    if (bounds == null) {
        bounds = r;
        TimeBounds = in;
    }else {
        bounds = (Rectangle2D.Double)bounds.createUnion(r);
        TimeBounds = TimeBounds.union(in);
    }
}
}

/**
 * @return The overall boundingbox of the movingpoint
 * @see <a href="Dsplmovingpointsrc.html#getBounds">Source</a>
 */
public Rectangle2D.Double getBounds () {
    return bounds;
}

/** returns the minimum x value */
public double getMinRenderValue(){
    return minValue;
}

/** returns the maximum x value */
public double getMaxRenderValue(){
    return maxValue;
}

/** returns the current x value */
public double getRenderValue(double time){
    if(Intervals==null || PointMaps==null){
        return 0;
    }
    int index = IntervalSearch.getTimeIndex(time,Intervals);
    if(index<0){
        return 0;
    }
    PointMap pm = (PointMap) PointMaps.get(index);
    Interval in = (Interval)Intervals.get(index);
    double t1 = in.getStart();
    double t2 = in.getEnd();
    double Delta = (time-t1)/(t2-t1);
    double x = pm.x1+Delta*(pm.x2-pm.x1);
    return x;
}

public boolean maybeDefined(){
    return defined;
}

public boolean isDefined(double time){
    if(!defined){
```

```
        return false;
    }
    int index = IntervalSearch.getTimeIndex(time,Intervals);
    return index>=0;
}

class PointMap {
    double x1,x2,y1,y2;

    public PointMap (double x1, double y1, double x2, double y2) {
        this.x1 = x1;
        this.y1 = y1;
        this.x2 = x2;
        this.y2 = y2;
    }

    public String toString(){
        return ("[x1,y1 | x2,y2] = ["+x1+", "+y1+" <> "+x2+", "+y2+"]");
    }
}
}
```

C The Source for Algebra Module PointRectangle

```
/*
----
This file is part of SECONDO.

Copyright (C) 2004, University in Hagen, Department of Computer Science,
Database Systems for New Applications.

SECONDO is free software; you can redistribute it and/or modify
it under the terms of the GNU General Public License as published by
the Free Software Foundation; either version 2 of the License, or
(at your option) any later version.

SECONDO is distributed in the hope that it will be useful,
but WITHOUT ANY WARRANTY; without even the implied warranty of
MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the
GNU General Public License for more details.

You should have received a copy of the GNU General Public License
along with SECONDO; if not, write to the Free Software
Foundation, Inc., 59 Temple Place, Suite 330, Boston, MA 02111-1307 USA
----

//paragraph [1] title: [{\Large \bf }  {}]
//characters [2] verbatim:  [\verb@]  [@]
//[ue] [{"u}]
//[toc] [\tableofcontents]

""[2]

[1] PointRectangle Algebra

July 2002, R. H. G[ue]ting

2003 - 2006, V. Almeida. Code changes due to interface changes.

Oct. 2006, M. Spiekermann. Introduction of a namespace, string constants and
usage of the "static_cast<>" templates. Additionally, more comments and
hints
were given.

Sept. 2007, M. Spiekermann. Many code changes to demonstrate new programming
interfaces.

Oct. 2007 RHG Revision of text.

[toc]

0 Overview

This little example algebra provides two type constructors ~xpoint~ and
~xrectangle~ and two operators:

1. ~inside~, which checks whether either a point or a rectangle is
within a rectangle, and
```


2. `~intersects~`, which checks two rectangles for intersection.

1 Preliminaries

1.1 Includes

```
*/  
  
#include "Algebra.h"  
#include "NestedList.h"  
#include "ListUtils.h"  
#include "NList.h"  
#include "LogMsg.h"  
#include "QueryProcessor.h"  
#include "ConstructorTemplates.h"  
#include "StandardTypes.h"  
  
/*  
The file "Algebra.h" is included, since the new algebra must be a subclass  
of  
class Algebra. All of the data available in Secondo has a nested list  
representation. Therefore, conversion functions have to be written for this  
algebra, too, and "NestedList.h" is needed for this purpose. The result of  
an  
operation is passed directly to the query processor. An instance of  
"QueryProcessor" serves for this. Secondo provides some standard data types,  
e.g.  
"CcInt", "CcReal", "CcString", "CcBool", which is needed as the result type  
of the  
implemented operations. To use them "StandardTypes.h" needs to be included.  
  
*/  
  
extern NestedList* nl;  
extern QueryProcessor *qp;
```

```
/*  
The variables above define some global references to unique system-wide  
instances of the query processor and the nested list storage.
```

1.2 Auxiliaries

Within this algebra module implementation, we have to handle values of four different types defined in namespace `~symbols~`: `~INT~` and `~REAL~`, `~BOOL~` and `~STRING~`. They are constant values of the C++-string class.

Moreover, for type mappings some auxiliary helper functions are defined in the file "TypeMapUtils.h" which defines a namespace `~mappings~`.

```
*/  
  
#include "TypeMapUtils.h"  
#include "Symbols.h"
```

```
#include <string>
using namespace std;

/*
The implementation of the algebra is embedded into
a namespace ~prt~ in order to avoid name conflicts with other modules.
*/

namespace prt {

/*
2 Type Constructor ~xpoint~

In this section we describe what is needed to implement the Secondo type
~xpoint~. Here the more traditional programming interfaces are shown. Some
more
recent alternatives are presented in the next section.

2.1 Data Structure - Class ~XPoint~

*/

class XPoint
{
public:
/*
Constructors and destructor:
*/
XPoint( const int x, const int y );
XPoint(const XPoint& rhs);
~XPoint();

int GetX() const;
int GetY() const;
void SetX( const int x );
void SetY( const int y );

XPoint* Clone();

/*
Below the mandatory set of algebra support functions is declared.
Note that these functions need to be static member functions of the class.
Their implementations do nothing which depends on the state of an instance.
*/
static Word In( const ListExpr typeInfo, const ListExpr instance,
               const int errorPos, ListExpr& errorInfo,
               bool& correct );

static ListExpr Out( ListExpr typeInfo, Word value );
```

```
static Word      Create( const ListExpr typeInfo );

static void      Delete( const ListExpr typeInfo, Word& w );

static void      Close( const ListExpr typeInfo, Word& w );

static Word      Clone( const ListExpr typeInfo, const Word& w );

static bool      KindCheck( ListExpr type, ListExpr& errorInfo );

static int       SizeOfObj();

static ListExpr  Property();

/*
The following function defines the name of the type constructor, resp. the
name
Secondo uses for this type.
*/
static const string BasicType() { return "xpoint"; }

static const bool checkType(const ListExpr type){
    return listutils::isSymbol(type, BasicType());
}

private:
    inline XPoint() {}
/*
Warning: Never do initializations in the default constructor!
It will be used in a special way in the cast function which is needed
for making a class persistent when acting as an attribute in a tuple.
In order to guarantee this, we make this constructor private.
One always needs to provide at least a second constructor, here
"XPoint( int x, int y )" in order to construct an instance.

Moreover,
avoid declarations like "XPoint p1;" since these will create an uninitial-
ized
class instance. Instead you should use only properly initialized variables
like "XPoint(0,0) p1;"
*/

    int x;
    int y;

};

/*
We recommend to separate class declarations from their implementations.
This makes life easier if you want to use the type provided in one algebra in
another algebra. Only for the sake of a compact presentation, we did not
move
the declarations to special header files in this example algebra.
*/
```

```
*/  
  
XPoint::XPoint(const int X, const int Y) : x(X), y(Y) {}  
  
XPoint::XPoint(const XPoint& rhs) : x(rhs.x), y(rhs.y) {}  
  
XPoint::~XPoint() {}  
  
int XPoint::GetX() const { return x; }  
int XPoint::GetY() const { return y; }  
  
void XPoint::SetX(const int X) { x = X; }  
void XPoint::SetY(const int Y) { y = Y; }
```

```
/*  
2.2 List Representation
```

The list representation of an xpoint is

```
---- (x y)  
----
```

2.3 ~In~ and ~Out~ Functions

The ~In~-function gets a nested list representation of an ~xpoint~ value passed in the variable "instance". It is represented by the C++ type "ListExpr". Moreover, there is a global pointer variable "nl" which points to the (single) instance of class ~NestedList~. This class provides a set of functions which can investigate and manipulate nested lists. For details refer to the file "NestedList.h".

The parameter "errorInfo" can be used to return specific error information if the retrieved list is not correct. In the latter case, the boolean parameter "correct" needs to be set to false.

The return value of the function is of type ~Word~ which can simply be regarded as a pointer. The query processor operates with this type-less abstraction for objects. If all integrity checks are correct we will return a pointer to a new instance of class ~XPoint~.

```
*/  
  
Word XPoint::In( const ListExpr typeInfo, const ListExpr instance,  
                const int errorPos, ListExpr& errorInfo, bool& correct )  
{  
    Word w = SetWord(Address(0));  
    if ( nl->ListLength( instance ) == 2 )  
    {  
        ListExpr First = nl->First(instance);  
        ListExpr Second = nl->Second(instance);
```

```
if ( nl->IsAtom(First) && nl->AtomType(First) == IntType
    && nl->IsAtom(Second) && nl->AtomType(Second) == IntType )
{
    correct = true;
    w.addr = new XPoint(nl->IntValue(First), nl->IntValue(Second));
    return w;
}
}
correct = false;
cmsg.inFunError("Expecting a list of two integer atoms!");
return w;
}
```

```
/*
The ~Out~-function will get a pointer to an ~XPoint~ representation.
Before we can use a member function of class ~XPoint~, we need to do
a type cast in order to tell the compiler about the object's type.
```

Note: At this point we can be sure that it is a pointer to type ~XPoint~, hence it is safe to do it. But in general, type casts can be a source for ~strange~ errors, e.g. segmentation faults, if you cast to a type which is not compatible to the object that the pointer belongs to.

```
*/
```

```
ListExpr XPoint::Out( ListExpr typeInfo, Word value )
{
    XPoint* point = static_cast<XPoint*>( value.addr );

    return nl->TwoElemList(nl->IntAtom(point->GetX()),
                          nl->IntAtom(point->GetY()));
}
```

```
/*
```

2.4 Support Functions for Persistent Storage

```
*/
```

```
Word XPoint::Create( const ListExpr typeInfo )
{
    return (SetWord( new XPoint( 0, 0 ) ));
}
```

```
void XPoint::Delete( const ListExpr typeInfo, Word& w )
{
    delete static_cast<XPoint*>( w.addr );
    w.addr = 0;
}
```

```
void XPoint::Close( const ListExpr typeInfo, Word& w )
```

```
{
  delete static_cast<XPoint*>( w.addr );
  w.addr = 0;
}
```

```
Word XPoint::Clone( const ListExpr typeInfo, const Word& w )
{
  XPoint* p = static_cast<XPoint*>( w.addr );
  return SetWord( new XPoint(*p) );
}
```

/*

Here, a clone simply calls the copy constructor, but for other types, which may have also a disk part, some code for copying the disk parts would be needed also. Often this is implemented in a special member function "Clone()".

*/

```
int XPoint::SizeOfObj()
{
  return sizeof(XPoint);
}
```

/*

2.4 Type Description

At the user interface, the command `~list type constructors~` lists all type constructors of all currently linked algebra modules. The information listed is generated by the algebra module itself, to be more precise it is generated by the `~property~-functions`.

Generally, a property list consists of two sublists providing labels and contents.

Currently a structure like the one below has been established to be the standard.

*/

```
ListExpr XPoint::Property()
{
  return (nl->TwoElemList(
    nl->FiveElemList(nl->StringAtom("Signature"),
      nl->StringAtom("Example Type List"),
      nl->StringAtom("List Rep"),
      nl->StringAtom("Example List"),
      nl->StringAtom("Remarks")),
    nl->FiveElemList(nl->StringAtom("-> DATA"),
      nl->StringAtom(XPoint::BasicType()),
      nl->StringAtom("<x> <y>"),
      nl->StringAtom("(-3 15)"),
      nl->StringAtom("x- and y-coordinates must be "
        "of type int.")))));
```

```
}
```

```
/*
```

This is an older technique for creating property lists. A more recent technique is shown below for type `~XRectangle~`.

2.5 Kind Checking Function

This function checks whether the type constructor is applied correctly. Since type constructor `~xpoint~` does not have arguments, this is trivial.

```
*/
```

```
bool XPoint::KindCheck( ListExpr type, ListExpr& errorInfo )
{
    return (nl->IsEqual( type, XPoint::BasicType() ));
}
```

```
/*
```

2.6 Creation of the Type Constructor Instance

```
*/
```

```
TypeConstructor xpointTC(
    XPoint::BasicType(),           // name of the type in SECONDO
    XPoint::Property,             // property function describing signature
    XPoint::Out, XPoint::In,      // Out and In functions
    0, 0,                         // SaveToList, RestoreFromList functions
    XPoint::Create, XPoint::Delete, // object creation and deletion
    0, 0,                         // object open, save
    XPoint::Close, XPoint::Clone, // close, and clone
    0,                             // cast function
    XPoint::SizeOfObj,            // sizeof function
    XPoint::KindCheck );         // kind checking function
```

```
/*
```

3 Type Constructor `~xrectangle~`

To define the Secondo type `~xrectangle~`, we need to (i) define a data structure, that is a class, to (ii) decide about a nested list representation, and (iii) write conversion functions from and to nested list representation. The function for converting from the list representation is the most involved one, since it has to check that the given list structure is entirely correct.

After we have described the traditional programming interface in the previous section, here in some places we use more recent alternative programming interfaces for implementing a type.

3.1 Data Structure - Class `~XRectangle~`

```
*/

class XRectangle
{
public:
    XRectangle( const int XLeft, const int XRight,
                const int YBottom, const int YTop );
    XRectangle( const XRectangle& rhs );
    ~XRectangle() {}

    int GetXLeft()    const;
    int GetXRight()   const;
    int GetYBottom()  const;
    int GetYTop()     const;

    bool intersects( const XRectangle& r) const;

/*
Here we will only implement the following three support functions, since the
others
have default implementations which can be generated at compile time using
C++ template
functionality.

*/
    static Word      In( const ListExpr typeInfo, const ListExpr instance,
                        const int errorPos, ListExpr& errorInfo, bool& correct
);

    static ListExpr Out( ListExpr typeInfo, Word value );

    static Word      Create( const ListExpr typeInfo );

/*
In contrast to the example above, we will implement specific ~open~ and
~save~
functions instead of using the generic persistent mechanism.

*/

    static bool      Open( SmiRecord& valueRecord,
                          size_t& offset, const ListExpr typeInfo,
                          Word& value );

    static bool      Save( SmiRecord& valueRecord, size_t& offset,
                          const ListExpr typeInfo, Word& w );

/*
The following function defines the name of the type constructor, resp. the
name
Secondo uses for this type.

*/
```



```
static const string BasicType() { return "xrectangle"; }

static const bool checkType(const ListExpr type){
    return listutils::isSymbol(type, BasicType());
}

private:
    XRectangle() {}
    // Since we want to use some default implementations we need
    // to allow access to private members for the class below.
    friend class ConstructorFunctions<XRectangle>;

    int xl;
    int xr;
    int yb;
    int yt;
};

XRectangle::XRectangle( int XLeft, int XRight, int YBottom, int YTop ):
    xl(XLeft), xr(XRight), yb(YBottom), yt(YTop)
    {}

XRectangle::XRectangle( const XRectangle& rhs ):
    xl(rhs.xl), xr(rhs.xr), yb(rhs.yb), yt(rhs.yt)
    {}

int XRectangle::GetXLeft()    const { return xl; }
int XRectangle::GetXRight()   const { return xr; }
int XRectangle::GetYBottom()  const { return yb; }
int XRectangle::GetYTop()     const { return yt; }

/*
3.2 Auxiliary Functions for Operations

To implement rectangle intersection, we first introduce an auxiliary func-
tion which
tests if two intervals overlap.

*/

bool overlap ( const int low1, const int high1, const int low2, const int
high2 )
{
    return !( (high1 < low2) || (high2 < low1) );
}

bool
XRectangle::intersects( const XRectangle& r ) const
{
    return ( overlap(xl, xr, r.GetXLeft(), r.GetXRight())
        && overlap(yb, yt, r.GetYBottom(), r.GetYTop()) );
}
```

```
/*
```

3.3 List Representation and ~In~/~Out~ Functions

The list representation of an xrectangle is

```
----      (XLeft XRight YBottom YTop)
----
```

In contrast to the code examples above, we use here the class ~NList~ instead of the static functions "nl->f(...)". Its interface is described in file "NList.h". It is a simple wrapper for calls like "nl->f(...)" and provides a more object-oriented access to a nested list.

This class was implemented more recently; hence there is a lot of code which uses the older interface. But as you can observe, the code based on ~NList~ is more compact, easier to read, understand, and maintain. Thus we recommend to use this interface.

```
*/
```

```
Word
```

```
XRectangle::In( const ListExpr typeInfo, const ListExpr instance,
                const int errorPos, ListExpr& errorInfo, bool& correct )
```

```
{
```

```
    correct = false;
    Word result = SetWord(Address(0));
    const string errMsg = "Expecting a list of four integer atoms!";
```

```
    NList list(instance);
    // When you check list structures it will be a good advice to detect
    // errors as early as possible to avoid deep nestings of if statements.
    if ( list.length() != 4 ) {
        cmsg.inFunError(errMsg);
        return result;
    }
```

```
    NList First = list.first();
    NList Second = list.second();
    NList Third = list.third();
    NList Fourth = list.fourth();
```

```
    if ( First.isInt() && Second.isInt()
        && Third.isInt() && Fourth.isInt() )
```

```
{
```

```
    int xl = First.intval();
    int xr = Second.intval();
```

```

int yb = Third.intval();
int yt = Fourth.intval();

if ( (xl < xr) && (yb < yt) )
{
    correct = true;
    XRectangle* r = new XRectangle(xl, xr, yb, yt);
    result.addr = r;
}
}
else
{
    cmsg.inFunError(errMsg);
}
return result;
}

```

```

ListExpr
XRectangle::Out( ListExpr typeInfo, Word value )
{
    XRectangle* rectangle = static_cast<XRectangle*>( value.addr );
    NList fourElems(
        NList( rectangle->GetXLeft() ),
        NList( rectangle->GetXRight() ),
        NList( rectangle->GetYBottom() ),
        NList( rectangle->GetYTop() ) );

    return fourElems.listExpr();
}

```

```

/*
4.4 Storage Management: ~Open~, ~Save~, and ~Create~

```

The ~open~ and ~save~ functions need an ~SmiRecord~ as argument which contains the binary representation of the type, starting at the position indicated by ~offset~. The implementor has to read out or write in data there and adjust the offset. The argument ~typeinfo~ is needed only for complex types whose constructors can be parameterized, e.g. `rel(tuple(...))`.

```

*/

bool
XRectangle::Open( SmiRecord& valueRecord,
                 size_t& offset, const ListExpr typeInfo,
                 Word& value )
{
    //cerr << "OPEN XRectangle" << endl;
    size_t size = sizeof(int);
    int xl = 0, xr = 0, yb = 0, yt = 0;

    bool ok = true;
    ok = ok && valueRecord.Read( &xl, size, offset );
}

```

```
offset += size;
ok = ok && valueRecord.Read( &xr, size, offset );
offset += size;
ok = ok && valueRecord.Read( &yb, size, offset );
offset += size;
ok = ok && valueRecord.Read( &yt, size, offset );
offset += size;

value.addr = new XRectangle(xl, xr, yb, yt);

return ok;
}

bool
XRectangle::Save( SmiRecord& valueRecord, size_t& offset,
                  const ListExpr typeInfo, Word& value )
{
    //cerr << "SAVE XRectangle" << endl;
    XRectangle* r = static_cast<XRectangle*>( value.addr );
    size_t size = sizeof(int);

    bool ok = true;
    ok = ok && valueRecord.Write( &r->xl, size, offset );
    offset += size;
    ok = ok && valueRecord.Write( &r->xr, size, offset );
    offset += size;
    ok = ok && valueRecord.Write( &r->yb, size, offset );
    offset += size;
    ok = ok && valueRecord.Write( &r->yt, size, offset );
    offset += size;

    return ok;
}

Word
XRectangle::Create( const ListExpr typeInfo )
{
    return (SetWord( new XRectangle( 0, 0, 0, 0 ) ));
}

/*
```

4.5 Type Description

The property function is deprecated. Instead this is done by implementing a subclass of ~ConstructorInfo~.

*/

```
struct xrectangleInfo : ConstructorInfo {

    xrectangleInfo() {

        name          = XRectangle::BasicType();
```

```
signature      = "-> " + Kind::SIMPLE();
typeExample    = XRectangle::BasicType();
listRep        = "(<xleft> <xright> <ybottom> <ytop>)" ;
valueExample   = "(4 12 2 8)";
remarks        = "all coordinates must be of type int.";
}
};
```

```
/*
```

4.6 Creation of the Type Constructor Instance

Here we also use a new programming interface. As you may have observed, most implementations of the support functions needed for registering a Secondo type

are trivial to implement. Hence, we offer a template class

`~ConstructorFunctions~` which will create many default implementations of functions used by a Secondo type. For details refer to

"ConstructorFunctions.h". However, some functions need to be implemented since

the default may not be sufficient. The default kind check function assumes that

the type constructor does not have any arguments.

```
*/
```

```
struct xrectangleFunctions : ConstructorFunctions<XRectangle> {

    xrectangleFunctions()
    {
        // re-assign some function pointers
        create = XRectangle::Create;
        in = XRectangle::In;
        out = XRectangle::Out;

        // the default implementations for open and save are only
        // suitable for a class which is derived from class ~Attribute~, hence
        // open and save functions must be overwritten here.

        open = XRectangle::Open;
        save = XRectangle::Save;
    }
};
```

```
xrectangleInfo xri;
xrectangleFunctions xrf;
TypeConstructor xrectangleTC( xri, xrf );
```

```
/*
```

5 Creating Operators

5.1 Type Mapping Functions

A type mapping function checks whether the correct argument types are supplied for an operator; if so, it returns a list expression for the result type, otherwise the symbol `~typeerror~`. Again we use interface `~NList.h~` for manipulating list expressions.

```
*/

ListExpr
RectRectBool( ListExpr args )
{
  NList type(args);
  if ( type != NList(XRectangle::BasicType(), XRectangle::BasicType()) ) {
    return NList::typeError("Expecting two rectangles");
  }

  return NList(CcBool::BasicType()).listExpr();
}

ListExpr
insideTypeMap( ListExpr args )
{
  NList type(args);
  const string errMsg = "Expecting two rectangles "
    "or a point and a rectangle";

  // first alternative: xpoint x xrectangle -> bool
  if ( type == NList(XPoint::BasicType(), XRectangle::BasicType()) ) {
    return NList(CcBool::BasicType()).listExpr();
  }

  // second alternative: xrectangle x xrectangle -> bool
  if ( type == NList(XRectangle::BasicType(), XRectangle::BasicType()) ) {
    return NList(CcBool::BasicType()).listExpr();
  }

  return NList::typeError(errMsg);
}

*/
```

5.2 Selection Function

A selection function is quite similar to a type mapping function. The only difference is that it doesn't return a type but the index of a value mapping function being able to deal with the respective combination of input parameter types.

Note that a selection function does not need to check the correctness of argument types; this has already been checked by the type mapping function. A selection function is only called if the type mapping was successful. This makes programming easier as one can rely on a correct structure of the list `~args~`.

```
*/
```

```
int
insideSelect( ListExpr args )
{
  NList type(args);
  if ( type.first().isSymbol( XRectangle::BasicType() ) )
    return 1;
  else
    return 0;
}
```

```
/*
5.3 Value Mapping Functions
```

```
5.3.1 The ~intersects~ predicate for two rectangles
```

```
*/
int
intersectsFun (Word* args, Word& result, int message,
              Word& local, Supplier s)
{
  XRectangle *r1 = static_cast<XRectangle*>( args[0].addr );
  XRectangle *r2 = static_cast<XRectangle*>( args[1].addr );

  result = qp->ResultStorage(s);
                                //query processor has provided
                                //a CcBool instance for the result

  CcBool* b = static_cast<CcBool*>( result.addr );
  b->Set(true, r1->intersects(*r2));
                                //the first argument says the boolean
                                //value is defined, the second is the
                                //real boolean value)

  return 0;
}
```

```
/*
4.3.2 The ~inside~ predicate for a point and a rectangle
```

```
*/
int
insideFun_PR (Word* args, Word& result, int message,
             Word& local, Supplier s)
{
  //cout << "insideFun_PR" << endl;
  XPoint* p = static_cast<XPoint*>( args[0].addr );
  XRectangle* r = static_cast<XRectangle*>( args[1].addr );

  result = qp->ResultStorage(s);
                                //query processor has provided
                                //a CcBool instance for the result

  CcBool* b = static_cast<CcBool*>( result.addr );

  bool res = ( p->GetX() >= r->GetXLeft()
```

```
&& p->GetX() <= r->GetXRight()
&& p->GetY() >= r->GetYBottom()
&& p->GetY() <= r->GetYTop() );

b->Set(true, res); //the first argument says the boolean
                  //value is defined, the second is the
                  //real boolean value)

return 0;
}

/*
4.3.3 The ~inside~ predicate for two rectangles

*/
int
insideFun_RR (Word* args, Word& result, int message,
              Word& local, Supplier s)
{
    //cout << "insideFun_RR" << endl;
    XRectangle* r1 = static_cast<XRectangle*>( args[0].addr );
    XRectangle* r2 = static_cast<XRectangle*>( args[1].addr );

    result = qp->ResultStorage(s);
                                   //query processor has provided
                                   //a CcBool instance for the result

    CcBool* b = static_cast<CcBool*>( result.addr );

    bool res = true;
    res = res && r1->GetXLeft() >= r2->GetXLeft();
    res = res && r1->GetXLeft() <= r2->GetXRight();

    res = res && r1->GetXRight() >= r2->GetXLeft();
    res = res && r1->GetXRight() <= r2->GetXRight();

    res = res && r1->GetYBottom() >= r2->GetYBottom();
    res = res && r1->GetYBottom() <= r2->GetYTop();

    res = res && r1->GetYTop() >= r2->GetYBottom();
    res = res && r1->GetYTop() <= r2->GetYTop();

    b->Set(true, res); //the first argument says the boolean
                      //value is defined, the second is the
                      //real boolean value)

    return 0;
}

/*
```

4.4 Operator Descriptions

Similar to the ~property~ function of a type constructor, an operator needs to be described, e.g. for the ~list operators~ command. This is now done by creating a subclass of class ~OperatorInfo~.


```
*/
struct intersectsInfo : OperatorInfo {

    intersectsInfo()
    {
        name          = "intersects";
        signature = XRectangle::BasicType() + " x " + XRectangle::BasicType()
        + " -> " + CcBool::BasicType();
        syntax        = "_ intersects _";
        meaning       = "Intersection predicate for two xrectangles.";
    }

}; // Don't forget the semicolon here. Otherwise the compiler
    // returns strange error messages

struct insideInfo : OperatorInfo {

    insideInfo()
    {
        name          = "inside";

        signature = XPoint::BasicType() + " x " + XRectangle::BasicType() + " -> "
        + CcBool::BasicType();
        // since this is an overloaded operator we append
        // an alternative signature here
        appendSignature( XRectangle::BasicType() + " x " + XRectangle::Basic-
        Type() + " -> " + CcBool::BasicType() );

        syntax        = "_ inside _";
        meaning       = "Inside predicate.";
    }
};
```

```
/*
```

5 Implementation of the Algebra Class

```
*/
```

```
class PointRectangleAlgebra : public Algebra
{
public:
    PointRectangleAlgebra() : Algebra()
    {
```

```
/*
```

5.2 Registration of Types

```
*/
```



```
// The C++ scope-operator :: must be used to qualify the full name
return new prt::PointRectangleAlgebra;
}
```

```
/*
```

7 Examples and Tests

The file "PointRectangle.examples" contains for every operator one example. This allows one to verify that the examples are running and to provide a coarse regression test for all algebra modules. The command "Selftest <file>" will execute the examples. Without any arguments, the examples for all active algebras are executed. This helps to detect side effects, if you have touched central parts of Secondo or existing types and operators.

In order to setup more comprehensive automated test procedures one can write a test specification for the ~TestRunner~ application. You will find the file "example.test" in directory "bin" and others in the directory "Tests/Test-specs". There is also one for this algebra.

Accurate testing is often treated as an unpopular daunting task. But it is absolutely inevitable if you want to provide a reliable algebra module.

Try to write tests covering every signature of your operators and consider special cases, as undefined arguments, illegal argument values and critical argument value combinations, etc.

```
*/
```